Description of the Proposed Project for the Chiang Ching-kuo Foundation – Research Grant:
Validating Paul M. W. Linebarger’s Role in the History of the Chinese Republic

This proposal requests travel and subsistence funding for one month of research time in Taiwan to investigate Chinese sources related to Paul M. W. Linebarger’s influence on Dr. Sun Yat-sen and others during the Republican period. Linebarger was an American lawyer who served 18 years as main legal counsel to Sun Yat-sen, the founder of the Chinese Republic, and later in 1930 – 37 as an advisor to the Nationalist government. This research seeks to answer: 1) How Chinese peers viewed Judge Linebarger’s importance to Sun, and later to the Republic of China in the 1930-37 period, when he was invited to advise the Nationalist Government in legal matters; and, 2) What is the historical assessment from Chinese sources on Linebarger’s contributions after his death?

The investigator plans to coordinate with these Taiwanese scholars: Dr. Hengsyung Jen, professor emeritus, Department of Foreign Languages and Literatures, National Taiwan University; and Dr. Heng-Hsiung Cheng, Associate Professor and former Director of University Libraries, Fu-Jen Catholic University. These scholars have agreed to support the project in providing location of and information on important resources, including both archival and in-person references. The investigator is aware that appropriate Republic of China historical archives such as those in Academia Sinica and the National Central Library can be used to accomplish this research. Once the research data has been collected and analyzed, a more accurate and complete historical representation will emerge on how the Chinese viewed Linebarger and his supportive endeavors during and after the time he worked in China and the United States on behalf of Sun, the Tongmenhui and the Kuomintang.

The author of Sun Zhongshan’s first official biography in English as well as Chinese was recognized as the earliest one by People’s Daily in 2001. Paul Myron Wentworth Linebarger, according to his son Paul Myron Anthony Linebarger in a footnote in the latter’s own 1937 publication “Political Doctrines of Sun Yat-sen,” wrote “Sun Yat-sen and the Chinese Republic” in 1924 as a propaganda work. Sun had collaborated with and fully authorized Linebarger in the creation of this account of his life; neither he nor Linebarger had any expectation that it would ever be regarded as an infallible source, and certainly not as an academically rigorous document. Hsu Chih-jen (徐植仁) translated this into Chinese as 孙逸仙傳記, published 1926 in Shanghai.

If Paul M. A. Linebarger (PMAL) is right, for them to collaborate closely on such an enterprise designed to enhance Sun’s public image as well as the cause, Linebarger must have had a special relationship with Sun which lasted from their first Manila meeting in 1906 during the time he served as a judge in the Philippines Seventh District, all the way to Sun’s death in 1925, comprising 18 or so years in which Judge Linebarger acted as one of Sun’s associates and advisors. While their relationship was not as ostensibly close as that of other foreigners to Sun, notably that of Homer Lea, Sun’s military advisor from Los Angeles who died in 1912, or that of Australian journalist/confidante William H. Donald, Judge Linebarger worked fervently for Sun’s cause, talking with prospective donors in overseas Chinese communities as well as to U. S. Congressmen on Sun’s behalf. Their rich connection based on mutual respect and admiration permeates all Judge Linebarger’s writings, including the last chapters of his typewritten final autobiography, the sole copy of which is held in the George A. Smathers Libraries, University of
If one examines major English-language monographs on Sun Yat-sen from the thirties to the present, one finds a relative dearth of any references to Judge Linebarger. While Lyon Sharman dropped his name five times in her celebrated 1934 “cult-corrective” work entitled “Sun Yat-sen, His Life and Meaning: A Critical Biography,” she seemed to favor only light anecdotes about the Judge becoming “in his own way, a Lafayette to Sun,” especially in fund-raising and writing about Sun. One of two authors in 1984 monographs detailing American contributions to the Chinese 1911 Revolution dismissed Linebarger as a supporter who could do nothing for Sun, and characterized him as a footnote in the big picture of Sun’s U.S. associates (Key Ray Chong, “Americans and Chinese Reform and Revolution, 1989-1922: The Role of Private Citizens in Diplomacy,” p. 135). Another author hinted, without using Judge Linebarger’s name, that people suspected “a lawyer who later became a judge” stole evidence that might have connected Linebarger with possibly illegal activities (Eugene Anschel, “Homer Lea, Sun Yat-sen and the Chinese Revolution,” p. xiv). However a more serious omission occurs in the in-depth 1994 “La Chine au XXe siècle: D’une revolution a l’autre 1895-1949 [English: Sun Yat-sen].” The author, Marie-Claire Bergere, never mentioned Judge Linebarger in her text and index, then wrongly attributed PMAL’s work “The Political Doctrines of Sun Yat-sen” to Judge Linebarger on p. 464 of her bibliography.

