

RCM 2014-2015 BUDGET REVIEW

George A. Smathers Libraries

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BACKGROUND

- **The George A. Smathers Libraries have two main components under RCM**
 - **The Health Science Center Libraries**
 - **The University Libraries**
- **The HSC Libraries are funded through units of the Health Science Center**
- **The University Libraries are funded through the other academic and research units, with the exception of the College of Law**

2014-2015 Budget Scenarios

- **Flat Funding Scenario: \$27,781,345**
 - **Library Materials: \$8,676,807**
- **“Optimal” Funding Scenario [Reasonable Growth & Prioritized Increases]: \$31,214,786**
 - **Library Materials \$10,618,654**

AGENDA

- **Peer Analysis: UF Libraries funding compared to libraries at peer AAU universities**
- **2014-2015 Budget Scenarios**
 - Flat Funding will result in the loss of critical content
 - “Optimal” Funding allows for reasonable growth and prioritized increases to restore collections and improve services
 - Truly Optimal Funding is established by the peer analysis

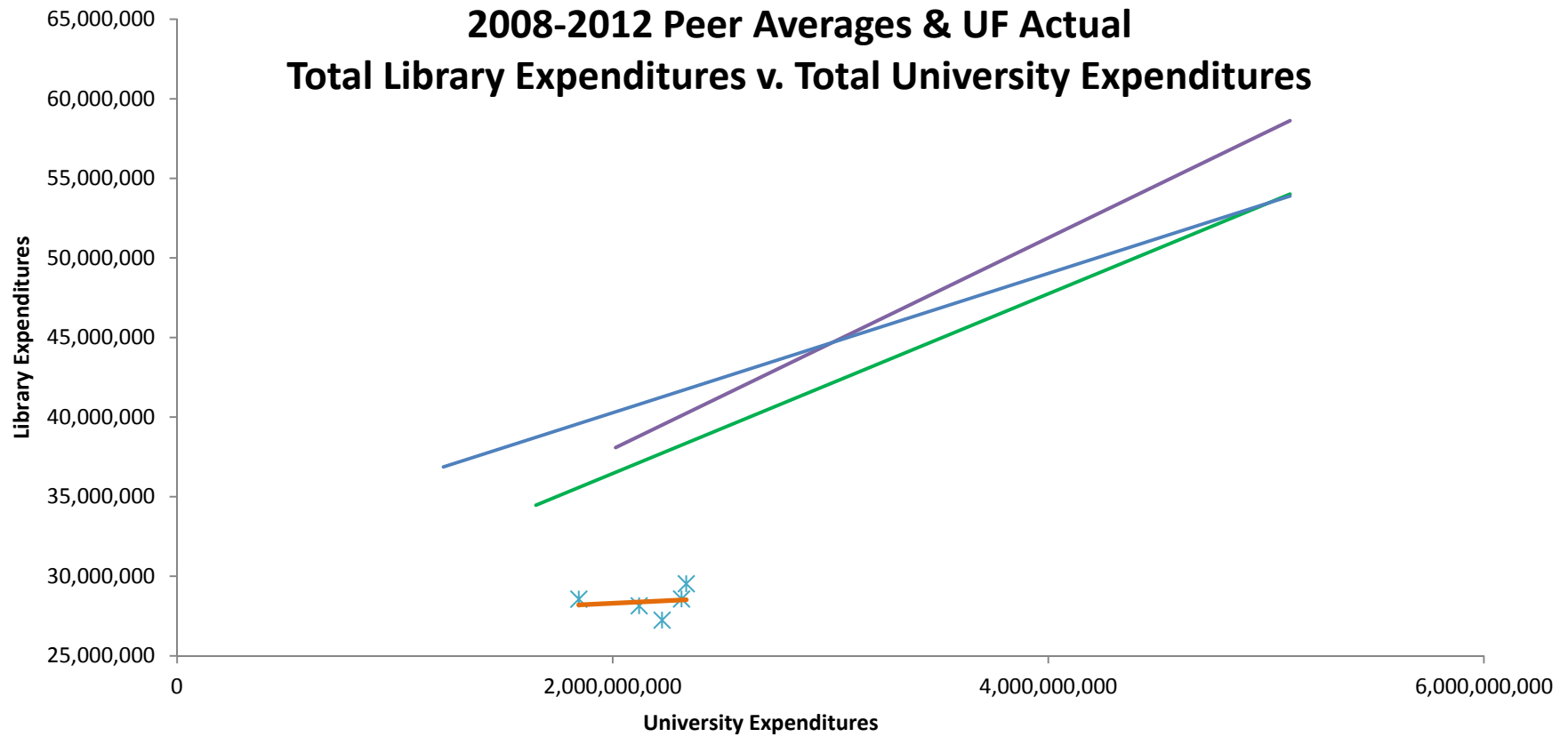
PEER ANALYSIS

- **Compared UF to Three Groups of AAU Public Universities**
 - **Group A: 6 of Top 11 from US News (Aspirational Peers)**
 - **Group B: 10 of Top 25 from US News (Comprehensive Universities with Law & 2 or more Health Colleges)**
 - **Group C: UF Identified Peers**

PEER ANALYSIS

- **Group A Peer Universities**
 - University of Virginia (#3)
 - University of Michigan (#4)
 - University of North Carolina, Chapel Hill (#5)
 - Pennsylvania State University (#8)
 - University of Wisconsin, Madison (#11)
 - University of Illinois, Urbana (#11)
 - **University of Florida (#14)**

2008-2012 Peer Averages & UF Actual Total Library Expenditures v. Total University Expenditures



Group A	Group B	Group C	UF Actual
$R^2 = 0.8637$	$R^2 = 0.6398$	$R^2 = 0.445$	$R^2 = 0.0258$

PEER ANALYSIS

- National Center for Education Statistics (NCES) data for 5 university characteristics that correlate with **DEMAND** for library resources & services
- Association of Research Libraries (ARL) data for 8 characteristics that reflect library **RESOURCES** for materials and staff

UNIVERSITY Demand	Average Excluding UF	UF as % of Non-UF Average
Total Faculty	4,206	131%
Total Students	38,197	131%
Undergraduates	27,346	120%
Graduate Students	10,851	158%
PhD's Awarded	1,187	165%

LIBRARY Resources	Average Excluding UF	UF as % of Non-UF Average
Volumes Held	8,962,398	53%
Monographs Acquired (2011)	89,280	26%
Librarians & Professional Staff	164	54%
Other Staff	222	81%
TOTAL Staff	386	69%
\$ for Materials	\$16,924,627	77%
\$ for Hardware/Software (2011)	\$1,422,413	13%
TOTAL Library Expenditures	\$44,945,336	64%

