

MASS AND SIZE ESTIMATION OF CITRUS FRUIT BY MACHINE VISION AND
CITRUS GREENING DISEASED FRUIT DETECTION USING SPECTRAL ANALYSIS

By

JUNSU SHIN

A THESIS PRESENTED TO THE GRADUATE SCHOOL
OF THE UNIVERSITY OF FLORIDA IN PARTIAL FULFILLMENT
OF THE REQUIREMENTS FOR THE DEGREE OF
MASTER OF ENGINEERING

UNIVERSITY OF FLORIDA

2012

© 2012 Junsu Shin

To my loving wife for all her support

ACKNOWLEDGMENTS

I would like to thank my major advisor, Dr. Won Suk “Daniel” Lee, for his support and encouragement, both in my research and in my life. I would also like to give thanks to my other supervisory committee members, Dr. Reza Ehsani and Dr. Arunava Banerjee, for their advice and suggestions during my research. I would also like to thank CREC staffs, Liubov Polonik and Cindy Basnaw, for helping me collect citrus fruit samples. My family and friends were a great help and sustained through the good and bad times of this educational journey. Above all however, I would like to thank my wonderful and caring wife. I would surely not have pursued and finished graduate study without her by my side.

TABLE OF CONTENTS

	<u>page</u>
ACKNOWLEDGMENTS.....	4
LIST OF TABLES.....	7
LIST OF FIGURES.....	8
LIST OF ABBREVIATIONS.....	9
ABSTRACT.....	10
CHAPTER	
1 GENERAL INTRODUCTION	12
Background.....	12
Precision Agriculture.....	12
Citrus Harvesting	13
Citrus Debris Cleaning Machine	13
Citrus Greening Disease.....	14
2 POSTHARVEST CITRUS MASS AND SIZE ESTIMATION	16
Introduction.....	16
Objective.....	19
Materials and Methods.....	19
Hardware System for Machine Vision	19
Software Design and Algorithms	20
Image acquisition and pre-processing	21
Pixel classification using logistic regression model.....	23
Morphological operations and filtering	24
Highly saturated area recovering (HSAR).....	26
Mass calibration	27
Fruit separation using H-minima transform based watershed transform....	28
Fruit diameter estimation and mass estimation.....	30
Results and Discussion.....	30
Image Processing and Analysis	30
Mass Calibration and Estimation	32
Fruit Size Estimation and Counting	34
Mass Estimation Based on the Estimated Fruit Diameter.....	36
Conclusion.....	37
3 SPECTRAL ANALYSIS AND IDENTIFICATION OF HLB INFECTED CITRUS FRUIT	40

Introduction	40
Objective.....	42
Materials and Methods.....	43
HLB Associated Characteristics of Citrus Peel.....	43
Fruit Collection and Spectral Measurement.....	44
Data Analysis and Feature Selection	45
Spectral derivative analysis.....	45
Discriminability analysis	45
Stepwise discriminant analysis	47
Classification	47
Logistic regression	48
Linear Support Vector Machines.....	48
Results and Discussion.....	50
Spectral Reflectance and its First Derivative	50
Data Analysis and Feature Selection	51
Discriminability	51
Determination of optimal wavelengths	52
Classification	53
Conclusion	55
4 SUMMARY AND FUTURE WORKS.....	56
LIST OF REFERENCES	58
BIOGRAPHICAL SKETCH.....	61

LIST OF TABLES

<u>Table</u>		<u>page</u>
2-1	Field experiment summary: measured fruit mass and the number of images acquired.....	22
2-2	Results of regression analysis on the three mass calibration sets.....	32
2-3	Summary of the field experiment results.	33
2-4	Potential fruit counting and diameter distribution.	35
2-5	Results of regression analysis between the mass and the diameter of fruit sample in the calibration sets.	37
2-6	Summary of the mass estimation results based on fruit diameter.	37
3-1	Summary of fruit diameter measurements.....	44
3-2	Candidate wavelengths	52
3-3	Optimal wavelengths chosen by stepwise discriminant analysis	53
3-4	Classification accuracy for the two classification models.....	54

LIST OF FIGURES

<u>Figure</u>		<u>page</u>
1-1	Schematic diagram of citrus debris cleaning machine.....	14
1-2	Healthy and HLB infected citrus fruit.	15
2-1	Machine vision hardware setup.	21
2-2	Image processing algorithm block diagram.	21
2-3	Histograms of fruit and non-fruit samples..	25
2-4	Problem of filling holes operation.....	26
2-5	Highly saturated area recovering (HSAR) algorithm.....	27
2-6	H-minima transform based watershed segmentation results with several h values.	29
2-7	Summarizing the image processing results.	31
2-8	Result of regression analysis between the measured fruit mass and the estimated fruit mass.	34
2-9	Fruit separation result with watershed transform.....	35
3-1	Citrus fruit samples.....	44
3-2	Reflectance data from two healthy and two HLB infected citrus fruit.....	50
3-3	First derivative reflectance from two healthy and two HLB infected citrus fruit ...	50
3-4	Discriminability of the original reflectance data.....	51
3-5	Discriminability of the first derivative.....	52
3-6	Selected wavelength points near local maxima or minima	53

LIST OF ABBREVIATIONS

AYMS	Automated Yield Monitoring System
ECHO	Extraction and Classification of Homogenous Objects
GIS	Geographic Information System
GPS	Global Positioning System
HLB	Huanglongbing or citrus greening
HSAR	Highly Saturated Area Recovering
HSV	Hue, Saturation and Value
NASS	National Agriculture Statistics Survey
PCA	Principal Components Analysis
PCR	Polymercase Chain Reaction
PDF	Probability Density Function
R^2	Coefficient of determination
ROI	Region of Interest
RMSE	Root Mean Square Error
RGB	Red, Green and Blue
SSE	Error sum of squares
SVM	Support Vector Machines
YCbCr	Luminance, chrominance in blue and chrominance in red
YIQ	Luminance, in-phase chrominance and quadrature chrominance

Abstract of Thesis Presented to the Graduate School
of the University of Florida in Partial Fulfillment of the
Requirements for the Degree of Master of Engineering

MASS AND SIZE ESTIMATION OF CITRUS FRUIT BY MACHINE VISION AND
CITRUS GREENING DISEASED FRUIT DETECTION USING SPECTRAL ANALYSIS

By

Junsu Shin

December 2012

Chair: Won Suk “Daniel” Lee

Major: Agricultural and Biological Engineering

Citrus is the major fruit crop in Florida. Citrus industry occupies a significant portion of Florida’s agricultural economy. There have been many efforts to minimize producing costs, improve productivity and increase profit. Precision agriculture emerged as a solution to such efforts. A machine vision based imaging and a visible-near infrared spectroscopy are examples of precision farming technology widely used in agricultural sectors.

A machine vision system for estimation of citrus fruit mass, fruit count, and fruit size during postharvest processing was investigated towards the development of an advanced citrus yield mapping system. Such yield mapping system enables the citrus growers to efficiently manage the in-grove spatial variability factors such as: soil type, soil fertility, moisture content, etc., and can help increase yield and profits. Thus, a machine vision system was developed and installed in a citrus debris cleaning machine, which removes debris from mechanically harvested loads. An image processing algorithm was developed to identify fruit from images of the postharvest citrus from a commercial citrus grove. For fruit detection, logistic regression model based pixel

classification algorithms were developed. A mass calibration process was conducted, and fruit mass was estimated, which turned out to be reasonably good. The highest coefficient of determination (R^2) value between the measured fruit mass and the estimated fruit mass was observed to be 0.945 and the root mean square error was 116.1 kg. A H-minima transform based watershed algorithm was used to separate the joined fruit and enabled an estimation of fruit counting and fruit size. Fruit mass estimation using the fruit size information was also conducted and these results were compared with that of the mass estimation based on fruit pixel area.

This research further explored the application of visible-near infrared spectroscopy for HLB detection in citrus fruit. In the study, the possibility of identifying HLB disease in citrus fruit using spectroscopy was investigated in a laboratory setup. Citrus fruit samples (101 healthy and 101 HLB infected) were collected from a citrus grove in Lake Alfred, Florida during June and July 2012. Spectral reflectance (400 to 2500 nm) of the fruit samples were measured using a spectrophotometer. The reflectance and its first derivative were analyzed using discriminability analysis and the candidate wavelengths were selected. Wavelength features for classification were chosen by stepwise discriminant analysis. Logistic regression model and linear support vector machines (SVM) were used to classify HLB infected citrus fruit. Both models yielded more than 95% overall accuracy when trained with the first derivative. The classification results indicated that the first derivative data contained more discriminate features than the original reflectance.

CHAPTER 1 GENERAL INTRODUCTION

Background

Florida is the primary citrus producing state in the United States, supplying over 80% of the total citrus produced in the country. Citrus industry remains a major part of Florida's agricultural economy. The citrus industry generates more than \$9 billion in economic activity in Florida. However, the Florida citrus industry is currently under pressure from low-priced Brazilian imports. Brazil with cheap labor and abundant land surpassed Florida years ago as the world's top citrus grower and provider of concentrated orange juice. The increase in profitability of citrus production has been an issue for citrus growers in Florida to be competitive in the market. Precision farming emerged as a solution to improve yields and profits.

Precision Agriculture

Precision agriculture as called site-specific management is a technology to achieve the improvements in productivity, efficiency and quality for citrus production. The introduction of precision farming into crop production was made by the integration of a number of information-management technologies. These technologies include yield monitoring, remote sensing, geographic information system (GIS), Global Positioning System (GPS) and variable-rate application. Through the precision agriculture, citrus growers are able to identify the level of in-grove spatial variability, such as yield, tree size, soil type, soil fertility, water content, and many other factors that affect the productivity. Yield mapping is a valuable tool to manage such spatial variability and to implement site-specific crop management. Citrus mass estimation is an important factor in predicting citrus yield map. Since manual measurement of fruit mass of individual

citrus trees is time-consuming and laborious, indirect yield estimation techniques are required.

Citrus Harvesting

The common method of citrus harvesting is hand harvesting, but the hand harvesting is a labor intensive task involving large number of workers depending on the grove size. In order to improve production and decrease costs associated with hand harvesting, a cost-effective mechanical harvesting machine has been developed and used. Its usage has been increased during the past several years. One of the mechanical harvesting machines commonly used in the fields is a canopy shake and catch harvester. Although mechanical harvester brings many benefits to citrus growers, the harvester still has its drawbacks. Mechanical removal of leaves, twigs and branches along with fruit during harvesting results in more debris being delivered to processing plants. Debris should be separated from fruit at a later stage.

Citrus Debris Cleaning Machine

A prototype for a citrus debris cleaning machine was developed to filter out debris in the grove immediately after harvesting by a mechanical harvester. The machine is mainly composed of a hopper, a de-trasher, load cells and a conveyor belt. The fruit and debris are unloaded from a truck, named “goat”, as shown in Figure 1-1. Manual opening and closing of a gate installed underneath of the hopper controls the feeding of fruit and debris into the de-trasher. The de-trasher consists of a set of pairs of pinch rollers rotating opposite directions, and filters out leaves and twigs which are collected underneath the de-trasher as the fruit and debris pass through the de-trasher. At the end of the conveyor belt, the cleaned fruit load without any debris is transported back to another empty truck. Load cells were used to measure the mass of the material loaded

in the hopper. The load cells were located on the four corners of the hopper. The measured mass is displayed on digital screen (Model 715, Avery Weigh-Tronix, Fairmont, MN, USA). The mass of the collected debris is measured using a weighing scale (XI-60K, IP-65, Denver Instrument, Bohemia, NY, USA). The fruit mass is determined by subtracting the mass of the collected debris from the mass measured by the load cells.