In looking at Chinese sources in the Chinese Academic Journals (CAJ) and People’s Daily (人民日報) databases, since 1980 in the former and 1948 in the latter, very few journal and newspaper records contain any reference to 林百克. A 2011 China Newsweek article on Huang Hsing (黃興: 功不必自我成) paraphrased a 1961 American monograph by Chun-tu Hsueh titled “Hwang Hsing and the Chinese Revolution.” Again, the passage involving Judge Linebarger was anecdotal in describing a supposed 1914 encounter between Huang Hsing and the Judge: 中國新聞週刊’s author refers to Judge Linebarger receiving a bulky package from Huang containing an appeal to the American public to support Chinese democracy in its struggle against the usurpation of Yuan Shih-k’ai. An account of this incident is attributed by Hsueh directly to “Sun Yat-sen and the Chinese Republic.” Hsueh further emphasizes that Judge Linebarger’s description is one of the few Western accounts honoring Huang Hsing as a leader comparable to Sun Yat-sen.

The other CAJ search result also is dated from 2011: 國父孫中山的平民生活 in 文史博覽 (Culture and History Vision). Judge Linebarger is described as Sun’s “秘書” or secretary, which was not the case; but the short article goes on to say that Sun trusted Linebarger and looks to him to help Sun make decisions. One can thus glean how important Judge Linebarger was to Sun through later critical writings such as this.

The People’s Daily database search produced only the previously mentioned 2001 article citing Judge Linebarger’s biography as the earliest by anyone, and a 1949 two-part article on the “History of American Aggression” (美國侵華史) which characterized both Linebargers as American intruders who worked for the Kuomintang government.

A 1982 book edited by Huang Jilu (黃季陸: 研究 中山先生的史料與史學) and published in Taiwan was listed in an OCLC First Search compilation of books containing
references to Judge Linebarger. The 1975 and 1982 print editions are only available in Taiwan. An electronic table of contents of this important work can be accessed, but the full-text of any of these chapters is inaccessible to the investigator. The print as well as electronic research limitation in this case reflects the investigator’s challenges and barriers to accessing Chinese sources contemporary to Sun, as well as those later critical sources for opinions or data about Judge Linebarger. Spending time in Taiwan libraries and archives is required to research adequately the role Judge Linebarger played in his dealings with Sun Zhongshan and other key players in overthrowing the Manchus, establishing the Republic and working as a legal advisor.

This research will fill a lacuna in scholarship on one of Sun’s American advisors who strongly supported Sun, and may have influenced Zhongshan in certain ways. It will provide the content and context necessary to promote widely the existence of the University of Florida’s typewritten and extensively annotated manuscript, “Counsellor to Sun Yat-sen,” as an important resource for scholars researching Sun Yat-sen and U. S. – China relations. This manuscript is currently in the queue to be digitized and mounted in the University of Florida Digital Collections (http://ufdc.ufl.edu/).

The investigator will publish research findings in a peer-reviewed journal article. As a result of discussions with colleagues in Taiwan, subsequent scholarly output may include an article in an academic Chinese journal. These research products also will contribute to greater knowledge of Paul M.W. Linebarger’s status as advisor and friend to Sun Zhongshan.

**Proposed Research Schedule**

<table>
<thead>
<tr>
<th>Timeframe</th>
<th>Activity</th>
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<tr>
<td>July 1, 2014</td>
<td>Travel from Japan to Taiwan (I will have already spent four months on the China mainland and in Japan researching another topic during my academic sabbatical)</td>
</tr>
</tbody>
</table>
| July 2          | Meet with scholar contacts 1) Dr. Hengsyung Jeng (鄭恆雄), Professor Emeritus, Department of Foreign Languages and Literatures, National Taiwan University, 12 – 7F Lane 52, Wenchou Street, Taipei, Taiwan 10660  
 hengsyung@gmail.com 2) Dr. Heng-Hsiung Cheng (鄭恒雄 same characters as above), Associate Prof., Fu-Jen Catholic University, Former Director of University Libraries (FJU), 5F-1, No.32, Sec. 1, An He Rd., Taipei 106, Taiwan chenghh@blue.lins.fju.edu.tw |
| July 3 – July 30| Conduct research and write at Academia Sinica Archives / National Central Library / other appropriate libraries and archives                         |
| July 31         | Travel from Taiwan to San Francisco                                                                                                      |
| August 1 - 6    | Conduct research and write at the Hoover Institution Archives at Stanford University, contact person: Mr. Charles Fosselman, Access & Digital Information Services Librarian, East Asia Library |
| August 7        | Travel from San Francisco to Baltimore                                                                                                     |
| August 8 - 12   | Conduct research and write at the Eisenhower Library Special Collections Department, Johns Hopkins University, contact person: Mr. Yunshan Ye, East Asian Librarian, Eisenhower Library |
| August 15 – June 1, 2015 | Prepare, submit and publish articles in US and Chinese publications                                                                 |
### Itemized Budget