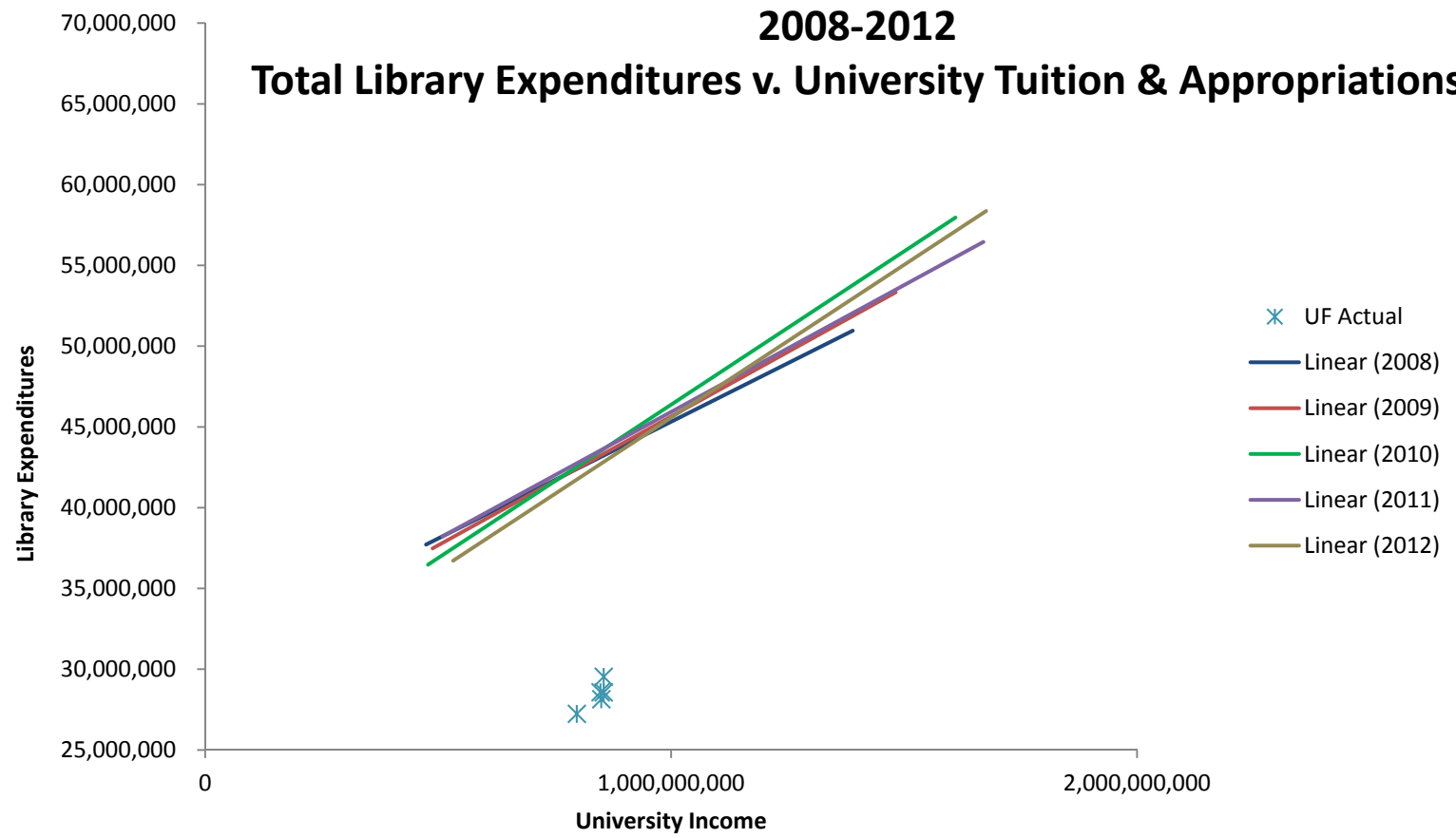
PEER ANALYSIS

- UF is **ABOVE** average for every university characteristic correlating with **DEMAND** for library resources and services
- UF Libraries are **BELOW** average for every library **RESOURCE** characteristic for resources and services

PEER ANALYSIS

- **Correlations between NCES data on university characteristics and ARL data on library expenditures account for differences in scale at the peer institutions**
 - **The highest correlation for library expenditures was with total university budget ($R^2 = 0.8637$)**
- **RCM budget process allocates income from tuition and appropriations so the following slides focus on those sources of income**