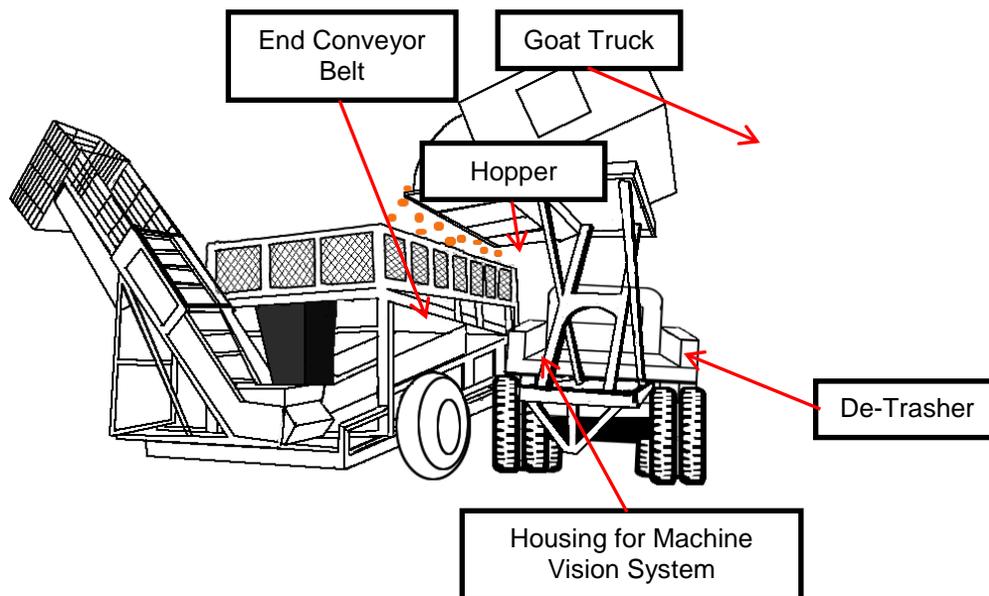


Figure 1-1. Schematic diagram of citrus debris cleaning machine.

Citrus Greening Disease

However, in recent years the citrus industry has been threatened by citrus greening disease also known as Haunglongbing (HLB). Haunglongbing is a destructive and rapidly spreading disease of citrus. The diseased tree will decline in its health and life time. Fruit from the infected trees are small and lopsided in shape and taste bitter. As shown in Figure 1-2, the shape of healthy citrus fruit is symmetric, whereas HLB infected fruit has non-symmetric shape.



Figure 1-2. Healthy and HLB infected citrus fruit. A) healthy fruit. B) HLB infected fruit

Since there is no cure once a tree becomes infected, the spread of HLB is prevented only by removing the infected trees. The disease damages not only economic value of fruit, but also the whole citrus industry. Since symptoms of HLB disease resemble those of nutrient deficiencies such as iron or zinc deficiency, the identification of HLB infected trees and fruit is a difficult task only depending on field observations.

CHAPTER 2 POSTHARVEST CITRUS MASS AND SIZE ESTIMATION

Introduction

Florida is the primary citrus producing state in the United States, supplying over 80% of the total citrus produced in the country. The increase in profitability of citrus production has been an issue for citrus growers to be competitive in the market. Precision farming is a technology to achieve the improvements in productivity, efficiency and quality for citrus production. Through this technology, citrus growers are able to identify the level of in-grove spatial variability, such as yield, tree size, soil type, soil fertility, water content, and many other factors that affect the productivity. Yield mapping is a valuable tool to manage such spatial variability and to implement site-specific crop management.

Image processing based machine vision technology has been employed in many yield monitoring and mapping applications. The widespread use of the machine vision technology in the agricultural sector is due to its capability of recognizing size, shape, color, texture and numerical attributes of the objects (Chen et al., 2002). Recently, Aggelopoulou et al. (2011) developed an image processing based algorithm for early yield estimation in an apple orchard. The algorithm forecasts tree yield by analyzing the texture of the tree image at full bloom. Safren et al. (2007) presented a multistage algorithm that estimated the number of green apples in hyperspectral images of apple trees. The algorithm utilized principal components analysis (PCA) and extraction and classification of homogenous objects (ECHO) as well as machine vision techniques.

Another type of vision system for fruit yield estimation was attempted by Zaman et al. (2008). They investigated the feasibility of estimating ripe blueberry fruit yield using a

digital camera and compared it with measurements of fruit yield acquired by hand-raking. Zaman et al. (2010) implemented an automated yield monitoring system (AYMS) utilizing a digital color camera, differential Global Positioning System, custom software, and a ruggedized laptop computer. They achieved highly significant correlation between measured and predicted fruit yield (coefficient of determination (R^2) =0.99, root mean square error ($RMSE$)=277 kg ha⁻¹).

In addition to yield mapping and monitoring applications, machine vision systems have been studied in many other agricultural applications including robotic harvesting, fruit grading and fruit defect detection. Recently, a machine vision algorithm (Hannan et al., 2009) was developed to recognize oranges in various light conditions and clusters for automated harvesting. Bulanon & Kataoka (2010) reported machine vision based fruit detection system for robotic harvesting of Fuji apples. A number of machine vision systems have been developed to inspect fruit quality and characteristics. These include systems for the apple defect detection (Zou et al., 2010), automated strawberry grading (Xu & Zhao, 2010), banana quality inspection (Mansoori et al., 2010), tomato classification (Laykin et al, 2002) and the defect detection in citrus peel (Blasco et al., 2007). Zou et al. (2010) proposed a three color camera based classification system, that captured the whole surface of apple fruit, for detecting defects in the fruit by segmenting and counting regions of interest (ROIs) corresponding to fruit blemishes. A strawberry grading system developed by Xu & Zhao (2010) divided fruit into four grades using the shape, size and color information obtained from an image processing technique. Mansoori et al. (2010) used Fuzzy C-means segmentation algorithm to identify banana from images. A tomato classification (Laykin et al., 2002) system was developed based

on the quality parameters such as color, shape, color homogeneity, defects and stem detection acquired from color image analysis. Blasco et al. (2007) proposed a region-oriented segmentation algorithm for the defect detection in citrus peel. Unlike the pixel based segmentation which would require supervised learning and frequent training sessions, the proposed method was developed as an unsupervised learning algorithm stressing the contrast between different objects of interest in the image.

A group of researchers at the University of Florida has been working for some years on citrus yield monitoring and mapping. Annamalai et al. (2004) investigated a machine vision system to identify citrus fruit and to estimate fruit yield in real-time. This system carried out the yield estimation based on the images on citrus trees before the harvesting. Chinchuluun et al. (2009) used machine vision to develop citrus counting and size measurement system for a canopy shake and catch harvester. Their machine vision system was installed on the canopy shake and catch harvester and was tested, but the vision system was not examined in a field harvesting scenario. Maja & Ehsani (2010) developed a load cell based citrus yield monitoring system for different citrus mechanical harvesting machines. The system utilized a GPS receiver and a mass flow sensor to create a yield map. The highest R^2 value between the true mass and the estimated mass was 0.97, but the average percentage error was 9.2% for high flow rates and 3.6% for smaller loads.

Another study was conducted at the University of Florida to estimate debris mass from mechanical harvesting. Bansal et al. (2011) investigated an automated machine vision system for estimating debris in the citrus canopy shake and catch harvester during harvesting, and reported an R^2 of 0.78 and an $RMSE$ of 0.02 kg between the

actual and estimated debris mass. The debris materials include non-citrus objects such as leaves and twigs which are collected along with citrus fruit during harvesting. Diseased debris is a primary source of spreading citrus diseases such as citrus canker. Hence, it is imperative to have an automated debris disposal system. In an effort to build such system, a citrus debris cleaning machine that collects debris postharvest was developed. Previous yield monitoring systems were developed such that measurement took place during or before harvesting. This type of measurement could reduce estimation accuracy since debris or non-fruit objects might be included. Hence, measurement after the removal of unnecessary objects would increase yield estimation accuracy. For such a system, image acquisition after the cleaning process would be required.

Objective

The objective of this research was to develop a real-time machine vision system for citrus mass and size estimation in the postharvest citrus debris cleaning machine. To achieve fruit detection, a supervised learning algorithm was developed, and a modified version of the watershed algorithm was proposed. The fruit detection algorithms were developed such that they could form a basis for developing an advanced citrus yield mapping system in future research.

Materials and Methods

Hardware System for Machine Vision

A machine vision hardware system was developed consisting of a CCD color camera (Bobcat GigE VGA, Imperx Inc., Boca Raton, FL, USA) (Fig. 2-1a), two of white Exolights (MetaWhite™, Metaphase Technologies Inc., Bensalem, PA, USA), an incremental encoder (CI20 CoreTech®, Stegmann Inc., Dayton, OH, USA) (Fig. 2c),

and a data acquisition card (DAQCard-6036E, National Instruments, Austin, TX, USA). A camera with high frame rates (206 fps) feature was chosen for the acquisition of clear high quality images. The size of the images acquired from the camera was 640×480 and the resolution was 0.78×1.16 mm pixel⁻¹. To synchronize with the conveyor belt for image acquisition, an incremental encoder was installed on a rotating axis of the conveyor. The average speed of the conveyor belt was 0.67 m sec⁻¹.

The above hardware was installed in a citrus debris cleaning machine described previously. The cleaning machine was operated by a power take-off from the tractor and the tractor battery was used as a power source. In order to remove the effect of variations in sunlight, a housing that covers the camera and the two lights was designed (Fig. 2-1b). The housing box was made of cardboard. The appropriate height of the camera was determined such that the entire area inside the housing could be acquired, and high quality images could be obtained with uniform illumination. For the uniform light distribution, high quality light was chosen, which could provide shadow free illumination and diffused light. All hardware setups are shown in Figure 2-1.

Software Design and Algorithms

An algorithm to estimate citrus mass based on machine vision was designed including image rectification, image segmentation based on logistic regression model, morphological operations, highly saturated area recovering (HSAR) and mass calibration algorithms. These are explained individually in detail later in the section. The block diagram representing the flow of the image processing algorithm is shown in Figure 2-2. The calculations involved in the image processing algorithm were implemented in MATLAB Version R2010b (The MathWorks Inc, Natick, MA, USA).

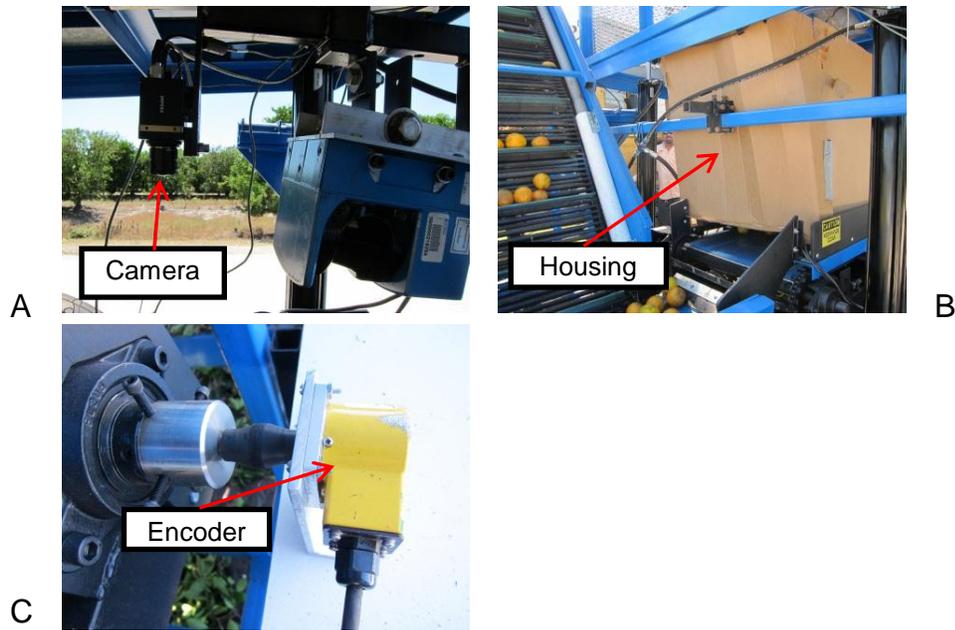


Figure 2-1. Machine vision hardware setup. A) CCD camera installed on the machine, B) housing cover, and C) encoder.