<table>
<thead>
<tr>
<th>Expense Category and Detail</th>
<th>Cost</th>
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<tbody>
<tr>
<td>Airline travel: half the round trip cost from East coast to East Asia, per the CCKF guidelines</td>
<td>$750</td>
</tr>
<tr>
<td>Subsistence costs: based on $120 / day for 31 days in Taiwan, per the CCKF guidelines</td>
<td>$3,720</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>$4,470</strong></td>
</tr>
</tbody>
</table>

**NOTE:** The principal investigator, David Hickey, does not plan to utilize a research assistant. He has been awarded sabbatical leave during the travel period proposed here.
October 14, 2013

Chiang Ching-kuo Foundation for International Scholarly Exchange  
8361 B Greensboro Drive  
McLean, VA 22102  
RE: David Hickey - American Region: Research Grant

Dear Administrator,

Please accept this letter fully endorsing the attached application submitted by David Hickey, associate university librarian at the George A. Smathers Libraries, University of Florida (UF). Mr. Hickey served as the Asian Studies Bibliographer for the past 13 years and is eminently qualified to carry out the research project as outlined in his proposal.

Mr. Hickey has the support of the Libraries in undertaking this project, *Validating Paul M. W. Linebarger’s Role in the History of the Chinese Republic* which will provide valuable information necessary to promote further scholarly research into the only copy of Judge Linebarger’s manuscript held here at UF. This manuscript will be digitized shortly and loaded to the University of Florida Digital Collections for unlimited access worldwide.

The University of Florida agrees to comply with CCKF guidelines for transferring and managing funds within UF’s standard accounting practices for receipt of foundation funds.

Please do not hesitate to contact Bess de Farber, the Libraries’ grants manager at bdefarber@ufl.edu with any questions you may have.

Sincerely,

Judith C. Russell  
Dean, University Libraries

Brian C. Miller  
Assistant Director of Research

*University of Florida  
Division of Sponsored Programs  
219 Grinter Hall, Box 115500  
Gainesville, FL 32611  
(352) 392-1582 PH  
(352) 392-4400 FAX  
ufproposals@ufl.edu*

*The Foundation for The Gator Nation  
An Equal Opportunity Institution*
Curriculum Vita

Date October 10, 2013

Vita of C. David Hickey
Asian Studies Bibliographer
Associate Librarian
cdhickey@ufl.edu
http://guides.uflib.ufl.edu/asian_studies /
http://guides.uflib.ufl.edu/chinese_culture

Work Experience:

University of Florida George A. Smathers Libraries, October 2000 to present, Asian Studies Bibliographer / Associate University Librarian:
Responsible for the overall development and management of the Asian Studies collections, including selecting and evaluating CJK language materials in both print and electronic media. Analyzes the university Asian Studies programs, determines needs and priorities, and plans to enhance the library research resource support of these programs. Collaborates with library and teaching faculty to establish collection management policies; organizes and collaborates with library staff to develop public service and technical programs and policies on behalf of these programs. Participates in the library’s publications and fund-raising programs. Awarded tenure 2007.

Specifically as Chinese Collection Manager and Japanese/Korean Collections Coordinator, performs all aspects of native script and western language collection development and management, collaborates with academic faculty in building up holdings to support current and future programs, and provides on-demand subject reference service and bibliographic instruction.

University of Florida Smathers Libraries, July 1995 to October 2000, Chair, Access Services Department, and Chinese Collection Manager:
Provided leadership in the development, coordination and provision of services that facilitate user access to information resources in the Smathers Libraries. Responsible for interlibrary loan/document delivery and storage collection management for all Smathers Libraries, and for circulation, reserves and stack management services for the humanities and social sciences collections. Served as a member of the management team in establishing library programs and setting policies, especially those covering public services.