2008-2012 Total Library Expenditures v. University Tuition & Appropriations

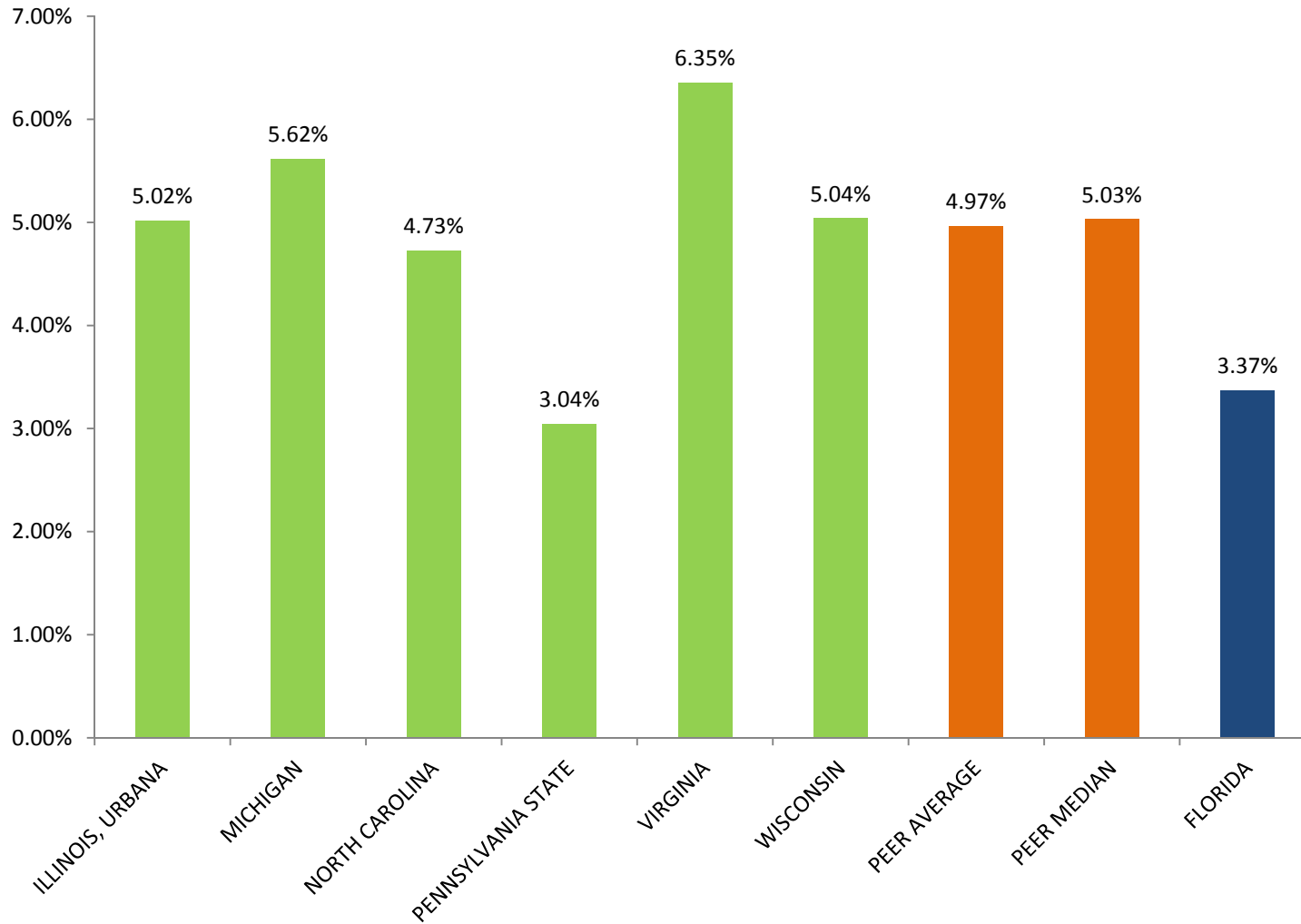


PEER ANALYSIS

Application of Linear Regression Formulas to Estimate Appropriate Funding for UF Libraries

	UF Tuition & Appropriations	UF Projected	UF Actual	Difference
2008	\$855,300,000	\$43,231,307	\$28,573,302	(\$14,658,005)
2009	\$849,955,000	\$43,249,625	\$28,147,202	(\$15,102,423)
2010	\$797,569,000	\$42,536,788	\$27,242,279	(\$15,294,509)
2011	\$855,234,000	\$43,650,863	\$29,537,452	(\$14,113,411)
2012	\$848,376,000	\$42,701,203	\$28,581,160	(\$14,120,043)