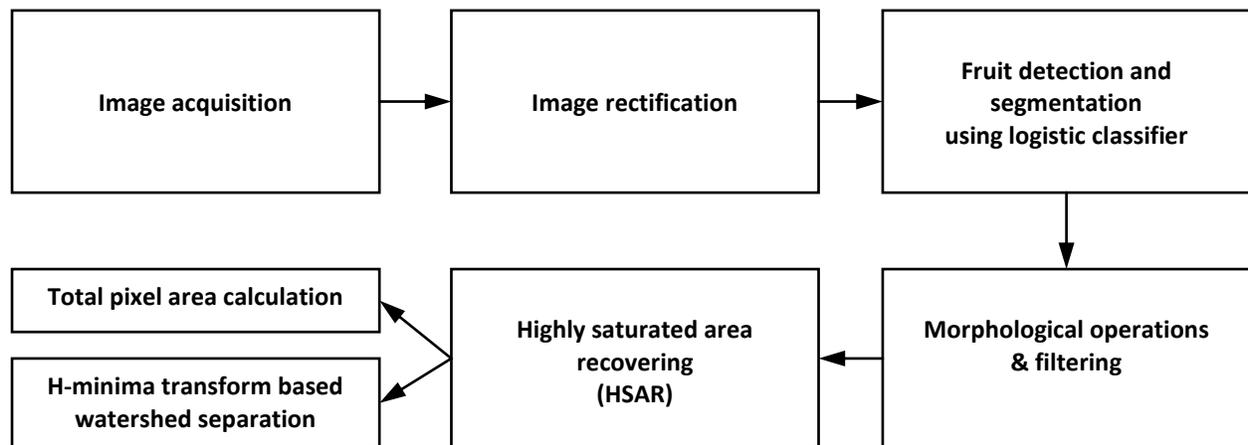


Figure 2-2. Image processing algorithm block diagram.

Image acquisition and pre-processing

The machine vision system acquired images of citrus fruit moving over the conveyor belt of the de-trasher in the cleaning machine. Since the vision system was located at the end of the de-trasher, the citrus debris was filtered by the de-trasher and was most unlikely included in the images captured by the system. In order to avoid missing and overlapping between sequential images, the incremental encoder was

installed on the rotating axis of the conveyor to synchronize with the speed of the conveyor belt in the de-trasher. The images were recorded in the field and were post-processed.

Field experiments were conducted three times at a commercial citrus grove (Lykes Bros. Inc., Fort Basinger, FL, USA). The experiments took place on May 19, May 31, and June 14, 2011. Table 2-1 summarizes the field experiments with the measured fruit mass and number of images in each test. A total of 4176, 4948 and 8554 valid images were obtained from the first, second and third experiments, respectively. Each experiment was divided into a number of sets and each set represents different yield amount and harvesting conditions. The first set of images in each field experiment was used for training and developing classification algorithms. From the training image sets, fruit and non-fruit sample images were manually cropped and assembled separately. Then, those cropped images were used for fruit and non-fruit pixels sampling.

Table 2-1. Field experiment summary: measured fruit mass and the number of images acquired.

	Set number	Measured fruit mass (kg)	Number of images
1st experiment	1	1,721.4	1132
	2	587.4	545
	3	739.4	599
	4	1,984.5	1900
2nd experiment	1	1,492.3	2084
	2	979.8	917
	3	1,628.4	1947
3rd experiment	1	2,004.9	2640
	2	1,217.9	1787
	3	1,510.5	2394
	4	1,614.8	1733

The images captured from the camera were not available for the direct use due to the distortion from the camera lens. They had to be rectified by means of the camera

calibration process. To rectify images, models for both the camera's geometry and lens distortion were derived. These two models along with custom software were used to correct intrinsic deviations and lens distortions. The software program was written using OpenCV C++ library (Bradski & Kaehler, 2008). All of images taken during the field tests were rectified using this program. This rectification process can be plugged into the algorithm proposed in this research such that all the processing can be done in real-time.

Pixel classification using logistic regression model

Classifying pixels into fruit or non-fruit is regarded as the binary classification problem. For the binary classification, logistic regression model is utilized. Logistic regression is quick to train and easy to implement. In addition, the model runs rapidly, so it is suitable for real-time processing. The logistic regression model is defined by Eq. 2-1.

$$y = g(w^T x) = \frac{1}{1 + e^{-w^T x}}, \quad y \in \{0,1\} \quad (2-1)$$

where

$$g(z) = \frac{1}{1 + e^{-z}} \quad (2-2)$$

A function $g(z)$ in Eq. 2-2 is the logistic sigmoid function (Bishop, 2006). The variable x in Eq. 2-1 represents the feature vector. A weight vector represented by the variable w is determined by the gradient ascent rule satisfying maximum likelihood condition. The outcome of this pixel classification is in the form of a binary image. The value zero (0) indicates a black pixel, and the value one (1) represents a white pixel in the binary output image. The white pixel region denotes where fruit resides in an image, but the black pixel area denotes background (non-fruit).

To find distinctive feature vectors for the classification, the training images were converted from red, green, and blue (RGB) color space to various type of color spaces, such as hue, saturation, and value (HSV); luminance, in-phase chrominance and quadrature chrominance (YIQ); and luminance, chrominance in blue, and chrominance in red (YCbCr). The histogram analysis was performed in each color space. As shown in Figure 2-3, fruit and non-fruit pixels occupy separate places with little overlapping in the histogram of hue (H), saturation (S), chrominance in blue (Cb) and chrominance in red (Cr) color spaces. Hence, these four color components were chosen as the feature vector. It is noted that more color feature vector could have been chosen, but then the feature data would have contained redundant data. The feature vector is described as below.

$$\begin{aligned}
 \mathbf{x} &= [x_1 \quad x_2 \quad x_3 \quad x_4] \\
 x_1 &= \text{hue value for a pixel} \\
 x_2 &= \text{saturation value for a pixel} \\
 x_3 &= \text{chrominance in blue value for a pixel} \\
 x_4 &= \text{chrominance in red value for a pixel}
 \end{aligned}
 \tag{2-3}$$

Morphological operations and filtering

Morphological operations including erosion, dilation and opening were applied to make a correction on segmentation errors and to remove noise from the segmented image. For the morphological operations, a disk-shaped structural element of a size of three pixels was used. This size was chosen empirically. Also, the geometrical information on the segmented part such as the ratio of major axis length to minor axis length was used to filter out the false segmentation. Assuming that a single fruit has an

ellipse shape, the major axis and the minor axis are defined as the longest and shortest diameter of the ellipse, respectively.

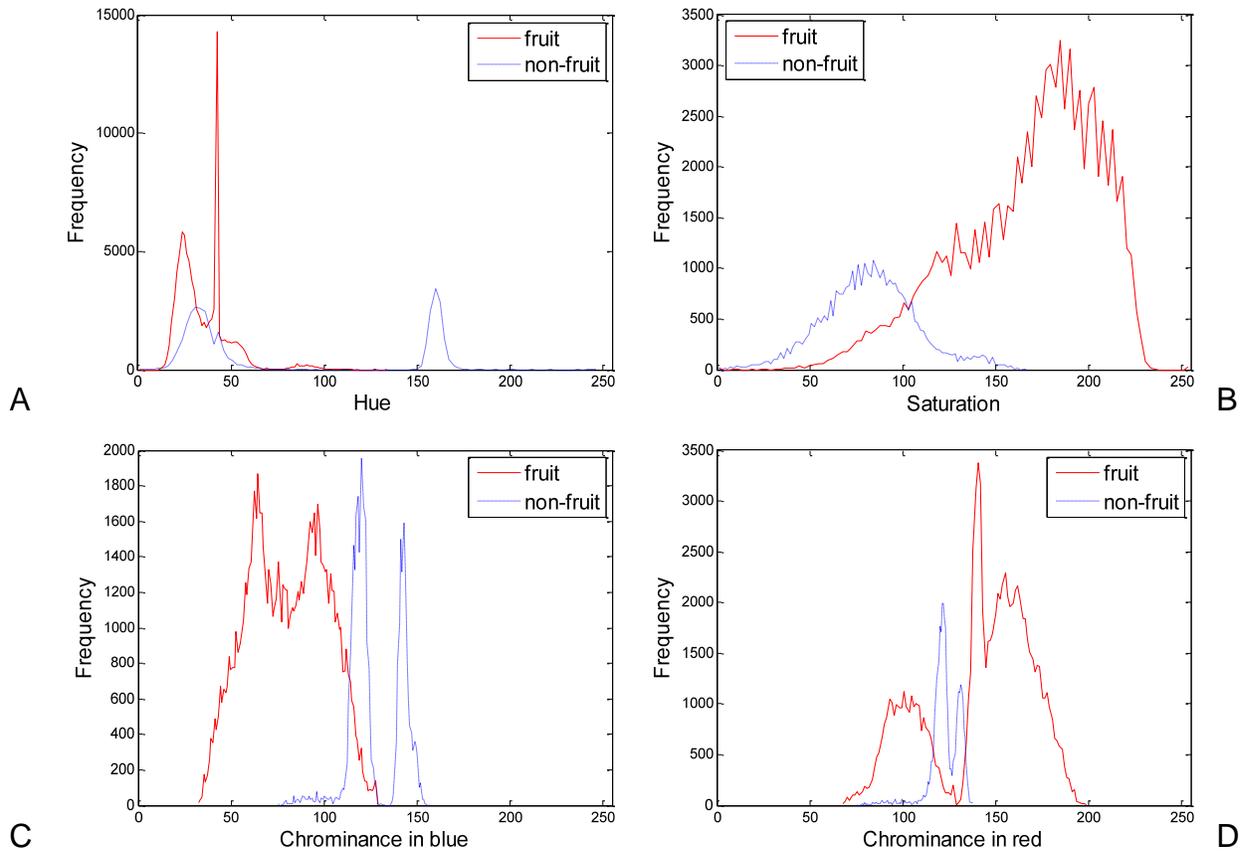


Figure 2-3. Histograms of fruit and non-fruit samples. A) hue, B) saturation, C) chrominance in blue, and D) chrominance in red.

After morphological operations, some holes remained in the segmented image which should be filled. As an easy trial, a filling holes operation could be used to fill them. However, the problem with the filling holes is that it incorrectly fills void spaces surrounded by fruit as well (Figure 2-4). It was observed that most of the holes remained in the segmented image due to the highly saturated area on the surface of fruit. A new algorithm which is explained later in the highly saturated area recovering

section was developed to recover the highly saturated area. With this algorithm, the error due to the filling holes operation can be avoided.

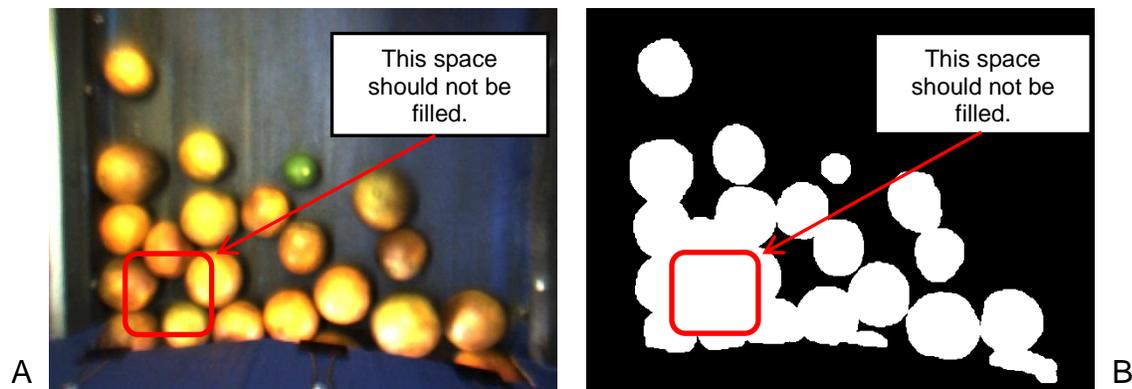


Figure 2-4. Problem of filling holes operation. A) test image with void space that should not be affected by filling holes operation. B) segmented image showing the problem of filling holes operation.