Responsible for overall supervision of automated systems, interlibrary loan and circulation, including reserves, stack control, library privileges and photoduplication services. Shared
in providing evening/weekend general reference service, and acted as Asian
Studies/modern language collection development liaison.

**Georgetown University Lauinger Library, Washington D. C.,
September 1979 to July 1991, Head of the Circulation Department:**
Planned and implemented a GEAC circulation system. Responsible for ongoing automated
operations, access privileges, Reserve section, ILL, stack control and exit security.

**Northwestern University Library, Evanston, Illinois, March 1977 toSeptember 1979, Assistant Head of Circulation Services:**
Supervised Library exit control, study space assignments, fines and billing, Library limited
access and borrowing procedures, and computerized circulation activities.

**Center for Research Libraries, Chicago, Illinois, July 1976 to March 1977, Assistant Circulation Librarian:**
Performed bibliographic searching and disseminated materials and holding information to
interlibrary loan agencies around the country.

**United States Navy, 1969 – 1973, Commissioned Officer:**
Administrative Assistant in Manned Spacecraft Recovery Section, Hawaii during Apollo
17 and early Skylab missions; Advisor to South Vietnamese Navy, Nha Trang;
Administrative Assistant in Operations Department, USS Oklahoma City (CLG-5), based
in Yokosuka, Japan.

**Education:**

PhD coursework in Chinese Literature/Language, with minors in Applied
Linguistics and East Asian History, Georgetown University, 1982-2000—
completed all requirements but dissertation.
AMLS in Library Science, University of Michigan, 1976.
AB in Russian Literature, University of Notre Dame, 1969.

**Honors:**

Granted two-semester sabbatical to be taken during Spring and Summer
Seminsters, 2014.

Awarded a full travel scholarship to attend the Chinese Studies Electronic
Resources Workshop at the University of Washington, Summer 2008. Participated as a
fully funded foreign honoree in the invitation-only “International Forum of Chinese
Databases: Perspectives of Collection Development and Usage” at Renmin (People’s)
University of China in Beijing during the follow-up tour of Beijing and Shanghai libraries
and electronic/print vendors, October 2008.
One of twenty Chinese Studies Librarians nationwide to receive an invitation to participate in the Luce Summer Institute for East Asian Librarians held at the University of Pittsburgh during the summer of 2004. Attended the follow-up tour of Shanghai and Beijing book vendors and libraries, October 2004.

As one of ten Chinese Collection Managers nationwide to receive an American Library Association/Hong Kong Book Fair stipend, attended the Hong Kong Book Fair July 17 – 23, 2001.

Languages:

Spoken Mandarin Chinese and written modern standard Chinese (working knowledge), written Japanese (rudimentary knowledge).

Publications:

Refereed:


Non-refereed:


Papers, Speeches, Presentations:

Invited:

1. *Resources for College Libraries (RCL)* peer referee report for the Asian History, Languages and Literatures Section. Involved extensive subject taxonomy analysis, subject resources recommendations and collection management area assignment review. Anne Doherty, Project Editor for RCL, commented “You provided us with invaluable advice on how best to refine this area…your contributions have been central to the credibility of the RCL content and its value to academic librarians, faculty and students.” January 8, 2013.


Center’s Asian Studies Development Program (University of Hawaii) and Florida Institute of Technology, Melbourne, November 5, 1999.

Not Invited:

1. Introduced general and specialized library resources and services to UF students taking such courses as Asian Religion and Asian History 2000 - 2013.


Creative Works:


2. 2009 – present, creator and maintainer of the University of Florida Smathers Libraries Asian Studies Libguide, the official library site for Asian Studies: http://libguides.uflib.ufl.edu/asianStudies.


4. January 2005, as a member of the ALA International Relations Round Table (IRRT), compiled the online International Job Exchange Bibliography: http://www.ala.org/al/irr/irrtcommittees/irrtintlexc/internationaljob.htm updated January 2006 and now handled by the current Committee members.

Grants: (funded only)

1. 2010 Library Enhancement Program in the Humanities $5000 grant awarded by the UF Center for the Humanities and Public Sphere, with the support of the Robert and Margaret Rothman Endowment for the Humanities. This enabled the library to acquire the entire print archive of an important annual Sinological bibliography from Japan. Co-Principal Investigator with Professors Sean Macdonald and Richard Wang, Chinese Language and Literature Department.