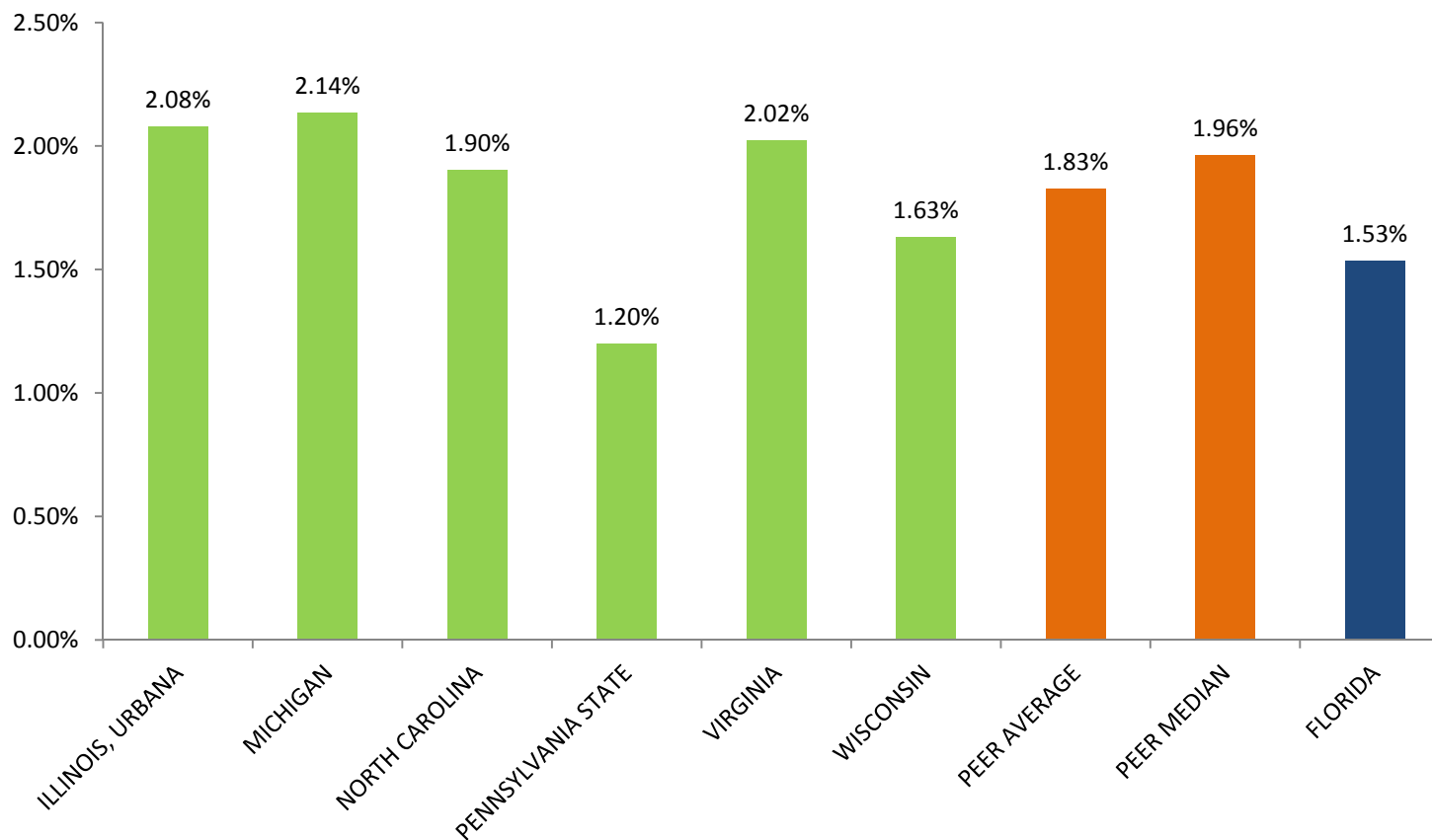
2012 Library Expenditures as a % of University Tuition & Appropriations



PEER ANALYSIS

- Average library expenditures as % of tuition & appropriation (Group A peers without UF): **4.97%**