Highly saturated area recovering (HSAR)

Some parts of the fruit image and the background (non-fruit) image were highly saturated due to the light emitted from the lamps. The highly saturated areas may cause an error in the classification process, and hence they were excluded from the training sample for the logistic regression model. This means that the classification model does not identify the very bright areas on fruit in an image as fruit. Therefore, a highly saturated area recovering (HSAR) algorithm was developed to detect and recover highly saturated areas surrounded only by fruit pixels. Figure 2-5 shows an example of this algorithm. The steps involved in the HSAR algorithm were:

- 1) Find all highly saturated areas by the thresholding operation (Figure 2-5(C)).
- 2) Extract pixels in circumference around the areas found in step (1) by the combination of dilation and logical AND operation (Figure 2-5(D)).
- 3) Look up the extracted pixels and see if they are part of fruit pixels using the fruit color (Figure 2-5(E)).
- 4) If they are fruit pixels, add the identified areas to the classification result by logical OR operation (Figure 2-5(F)).

The detected region is added to the classification result so that entire fruit pixels are found. The red rectangle in Figure 2-5(B) indicates the highly saturated regions. Those regions are not categorized as fruit in the classification step. Later, those are recovered by HSAR algorithm as shown in Figure 2-5(F).

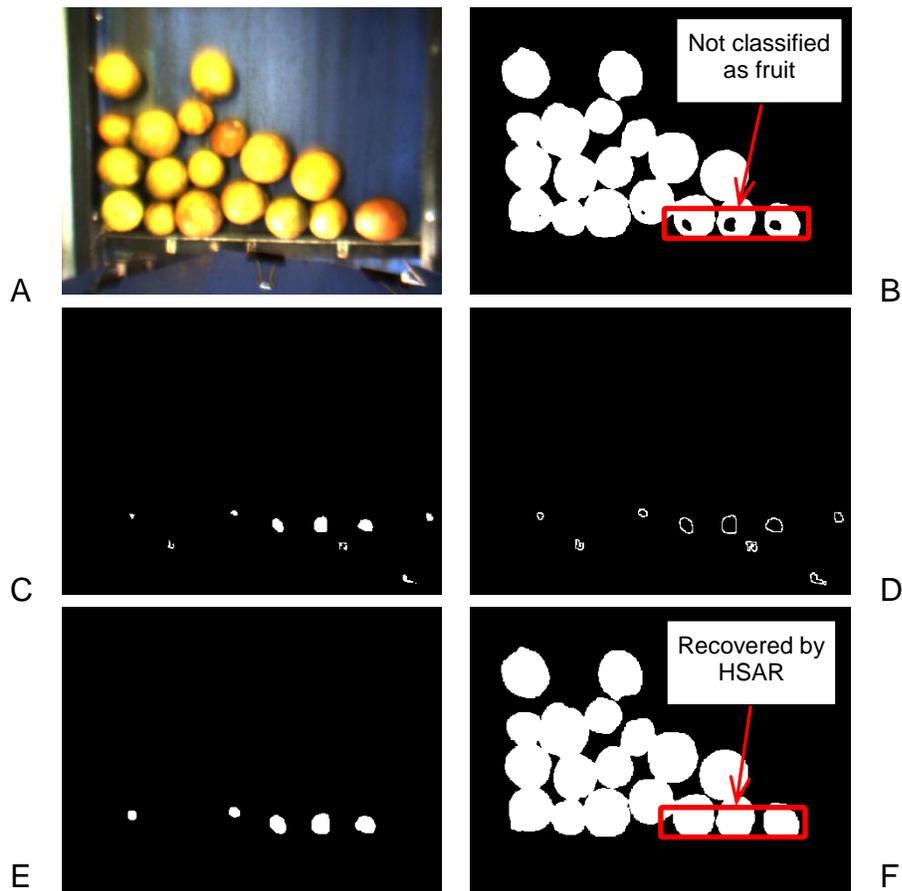


Figure 2-5. Highly saturated area recovering (HSAR) algorithm. A) original test image, B) segmented binary image without HSAR, C) candidate highly saturated areas, D) pixels around the areas, E) actual highly saturated areas, and F) recovered highly saturated area.

Mass calibration

While conducting each of the field experiments, a total of 40 fruit samples with varying sizes and masses were taken in order to calibrate the pixel area of fruit with respect to actual mass. The pixel area for each fruit sample was found out from the

binary images obtained from manual cropping using an image editing software (GIMP, GNU Image Manipulation Program). The mass of the individual fruit sample was measured using a weighing scale (Adventurer, Ohaus Corporation, Pine Brook, NJ, USA). A regression analysis was conducted to find a relationship between pixel area and actual mass. A linear model was assumed in the analysis. Hence, the model has the form of Eq. 2-4.

$$\text{Estimated mass (kg)} = p_1 \times \text{pixel area} + p_2 \quad (2-4)$$

Fruit separation using H-minima transform based watershed transform

In order to count the number of fruit and to estimate the fruit diameter, neighboring fruit which joined together in the output binary image need to be separated. To separate the touching fruit into individual fruits, a watershed transformation was conducted on the inverse distance transform of the complement of the output binary images, which were obtained from the image processing algorithm. However, it should be noted that the watershed separation yields over-segmentation results because every local minimum forms its own catchment basin which comprises one segmented area after the transform. To minimize the over-segmentation effect, local minima that are too shallow are eliminated using H-minima transform (Jung & Kim, 2010).

The H-minima transform is a powerful tool to suppress local minima whose depth is lower than a given threshold constant h . The H-minima transform is defined by Eq. 2-5. The operator R_f^ε in the Eq. 5 represents the morphological reconstruction by erosion of f . Here, f denotes the inverse distance map of the binary image.

$$HMIN_h(f) = R_f^\varepsilon(f + h) \quad (2-5)$$

Figure 2-6 depicts the results of H-minima transform based watershed segmentation with several different h values. As shown in Figure 2-6, the number of segmentation with several different h values. As shown in Figure 2-6, the number of segmented regions is changed directly by the constant h value. As the constant h increases, the number of the segmented regions decreases.

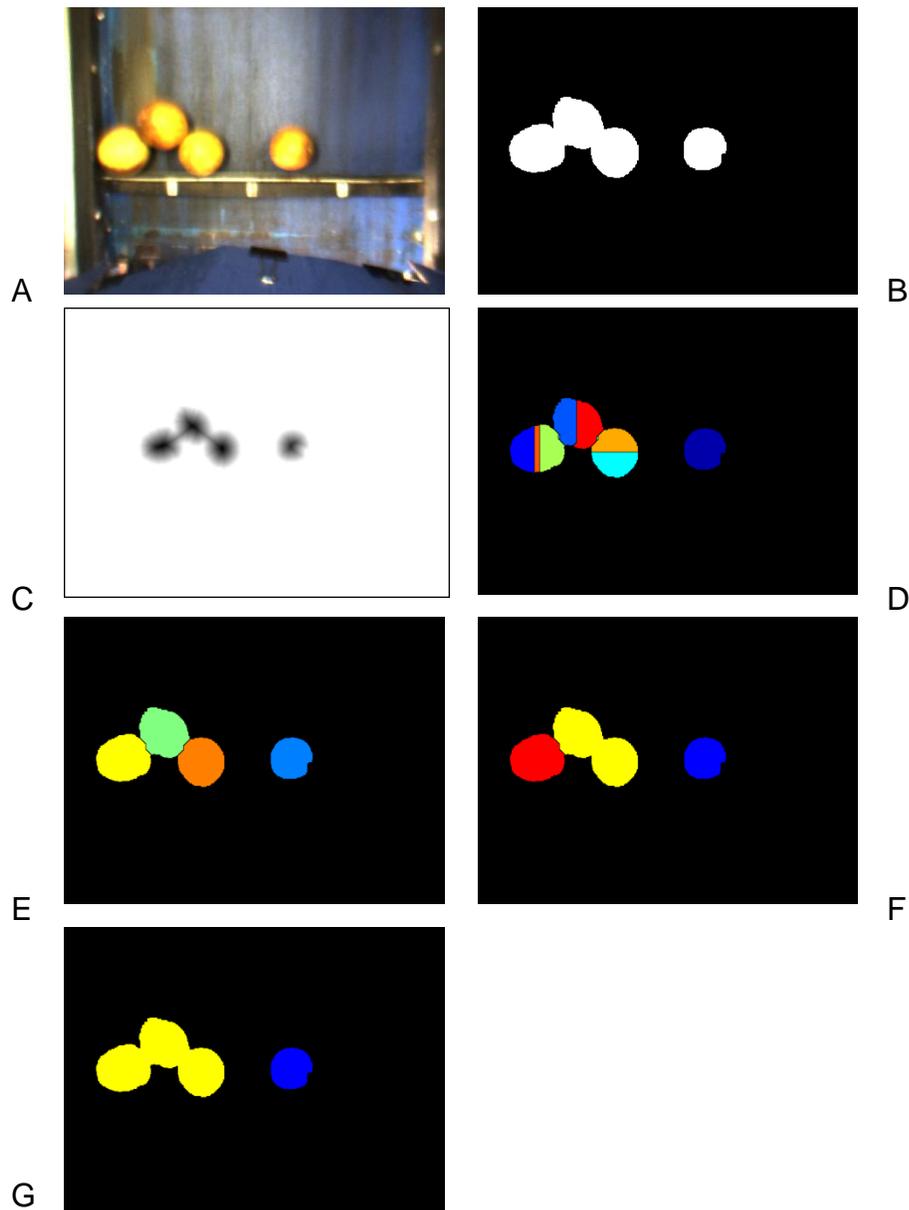


Figure 2-6. H-minima transform based watershed segmentation results with several h values. A) original image, B) binary image, C) inverse distance map, D) $h = 0$, E) $h = 2$, F) $h = 20$, and G) $h = 30$.

Fruit diameter estimation and mass estimation

When the calibration image sets were acquired, the diameter of each fruit sample was measured using a digital calliper. Based on the diameter measurement, the diameter of the segmented fruit in image can be estimated. Since the measurement was conducted on only the second and the third experiments, the diameter estimation can be performed on only those two experiments.

The calibration sets for the second and the third field experiments include the mass and diameter of the individual fruit samples. Using an equation obtained from a regression analysis, the estimated diameter can be mapped to fruit mass. The mapping equation has the form of Eq. 2-6.

$$\textit{Estimated mass (kg)} = p_3 \times \textit{diameter} + p_4 \quad (2-6)$$

Results and Discussion

Image Processing and Analysis

The main finding of this work is the development of an image processing algorithm to perform the detection of citrus fruit in an image to estimate fruit mass. Pixel area corresponding to fruit was computed based on the binary image obtained from the image processing algorithm. The core part of the image processing algorithm is the logistic regression model based image segmentation, designed for classifying pixels as fruit or non-fruit. Figure 2-7 summarizes the whole process for the segmentation. Pixels in highly saturated region of fruit were not categorized as fruit pixels by the logistic classification model as shown in Figure 2-7(c) since they were not considered as fruit pixels in the classification model training. Figure 2-7(d) shows the result image after the morphological operations and filtering. In the step shown in Figure 2-7(e), the highly saturated regions were recovered by the HSAR algorithm so that the whole regions

representing fruit in an image were detected. Figure 2-7(f) depicts the result of the fruit separation using H-minima transform based watershed transform.