4. 2002 – 2005 Freeman Foundation Grant for East Asian Studies: supplemental funding of $45,000 per year for the four years to enhance Smathers Libraries East Asian holdings. Michael Tsin, 2000 – 2003 Director of the Asian Studies Program, was the Principal Investigator for this grant. Oversaw which library materials were purchased, and whether they complied with the restrictions of the library portion of this grant. Responsible for writing annual reports on the disposition of library funds.

Exhibits:


2. June – August 2009, “Smathers Libraries Chinese Artifacts, Literary and Otherwise,” Second Floor Smathers Library Exhibit Gallery and Special & Area Studies Collections Research Room exhibit cases. Featured were donations from the private collection of Dr. and Mrs. David Owens, formerly of Winter Park, including a Yuan Dynasty (1279 – 1368) massive head of Guanyin, the Goddess of Mercy.
3. January – February 2004, “Selected Asian Studies Holdings in the George A. Smathers Libraries,” co-presentation with Rare Books Curator Jeffrey Barr, coinciding with the annual meeting of the Southeast Conference of the Association of Asian Studies held locally. Featured were portions of the late University of Miami Professor John Knoblock’s personal Chinese Studies library worth $25,000, which was received as a gift from the Knoblock family after months of negotiation in April 2001.


National Organizations:

Association for Asian Studies (AAS), 1974 – present, member.

1. Association for Asian Studies (AAS), Council on East Asian Libraries (CEAL): Elected by the national membership to be Chair of the CEAL Public Services Committee (CPS), 2005-2008; 2008 – 2010 Ex-officio member of CPS. Organized and moderated the annual CPS program at the San Francisco CEAL meeting, April 5, 2006, and created the Committee’s web pages and blog (http://cealpublicservice.blogspot.com). April 2005 – 2008, as Chair of one of the six major Standing Committees, member of the CEAL Executive Board. Selected as one of a small number of “experts” in the field of Asian Studies Librarianship to rate the value of the Journal of Asian Studies, August 2012.


4. North American Coordinating Committee on Japanese Library Resources (NCC), 1997- present, member.

5. AAS CEAL “Small-to-Medium East Asian Collection Librarians Roundtable” at each Annual Conference meeting, 2004 – present, member.

6. AAS CEAL, April 2002 – March 2004, elected Member-at-Large. As Member-at-Large, member of the CEAL Executive Board.
7. AAS CEAL Executive Board, Fall 2003 – Spring 2004, appointed member of the three-person Nominating Committee for Vice-Chair/President-Elect and two Member-at-Large positions.

8. AAS CEAL Executive Board, April 2002 – March 2004, appointed member of four-person Ad Hoc Committee on ByLaws Revision; contributed in the work of drafting and implementing the new organizational Bylaws.


*Chinese American Library Association (CALA), 2004 – present, member.*

In an appointed role as one of four editorial staff members of CALA’s *Occasional Paper Series* (OPS), act as peer reviewer and style editor for submitted manuscripts. The resulting academic papers have appeared between 2008 and the present on the OPS website [http://www.cala-web.org/node/157](http://www.cala-web.org/node/157).

*American Library Association (ALA), 1975 – present, member.*


3. ALA International Relations Council (IRC), East Asia and the Pacific Subcommittee, 2003 – 2006, member. “Going Digital: Experiences from East Asia and the Pacific” panel, June 27, 2005. One of four who organized and implemented this landmark program at the Chicago ALA Annual Meeting.


Regional/State Organizations


University Service

1. Elected member of the Preservation of Historic Buildings and Sites Senate-Presidential Committee. 2010 - present

2. Selected by the Provost to serve for three years in a Presidential Committee appointment to the UF Property Management Board, 2009.

3. Elected member of the Lakes, Vegetation and Landscaping Joint Senate-Presidential Committee (LVL), and since Nov. 2008, serve as a member of the Landscaping Subcommittee, acting as the monthly meeting’s back-up chair on occasion. 2007 – present.

4. Member, Organizing Committee of the UF Chapter of United Faculty of Florida, 2002 – present; 2009 - present, elected UFF-UF Chapter Secretary and regional senator. Responsible for social events coordination.


Library Service


5. Search and Selection Committee for Special & Area Studies Collections Department Baldwin Historic Children’s Collection Curator, November 2010, member.

6. Market Equity Review Committee, May 2009 – present, appointed Chair. Head the five-man committee charged by the Administration and the Library Faculty Assembly to review requests for faculty salary adjustments to meet current market levels, per the ARL statistics and guidelines.

7. Library Faculty Assembly, September 2006 - 2009, elected Secretary.