- UF Library expenditures as % of tuition & appropriation : **3.37%**
 - That reflects the gap of **\$14 million** between UF and its peers

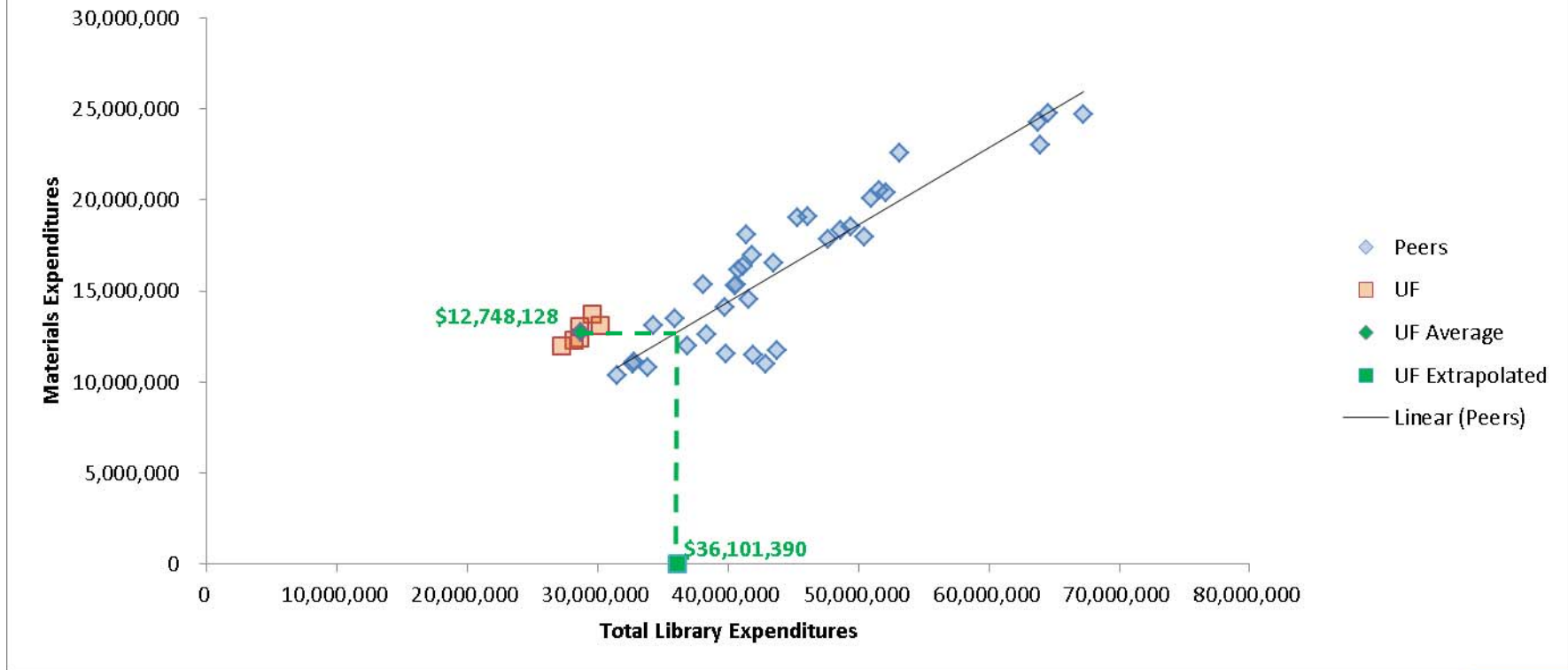
2012 Materials Expenditure as a % of University Tuition & Appropriations