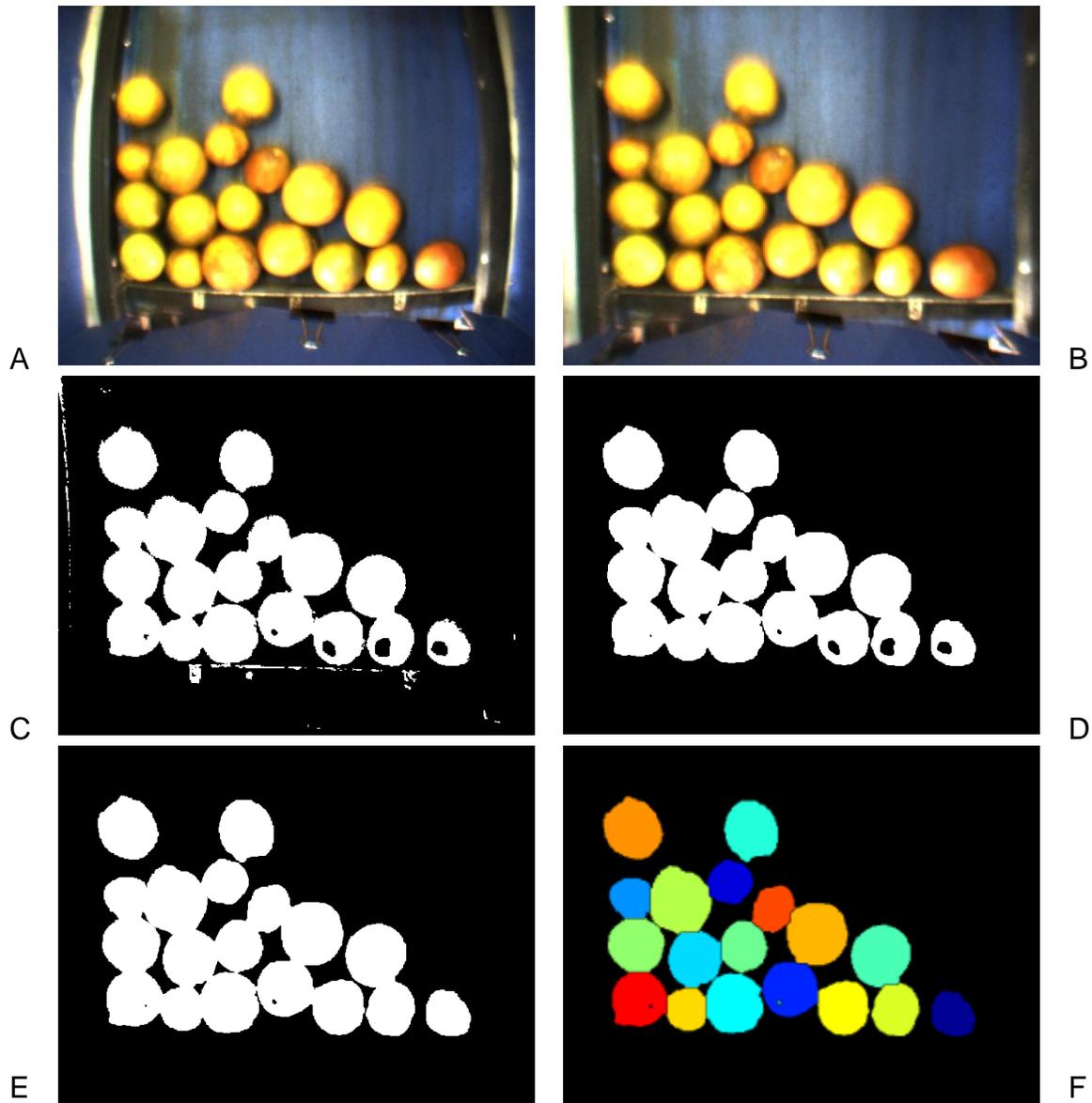


Figure 2-7. Summarizing the image processing results. A) original image, B) rectified image, C) segmented image using logistic regression model, D) image after morphological operations and filtering, E) image after HSAR, and F) image after H-minima transform based watershed separation.

Execution time of the image processing algorithm written in MATLAB for a single image ranged between 0.512 and 0.676 s. The processing time could be reduced

significantly if the algorithm is implemented in machine-level programming language such as C and C++.

Most of the errors found in the segmentation procedure were due to regions that share similar color characteristics with fruit. The HSAR algorithm was developed in an effort to avoid those errors, but it detects only the highly saturated areas, which are very bright regions. However, dark colored regions can cause the segmentation errors as well as the very bright regions. Some fruit had dark colored skin. These were very hard to distinguish from the dark colored non-fruit regions, such as the image of the worn-out floor of the conveyor belt. Thus, the unwanted area could be classified as fruit pixels. This would result in a false positive classification error in the mass estimation step.

Mass Calibration and Estimation

Table 2-2 shows the results of regression analysis on the mass calibration sets obtained from the three experiments. The constants p_1 and p_2 in Table 2-2 are defined in Eq. 2-4. These two constants were used in mapping pixel area to estimated mass.

Table 2-2. Results of regression analysis on the three calibration sets.

Experiment number	Error sum of squares (SSE, kg)	Coefficient of determination (R^2)	Root mean square error (kg)	p_1	p_2
1	0.0055	0.982	0.0121	0.0000636	-0.0892
2	0.0322	0.924	0.0291	0.0000686	-0.0273
3	0.0303	0.929	0.0282	0.0000718	-0.0659

The lowest coefficient of determination (R^2) values were observed to be above 0.92 between the measured fruit mass and the pixel area, which implies that the fruit mass can be estimated from the pixel area with high degree of accuracy.

The image processing algorithm was applied to all images acquired from the field experiments, and binary images were generated. Then, the entire pixel area

corresponding to citrus fruit in the experiment set was computed. The sum of pixel area was then mapped to estimated fruit mass using Eq. 2-4. Table 2-3 summarizes the estimation results.

Table 2-3. Summary of the field experiment results.

	Set	Measured fruit mass (kg)	Fruit pixel area (pixels)	Estimated fruit mass (kg)	Measured mass – Estimated mass (kg)	*Error (%)
1st experiment	1	1,721.4	25,105,593	1,597.6	123.8	7.2
	2	587.4	10,317,474	656.5	-69.1	-11.8
	3	739.4	12,053,362	767.0	-27.6	-3.7
	4	1,984.5	29,128,644	1,853.7	130.8	6.6
2nd experiment	1	1,492.3	22,631,009	1,552.1	-59.8	-4.0
	2	979.8	12,377,930	848.9	130.9	13.4
	3	1,628.4	23,265,168	1,595.6	32.8	2.0
3rd experiment	1	2,004.9	30,177,256	2,168.1	-163.2	-8.2
	2	1,217.9	17,288,120	1,242.1	-24.2	-2.0
	3	1,510.5	22,118,519	1,589.1	-78.6	-5.2
	4	1,614.8	19,927,113	1,431.7	183.1	11.3

$$*Error(\%) = \frac{\text{Measured fruit mass} - \text{Estimated fruit mass}}{\text{Measured fruit mass}} \times 100$$

Regression analysis was conducted on the estimated fruit mass with respect to the measured mass obtained from the entire experiment sets. The highest R^2 between the measured fruit mass and the estimated fruit mass was 0.945. A root mean square error ($RMSE$) was 116.2 kg. Figure 2-8 depicts the result of the regression analysis.

Here, an average of the measured fruit mass is introduced. The computed average was 1,407.4 kg. The $RMSE$ (116.2 kg) was 8.2% of the average measured fruit mass, which means the mass was estimated reasonably well. There are several reasons explaining this $RMSE$ and the inconsistent errors. The encoder was used to synchronize with the speed of the conveyor belt of the cleaning machine, but the synchronization did not operate ideally due to the sensor noise. This led to missed or

overlapped images of the fruit. Also, the housing for blocking the sun light was another source of fruit missing error. A part of the housing partly blocked the camera view, because the cover was damaged due to it being flexible and the large amount of fruit passed through the housing. Thus, it introduced some error in capturing images.

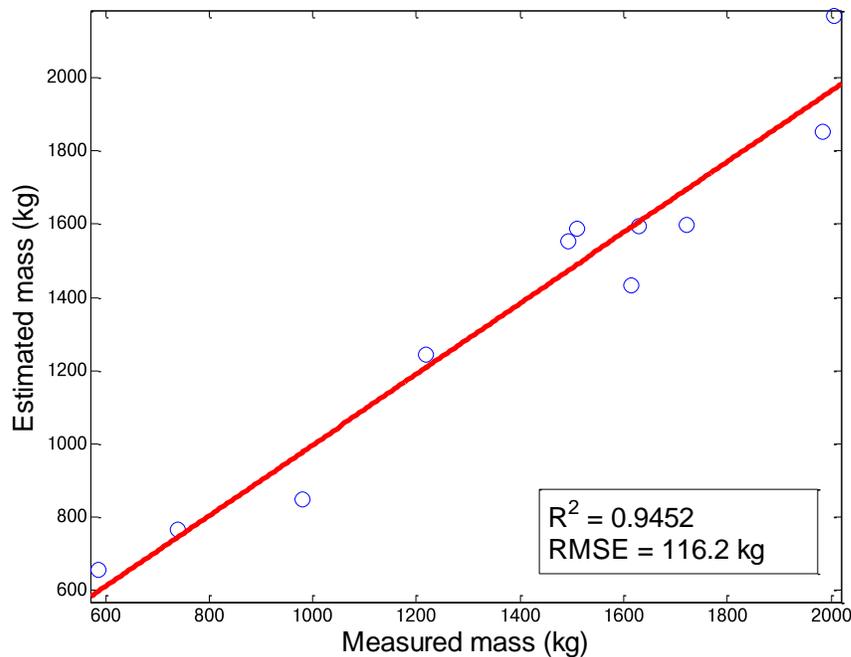


Figure 2-8. Result of regression analysis between the measured fruit mass and the estimated fruit mass.

Fruit Size Estimation and Counting

After only fruit regions were extracted from the image processing algorithm, the watershed transform was applied to separate joined fruit into individual fruits. The acquisition of individual fruit images enabled the number of fruit to be counted and the diameter of fruit to be estimated. The watershed transformation generated incorrect separation which led to over-segmentation since the regional minima were utilized directly for separating the touching fruit. The excessive over-segmentation in the watershed separation was prevented using H-minima transform. The appropriate

constant h value was chosen empirically for the best segmentation. Figure 2-9 shows the results of the watershed separation with and without H-minima transform.

Table 2-4 summarizes the potential distribution of the fruit size and counting. As shown in Table 2-4, a majority of fruit had diameter between 6 cm and 8 cm. The average fruit size ranged from 6.4 cm to 7.0 cm.

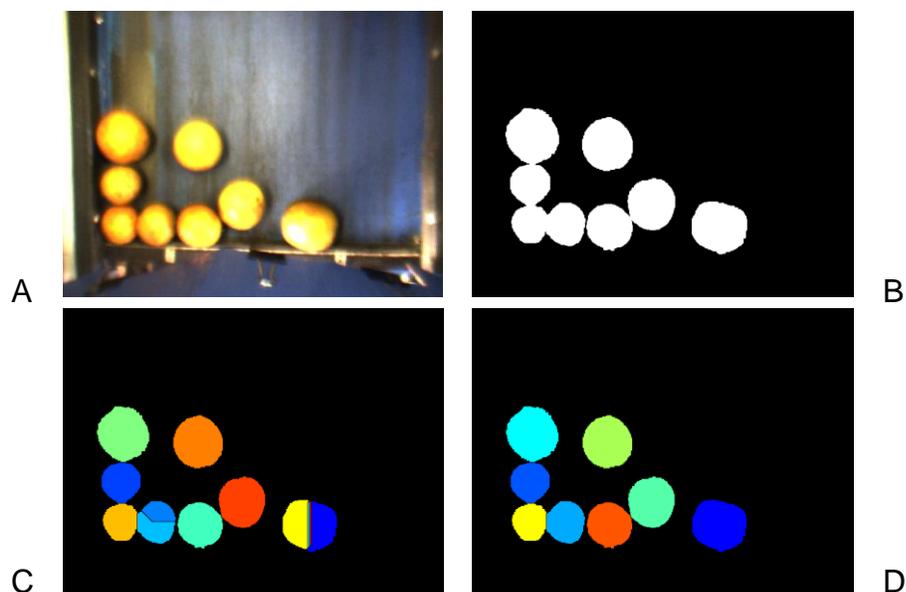


Figure 2-9. Fruit separation result with watershed transform. A) original image, B) segmented binary image, C) after watershed transform without H-minima transform, and D) after watershed transform with H-minima transform.