PEER ANALYSIS

PROPORTION OF LIBRARY EXPENDITURES	Materials	Staffing	Operations
Median for Peers	38%	49%	12%
Average for Peers	38%	49%	13%
UF	43%	44%	12%

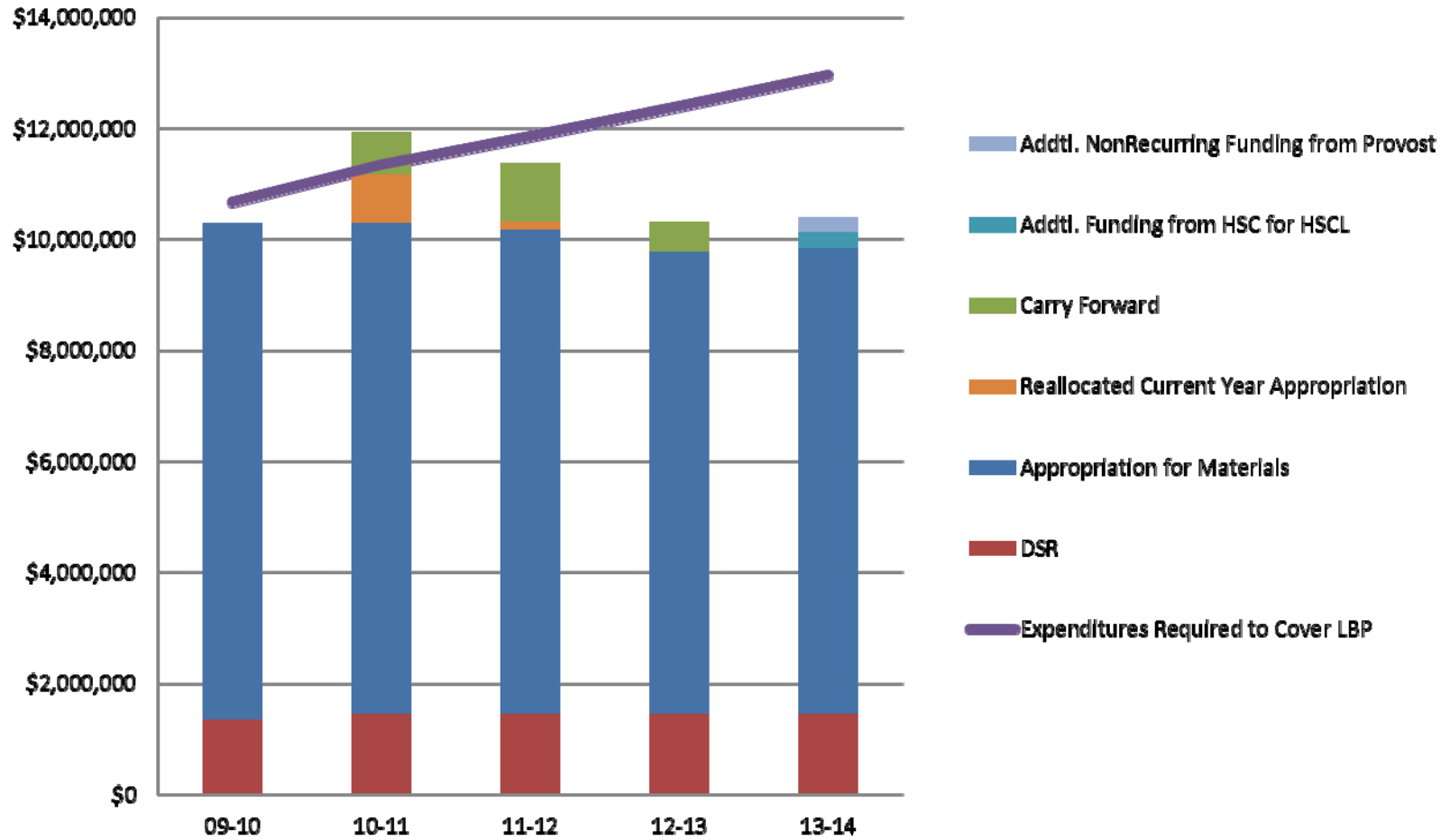
2008-2013 Material Expenditure v. Total Library Expenditure