The accuracy of the estimated number of fruit cannot be verified since counting fruit manually was impossible. Rather than specifying the number of fruit, Table 2-4 gives an idea of the relative amounts of fruit among different fruit sizes. For example, set number 4 in the 3rd experiment had more fruits of 5-6 cm than those of 7-8 cm among all datasets, which indicates the area where these fruit were harvested produced smaller fruit than other areas. The fruit sizes mostly varied between 6 and 8 cm, and the second most harvested citrus ranged between 5 and 6 cm, and between 8 and 9 cm. This information could also suggest in-grove spatial variability of fruit sizes.

Table 2-4. Potential fruit counting and diameter distribution.

	Set	Number of fruit					Sum	Average (cm)
		5~6 cm	6~7 cm	7~8 cm	8~9 cm	9~10 cm		
2nd experiment	1	544	2016	2484	573	52	5669	7.0
	2	605	1419	1190	166	18	3398	6.7
	3	1059	3347	2131	216	44	6797	6.7
3rd experiment	1	994	3257	2660	515	64	7760	6.8
	2	680	2199	1377	192	24	4472	6.6
	3	962	3226	1637	119	15	5959	6.6
	4	1325	2943	1219	137	28	5652	6.4

Mass Estimation Based on the Estimated Fruit Diameter

Using the fruit counting and diameter distribution described in the previous section, fruit mass estimation can be achieved. For the mass estimation, regression analysis between fruit diameter and fruit mass was conducted. Table 2-5 shows the results of the regression analysis and the parameters (p_3, p_4) which define the mapping equation.

Regression analysis between the estimated fruit mass and the measured fruit mass yielded an R^2 of 0.8112 and an $RMSE$ of 182.6 kg. Table 2-6 summarizes the results of the fruit mass estimation based on the fruit diameter. As shown in the table, the estimation error ranges between 4% and 29%. However, the average error was 13.2%, which implies that the result was estimated reasonably well with improvement. As mentioned earlier, the source of error could include the synchronization problem and the housing blocking the part of the camera view. In addition to these problems, the large errors in fruit mass estimation using fruit diameter were due to the errors of the watershed separation. Two of the main problems in the watershed algorithm were over-segmentation and under-segmentation. Even if the H-minima transform was used to prevent over-segmentation, over-segmented fruit images still existed as a result of the

separation. Under-segmentation occurred when unwanted regions were included or some grouped parts with certain shapes were not separated.

Table 2-5. Results of regression analysis between the mass and the diameter of fruit sample in the calibration sets.

Experiment	Error sum of squares (SSE, kg)	Coefficient of determination (R^2)	Root mean square error (kg)	p_3	p_4
2	0.0179	0.958	0.0217	0.140	-0.688
3	0.0222	0.947	0.0242	0.144	-0.713

Table 2-6. Summary of the mass estimation results based on fruit diameter.

	Set	Measured fruit mass (kg)	Estimated fruit mass (kg)	Measured mass – Estimated mass (kg)	Error (%)
2nd experiment	1	1,492.3	1,580.9	-88.6	-5.9
	2	979.8	791.1	188.7	19.3
	3	1,628.4	1,543.0	85.4	5.2
3rd experiment	1	2,004.9	1,926.0	78.9	3.9
	2	1,217.9	1,018.4	199.5	16.3
	3	1,510.5	1,314.7	195.8	12.9
	4	1,614.8	1,152.3	462.5	28.6

$$*Error(\%) = \frac{\text{Measured fruit mass} - \text{Estimated fruit mass}}{\text{Measured fruit mass}} \times 100$$

Conclusion

A machine vision system for citrus mass and size estimation during postharvesting was designed and implemented. The main hardware components of the machine vision system, such as the high frame rate camera, the lightning devices, the incremental encoder and the data acquisition card, were chosen for the acquisition of high quality images. In software system, an image processing algorithm capable of detecting and segmenting citrus fruit in an image was developed. For implementing more accurate image segmentation, a HSAR algorithm was developed. With the HSAR algorithm, the errors due to the “filling holes” operation were avoided. For the fruit size estimation and counting, H-minima transform based watershed transform was utilized. By choosing the

appropriate constant h-value, the watershed separation minimized over-segmentation. Finally, the equations mapping the pixel area to the estimated mass were established using the calibration sets obtained from the field experiments.

The system was tested on a citrus debris cleaning machine at a commercial citrus grove. Images taken during the field experiments were converted to binary images using the developed image processing algorithm. The fruit mass, the number of fruit and the fruit diameter were estimated based on the output binary images generated from the image processing algorithms.

The mass estimation was conducted in two ways: pixel area based estimation and diameter based estimation. The pixel area based method yielded more reasonable result than the diameter based method. When using the pixel area based method, the highest coefficient of determination (R^2) between the measured fruit mass and the estimated fruit mass was 0.945. Also, root mean square error ($RMSE$) was 116.2 kg. The $RMSE$ was 8.2% of the average measured fruit mass. On the other hand, the diameter based method yielded an R^2 of 0.811 and an $RMSE$ of 182.6 kg.

The research described in this study was conducted as a preliminary test towards an ultimate goal of developing an advanced real-time yield-mapping system. Although the proposed system does not provide very site-specific yield information such as tree by tree yield based on GPS coordinates, yield information for different sections of tree rows is available under the current system configuration.

The system needs further improvements. In this study, the pixel based segmentation was used to detect the fruit area in an image. Since this method makes use of only color information of a pixel, it is vulnerable to illumination change due to the

outdoor condition and shadow between touching or occluded objects. Hence, a region based algorithm should be studied to ease such vulnerability. Incorporation of both pixel based and region based algorithms may perform better than the individual use of both algorithms.

CHAPTER 3 SPECTRAL ANALYSIS AND IDENTIFICATION OF HLB INFECTED CITRUS FRUIT

Introduction

Citrus is the most important fruit crops in Florida as it is the primary citrus producing state in the United States, supplying over 63% of the total citrus produced in the country (NASS, 2012). Citrus industry remains a major part of Florida's agricultural economy. The citrus industry generates more than \$9 billion in economic activity in Florida. However, recently it has been adversely affected by citrus greening disease also known as Huanglongbing (HLB). Huanglongbing is a serious disease of citrus and some citrus relatives. The diseased tree will decline in its health and life time. Fruit from the infected trees are small and lopsided in shape and taste abnormally bitter. Hence, the disease unfavorably affects the quality of juice. Since there is no cure once a tree becomes infected, the spread of HLB is prevented only by removing the infected trees. The citrus growers do not remove HLB infected trees, but try to manage fruit quality without eliminating those infected trees. It is significantly valuable for the growers to detect HLB disease at early stage. Since symptoms of HLB disease resemble those of nutrient deficiencies such as iron or zinc deficiency, the identification of HLB infected trees and fruit is a difficult task only depending on field observations.

Current methods for detection of HLB disease in citrus plants include visual inspection by trained personnel and DNA test using Polymerase Chain Reaction (PCR) methods (Jagoueix et al., 1996). Visual inspection is highly subject to human error and the disease may be present for up to several years before symptoms are visible. PCR has proven to be the best method available for detection of HLB disease. It is the only determinant method of detecting the disease. However, it is costly and time-consuming.

Recently, spectroscopy and imaging techniques have been widely used in agricultural applications such as food quality inspection and plant disease detection. Their popularity is due to the fact that the spectroscopy and imaging techniques are fast and inexpensive. Also, the techniques provide a non-destructive way of sensing the change inside the object and it is because spectral reflectance varies when the chemical components in the surface or subsurface of crop canopy change. For this reason, there have been many efforts to detect HLB infected citrus plant using spectroscopy and imaging techniques. For example, Li et al. (2012) and Kumar et al. (2010) used multispectral and hyperspectral airborne images of citrus groves to detect HLB infected trees. Airborne spectral features obtained from the multispectral and hyperspectral images of the citrus greening were analyzed and further utilized to distinguish HLB infected trees from healthy ones. Pereira et al. (2011) investigated the potential use of laser-induced fluorescence imaging technique to monitor HLB disease in citrus plants. They used a diode-pumped solid-state blue laser at 473 nm for fluorescence excitation of citrus leaf samples. The fluorescence images were recorded with a CCD digital camera. Ten color descriptors from fluorescence images were evaluated using a paired Student's t-test. They reported that the descriptors yielded promising results to diagnose HLB disease.

The applicability of mid-infrared spectroscopy for detecting HLB disease in citrus leaves was explored (Sankaran et al., 2010). In their study, spectral signature in the range 5.15-10.72 μm was acquired from processed leaf samples using a portable mid-infrared spectrometer. It was shown that the spectra of HLB infected citrus leaves could be distinguished from the spectra of healthy and nutrient-deficient leaves using mid-

infrared spectroscopy. Mishra et al. (2012) applied visible-near infrared spectroscopy identifying HLB infected citrus trees. Spectral reflectance data of healthy and HLB infected citrus trees in the wavelength range from 350 to 2500 nm were measured using a visible-near infrared spectroradiometer. Three techniques, such as k-nearest neighbors, logistic regression and support vector machines, were used for the classification. They found out that using only one spectral measurement per tree resulted in poor classification performance because of large variability in spectral reflectance of citrus canopy. They suggested that multiple measurements per tree increased the classification accuracy.

Previous studies on HLB detection using spectroscopy and imaging technique have been focused on detection of HLB in citrus trees or leaves. They have a benefit of detecting HLB at early stages of development in citrus groves and assisting citrus growers to manage and control the disease.

In addition to the HLB detection in citrus trees and leaves, it is also beneficial to identify HLB infected citrus fruit. Identification of HLB disease in citrus fruit could have a significantly positive impact in managing fruit quality. In-field detection of HLB disease in early stage of fruit development could bring a valuable tool that helps citrus growers manage the fruit quality. Such in-field detection combined with GPS data could be used to build an infection map.

Objective

The goal of this research was to investigate the possibility of identifying HLB disease in citrus fruit using visible-near infrared spectroscopy. The specific objectives were to determine optimal wavelengths that are most responsive to HLB infected fruit and to develop a spectral method for HLB detection. This study was conducted as a

preliminary research with a laboratory setup before implementing an in-field system with outdoor setup.

Materials and Methods

HLB Associated Characteristics of Citrus Peel

Citrus peel is mainly comprised of two layers. The outermost layer is called flavedo, whereas the inner layer of citrus peel is called albedo. The flavedo is mostly composed of cellulosic material but also contains other components, such as essential oils, paraffin waxes, fatty acids, pigments (carotenoids, chlorophylls, flavonoids), enzymes and etc.

Liao and Burns (2012) evaluated global gene expression in HLB-infected fruit tissues using microarray containing flavedo, vascular tissue and juice vesicles from symptomatic, asymptomatic and healthy fruit. They reported that flavedo carbohydrate content was substantially reduced in symptomatic fruit. Also, it was shown that symptomatic fruit flavedo had higher chlorophyll content and significantly lower carotenoid content than healthy fruit flavedo.

Rosales and Burns (2011) investigated carbohydrate and phytohormone alterations in HLB infected fruit. They demonstrated that starch and sucrose contents were numerically higher in immature flavedo of healthy fruit as compared with that of symptomatic fruit. However, mature fruit flavedo of symptomatic fruit had significantly lower starch and sucrose contents than that of healthy fruit.

These chemical changes in citrus peel due to HLB infection could be identified by spectroscopy technique since the peel is part of the light path which can affect the spectrum.

Fruit Collection and Spectral Measurement

Citrus fruit samples were collected from a citrus grove in Lake Alfred, Florida during June and July 2012. The samples included 101 healthy citrus fruit and 101 HLB infected citrus fruit (Figure 3-1).