2014-2015 Budget Scenarios

- Last year the Libraries asked for **\$1.6 million** and received **\$275,000** (non-recurring)
 - The resulting cuts are listed in Appendix 1
- 2014-2015 Flat Funding Scenario: **\$27,781,345**
 - Library Materials: **\$8,676,807**
- “Optimal” Funding Scenario [Reasonable Growth & Prioritized Increases]: **\$31,214,786**
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2010-2014 Gap in Expenditures for Materials



PEER ANALYSIS

- Escalating materials costs are not unique to UF, but UF has not responded with increased funding for materials
- The average Total Library Expenditures for the peer institutions increased by **\$1,789,915** from 2008 to 2012
- The Total Library Expenditures for UF Libraries increased by **\$7,858** from 2008 to 2012

2014-2015 Budget Scenarios

- **Flat Budget Scenario**
 - A flat budget is a budget cut because of the increase in the cost of materials
 - Without a **\$1.1 million** increase in the materials budget, the Libraries will be forced to cut the Springer and Sage electronic journal packages as well as other materials

2014-2015 Budget Scenarios

- **Optimal Budget Scenario**
 - A truly optimal budget should make significant progress toward parity with peer institutions as UF progresses toward preeminence
 - Full parity requires an increase of **\$14 million**
 - In lieu of that, we submitted a scenario with 6 priorities for Reasonable Growth & Prioritized Increases

2014-2015 Budget Scenarios

- Reasonable Growth & Prioritized Increases:
 1. Addition of **\$1.1 million** to the recurring materials budget to avoid loss of core content listed in Appendix 2
 2. Commitment for an additional 5% per year to cover price increases and sustain currently available information resources (**\$434,000**)

This does not restore 2012-2013 cuts listed in Appendix 1 or prior year cuts

2014-2015 Budget Scenarios

3. Commitment for at least 5% in FY2014-2015 and each of the next 5 years to begin to restore lost content and add new content **(\$434,000)**
4. Respond to student interest in overnight hours for Marston Science Library (MSL) **(\$100,000)**
5. Increased operating budget to maintain library facilities and furnishings **(\$750,000)**
6. 5 faculty and 4 staff to provide core services **(\$641,595)**

2014-2015 Budget Scenarios

- “Optimal” Budget includes a total increase of **\$3.4 Million**, which would protect core content and allow acquisition of new content and provision of high-demand services
 - Library Materials: **\$1.9 Million**
- This is still below the optimal library budget of **\$42.7 Million** relative to peer institutions

2014-2015 Budget Report

- **Fiscal Year 2014-2015 Budget Review: The George A. Smathers Libraries**
 - **Full Report:** <http://ufdc.ufl.edu/IR00003674/00001>
 - **Appendix 1. Title list for University Libraries Print Serials Cancellations:** <http://ufdc.ufl.edu/IR00003671/00001>
 - **Appendix 2. Springer and Sage Title Lists with Usage Data** <http://ufdc.ufl.edu/IR00003671/00001>

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