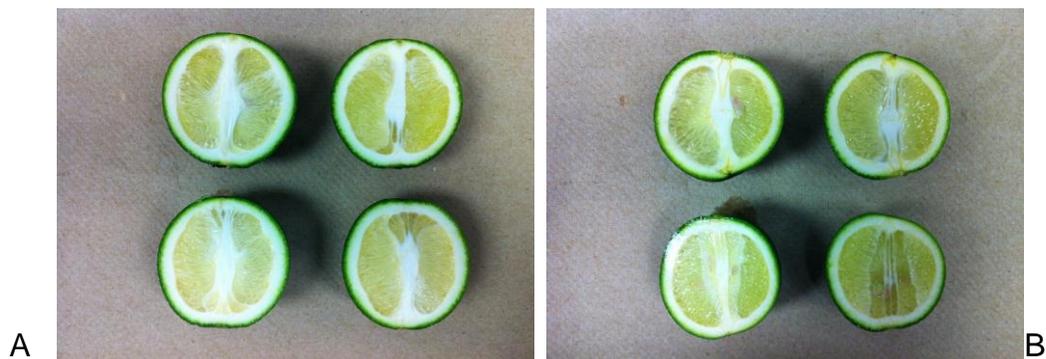


Figure 3-1. Citrus fruit samples. A) healthy fruit samples. B) HLB diseased fruit samples.

The variety of fruit was Valencia. The diameter of the samples was measured at right angles using a digital caliper. Table 3-1 summarizes the measured diameters. As listed in Table 3-1, healthy samples have larger size than HLB diseased samples and the difference in two measurements in right angles explains the misshapen shape of HLB infected fruit samples as HLB samples have the greater difference than healthy samples.

Table 3-1. Summary of fruit diameter measurements

Diameter (mm)	Healthy samples	HLB infected samples
Average of the longest	48.3	46.7
Average of the shortest	48.0	46.0
Average of difference	0.28	0.69

Spectral data of the samples were measured using a spectrophotometer (CARY 500 UV-Vis-NIR, Varian Inc., Palo Alto, California, USA). Reflectance of each fruit sample was measured in the wavelength range of 400~2500 nm with a 1 nm increment.

Two spectral datasets acquired from fruit samples were preprocessed before further analysis. For the preprocessing, moving average filter was applied to the datasets in order to remove the short-term fluctuations.

Data Analysis and Feature Selection

Spectral derivative analysis

Derivative analysis is very useful in spectral data analysis since the spectral derivative could lead to the extraction of useful features from spectral data. Although high order derivative techniques have been utilized in some of previous studies (Wettle et al., Wilson et al., Hochberg and Atkinson), first and second order derivatives have been the most commonly used. In this research, the first order derivative of the original spectral data was employed. It provides information on the rate of change in reflectance with respect to wavelength. The first order derivative was approximated by finite difference and was calculated by the following equation:

$$\left. \frac{ds}{d\lambda} \right|_i = \frac{s(\lambda_i) - s(\lambda_{i-1})}{\Delta\lambda} \quad (3-1)$$

where $s(\lambda_i)$ represents the reflectance at the wavelength of λ_i and $\Delta\lambda$ is the difference between two consecutive wavelengths.

Discriminability analysis

Since spectral reflectance was measured from 400 nm to 2500 nm with a 1 nm increment, there were 2101 spectral elements for each sample spectra. The number of variables was much larger than the number of samples. Then, it is very probable that there is high multicollinearity within the reflectance dataset. Multicollinearity in spectral reflectance data means that several wavelengths are not independent of each other, but are highly correlated. This can be found easily in the case in adjacent wavelengths.

Many of modeling methods are not straightforwardly applicable to high dimensional data with high multicollinearity. This leads to the necessity of reducing multicollinearity in the spectral data.

In an effort to decrease the multicollinearity and choose candidate wavelengths for further analysis, discriminability analysis was conducted. The mathematical expression of the discriminability of two probability density functions (PDFs) characterized by the same standard deviation is defined by Duda et al. (2000) as:

$$d' = \frac{|\mu_1 - \mu_2|}{\sigma} \quad (3-2)$$

d' = discriminability
 σ = standard deviation
 μ_1, μ_2 = means of class 1 and 2

Generally, a higher discriminability is desired as it implies a greater separation. In this case, it is highly likely that the standard deviations could be different between healthy and HLB infected reflectance at the same wavelength. Hence, the Eq. (3-2) cannot be directly used. For PDFs with the different standard deviations, the following alteration to Eq. (3-3) was suggested (Kane and Lee, 2006).

$$d' = \frac{|\mu_1 - \mu_2|}{(\sigma_1 + \sigma_2)/2} \quad (3-3)$$

d' = discriminability
 σ_1, σ_2 = standard deviations of class 1 and 2
 μ_1, μ_2 = means of class 1 and 2

Discriminability is a simple and reliable way to determine the candidate wavelengths that have potentially significant separation. These candidate wavelengths will be used as variables for building a regression model later. The candidates are wavelengths at which discriminability is higher than a threshold value. In order to avoid

multicollinearity between adjacent wavelengths, only local maxima or peaks with discriminability higher than threshold were chosen. The use of local maxima can be justified by that the local peaks could represent the adjacent wavelength group. The discriminability analysis was conducted on both the original reflectance data and the first derivative of reflectance data.

Stepwise discriminant analysis

A discriminant analysis with stepwise selection is used to assess spectral features for classification based on multiple variables and select optimal wavelength bands that best identified HLB infected citrus fruit. The candidate wavelengths obtained from discriminability analysis were used as input data to the stepwise discriminant analysis.

The following sets of data were made to run the discriminant analysis.

- Set I: Wavelengths determined by the discriminability analysis on the original reflectance data.
- Set II: Wavelengths chosen by the discriminability analysis on the first derivative of reflectance data.
- Set III: Wavelengths chosen by the discriminability analysis on both set I and II.

The SAS procedure PROC STEPDISC was employed to conduct the stepwise discriminant analysis.

Classification

The datasets mentioned in the previous section were used as the input feature vectors for the classification algorithm. Logistic regression model and linear support vector machines were used for classifying spectral features of citrus fruit samples (healthy and HLB infected fruit). The output classes computed from the classification model were the 'healthy' and 'HLB infected' fruit.

Logistic regression

Identification of citrus fruit as healthy or HLB infected can be defined as a binary classification problem. For the binary classification, logistic regression model is utilized. Logistic regression is quick to train and easy to implement. The logistic regression model is defined by Eq. 3-4.

$$y = g(w^T x) = \frac{1}{1 + e^{-w^T x}}, \quad y \in \{0,1\} \quad (3-4)$$

where

$$g(z) = \frac{1}{1 + e^{-z}} \quad (3-5)$$

A function $g(z)$ in Eq. 3-5 is the logistic sigmoid function (Bishop, 2006). The variable x in Eq. 3-4 represents the feature vector. A weight vector represented by the variable w is determined by the gradient ascent rule satisfying maximum likelihood condition. The outcome of this classification is a binary number that indicates whether healthy or HLB infected. The value zero (0) indicates a healthy fruit, and the value one (1) represents a HLB diseased fruit.

Linear Support Vector Machines

Support vector machine (SVM) was first introduced by Vapnik (1995) and originally intended to solve pattern recognition problems. Basic idea of support vector machines is to build a classification model by mapping the data into a higher dimensional input space and constructing optimal hyperplane for linearly separable patterns. Basically, SVM model was designed to solve binary classification problem in which the input data and the output classes are defined as x_i and $y_i \in \{-1,1\}$, respectively. The hyperplane separates the positive from the negative examples in the training set. The points x

which lie on the hyperplane satisfy $w \cdot x^T + b = 0$, where x is normal to the hyperplane.

Then, $|b|/\|w\|$ is the perpendicular distance from the hyperplane to the origin, and $\|w\|$ is

the Euclidean norm of w . This can be formulated as follows:

$$\begin{aligned} \mathbf{x}_i \cdot \mathbf{w} + b &\geq +1 \text{ for } y_i = +1 \\ \mathbf{x}_i \cdot \mathbf{w} + b &\leq -1 \text{ for } y_i = -1 \end{aligned} \quad (3-6)$$

Two equations in Eq. (3-6) are combined into a set of inequalities as follows:

$$y_i(\mathbf{x}_i \cdot \mathbf{w} + b) - 1 \geq 0 \quad \forall i \quad (3-7)$$

The points for which the equality in Eq. (3-6) holds lie on both hyperplane

$\mathbf{x}_i \cdot \mathbf{w} + b = +1$ and $\mathbf{x}_i \cdot \mathbf{w} + b = -1$. Hence, the margin between the two data sets pertaining

to each hyperplane is simply $2/\|w\|$. The margin can be maximized by minimizing $\|w\|^2 / 2$

subject to the constraint of Eq. (3-7).

For the maximization, positive Lagrange multipliers α_i for each of the inequality constraints in Eq. (3-7) are introduced. The objective now is to minimize L_p given by Eq. (3-8) with respect to the weight vector w and maximize it with respect to the undetermined multipliers $\alpha_i \geq 0$.

$$L_p = \frac{1}{2} \|w\|^2 - \sum_i \alpha_i y_i (\mathbf{x}_i \cdot \mathbf{w}^T + b) + \sum_i \alpha_i \quad (3-8)$$

The optimization problem can be formulated as follows:

$$\min_{w,b} \max_{\alpha \geq 0} \{L_p\} \quad (3-9)$$

This problem can be solved by standard quadratic programming techniques. Once the optimization is completed, it is determined on which side of the hyperplane a given test vector x lies. In other words, it is classified to one class ("1") or to the other ("-1").

The decision function is given by following equation.

$$f(\mathbf{x}) = \text{sgn}(\mathbf{x} \cdot \mathbf{w}^T + b) \quad (3-10)$$

Results and Discussion

Spectral Reflectance and its First Derivative

Figures 3-2 and 3-3 depict the preprocessed reflectance and the first derivative reflectance of both two healthy and two HLB infected citrus fruit.

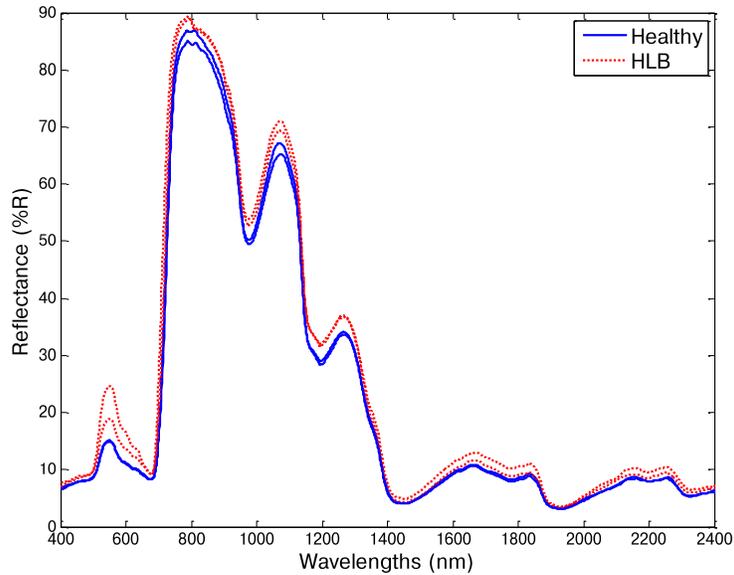


Figure 3-2. Reflectance data from two healthy and two HLB infected citrus fruit

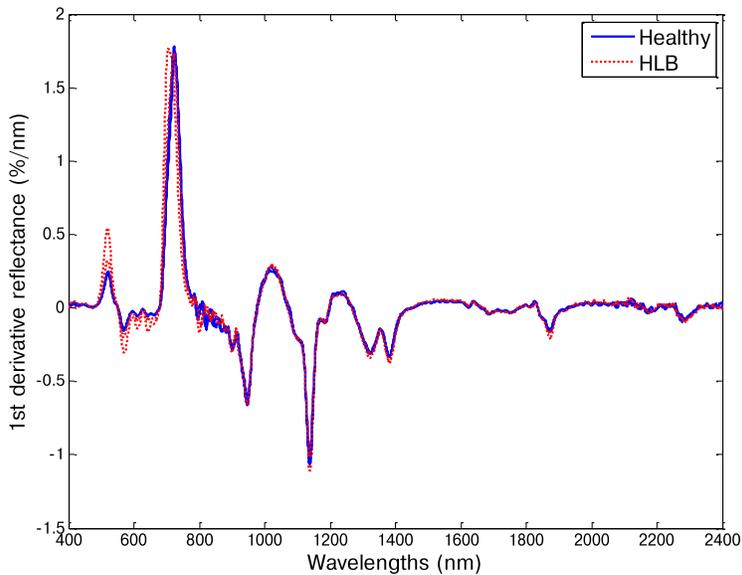


Figure 3-3. First derivative reflectance from two healthy and two HLB infected citrus fruit

Data Analysis and Feature Selection

A whole dataset consisted of the original reflectance and the first derivatives computed from 202 citrus fruit spectra (101 healthy, 101 HLB infected). The dataset was randomly divided into two sets: training and validation sets. The training set included 67 healthy and 67 HLB infected, whereas the validation set contained 34 healthy and 34 HLB infected.

Discriminability

The discriminability of the original reflectance and the first derivative is shown in Figures 3-4 and 3-5. The highest discriminability within the original reflectance data was 0.2 and the highest discriminability with the first derivative was 0.7. Comparing two graphs in Figures 3-4 and 3-5 and the two highest values in discriminability, it is observed that the first derivative data contain more discriminate features than the original data.

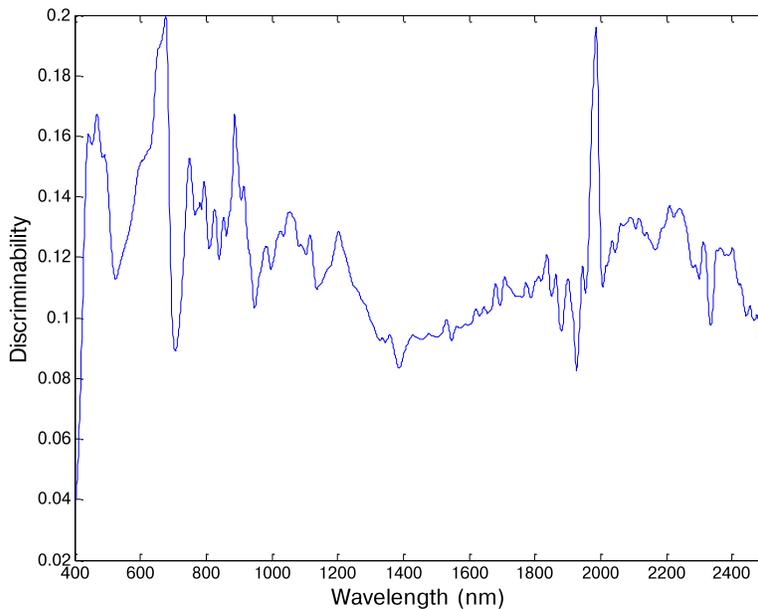


Figure 3-4. Discriminability of the original reflectance data

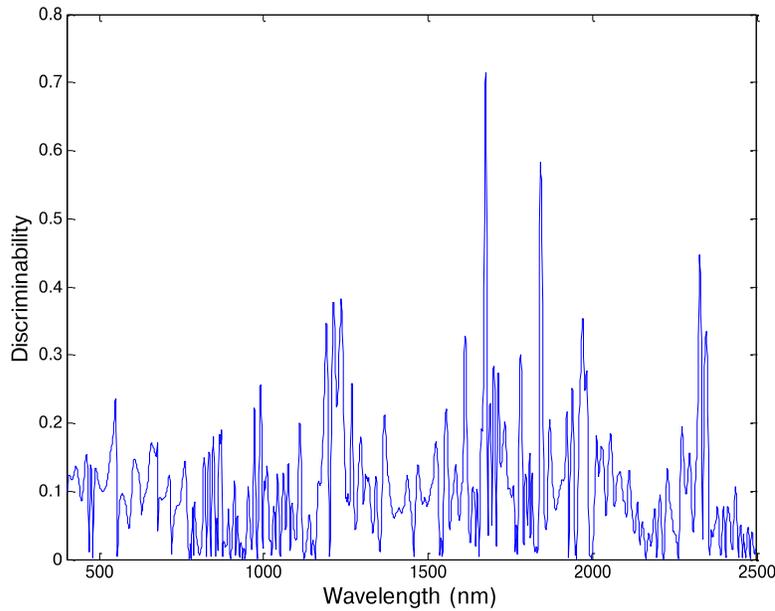


Figure 3-5. Discriminability of the first derivative

In order to select candidate wavelengths for further analysis, local peaks with discriminability higher than a threshold were computed. Threshold values for the original data and the first derivative were 0.14 and 0.25, respectively. Table 3-2 lists the selected wavelengths.

Table 3-2. Candidate wavelengths

Data	Candidate wavelengths (nm)
Original	443, 468, 491, 677, 749, 781, 794, 825, 853, 887, 914, 1056 1986, 2062, 2091, 2116, 2211, 2242
1st derivative	991, 1191, 1212, 1236, 1269, 1614, 1675, 1700, 1713 1781, 1842, 1939, 1970, 1982, 2326, 2346

Determination of optimal wavelengths

The candidate wavelengths obtained from the discriminability analysis were used to generate three different datasets as explained earlier. The stepwise discriminant analysis was performed using these datasets to find the optimal wavelengths that could identify HLB infected citrus fruit. Table 3-3 shows the results of the stepwise

discriminant analysis. It should be noted that the wavelengths 991 nm, 1191 nm, 1970 nm and 2346 nm are located near local minimum points, while the wavelengths 1675 nm and 1842 nm are positioned close to local maximum points. Those points are drawn on the average reflectance plot of healthy and HLB diseased samples as depicted in Figure 3-6.

Table 3-3. Optimal wavelengths chosen by stepwise discriminant analysis

Set	Selected wavelengths (nm)
I	491, 677, 825, 887, 1056, 2242
II	991, 1191, 1236, 1675, 1713, 1842, 1970, 2346
III	491, 677, 825, 887 (the original), 991, 1191, 1236, 1675, 1713, 1842, 1970, 2346 (the first derivative)

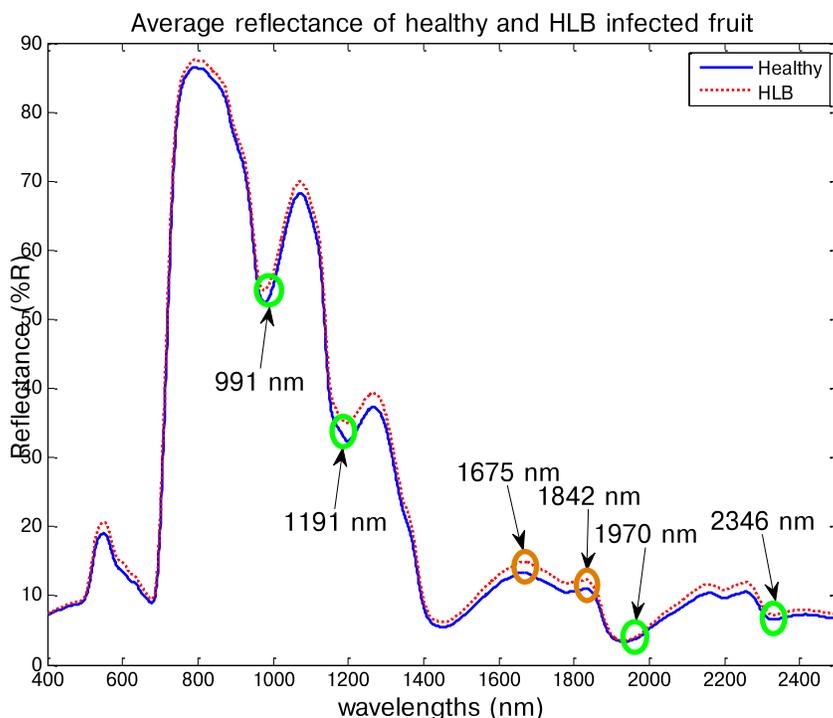


Figure 3-6. Selected wavelength points near local maxima or minima

Classification

Table 3-4 summarizes the results of classification using logistic regression and linear SVM models. The table lists the classification accuracy when using three different

datasets. In the table, true positive accuracy is defined as the percentage of HLB infected fruit correctly identified as HLB infected and true negative accuracy is explained as the percentage of healthy fruit correctly classified as healthy.

Table 3-4. Classification accuracy for the two classification models

Accuracy	Logistic Regression	Linear SVM
Set I (original)		
True positive (%)	67	79
True negative (%)	82	82
Overall accuracy	75	81
Set II (1st derivative)		
True positive (%)	94	100
True negative (%)	97	97
Overall accuracy	95	98
Set III (Both)		
True positive (%)	100	100
True negative (%)	100	100
Overall accuracy	100	100

It is seen that both logistic regression and linear SVM models trained with Set II and III achieved more than 94% accuracy in all cases. However, the accuracy with Set I was relatively lower in the two methods. This confirms that the first derivative data possesses more features that can identify HLB infected fruit than the original reflectance data as previously mentioned. It can be concluded that even the first derivative information (Set II) by itself proved to be an enough source of features for the classification to achieve high accuracy, even though the combination of Set I and Set II yielded 100% accuracy in all cases. The linear SVM demonstrated better performance given the same data than the logistic regression comparing all accuracies in Set I and II. Even so, the logistic regression made a prediction with 95% accuracy based on Set II and that is still quite good result. Both algorithms required almost same amount of computational power because processing time of running one single classification for

both algorithms was less than 4 msec. Hence, the linear SVM is preferable model for classifying HLB infected citrus fruit, because it performed better classification accuracy than the logistic regression model.

Conclusion

As a preliminary research, the feasibility of identifying HLB disease in citrus fruit using visible-near infrared spectroscopy was investigated in a laboratory setup. For this study, citrus fruit samples (101 healthy and 101 HLB infected) were collected from a citrus grove in Lake Alfred, Florida during June and July 2012. Spectral reflectance (400 to 2500 nm) of the fruit samples were measured using a spectrophotometer. The reflectance and its first derivative were analyzed using discriminability analysis and the candidate wavelengths were selected as a result of the analysis. Wavelength features for classification were chosen by stepwise discriminant analysis. Logistic regress model and linear support vector machines were used to classify HLB infected citrus fruit. Surprisingly, both models achieved more than 95% overall accuracy when trained with the first derivative. The classification results indicated that the first derivative data contained more discriminate features than the original reflectance.

CHAPTER 4 SUMMARY AND FUTURE WORKS

The main goal of the research presented in this paper was to investigate new postharvest techniques that could be beneficial to citrus industry. The research is divided into two major parts. The first part discussed in Chapter 2 describes a machine vision based citrus mass and size estimation during post-harvesting. The goal of this study was to develop a real-time machine vision system for citrus mass and size estimation in the postharvest citrus debris cleaning machine. To achieve fruit detection, a supervised learning algorithm was developed, and a modified version of the watershed algorithm was proposed. The system was tested on a citrus debris cleaning machine at a commercial citrus grove. Images taken during the field experiments were converted to binary images using the developed image processing algorithm. The fruit mass, the number of fruit and the fruit diameter were estimated based on the output binary images generated from the image processing algorithms.

The second part explained in Chapter 3 investigated the application of spectroscopy technique for identifying HLB infected citrus fruit. The goal of this study was to explore the possibility of detecting HLB disease in citrus fruit using visible-near infrared spectroscopy. In order to find the optimal wavelengths that best distinguish HLB infected fruit from healthy ones, the discriminability analysis and the stepwise discriminant analysis were utilized. Two machine learning algorithms (logistic regression and linear support vector machines) were used to classify HLB infected fruit. The results suggested that the classification was very accurate when using the first derivative data.

The mass estimation conducted in Chapter 2 was based on two-dimensional information as it only relied on only fruit on image plane. When performing machine

vision based mass estimation, volumetric information along with fruit density could increase the estimation accuracy. It could be the possible case that even two fruit with the same size have different weight. It is suggested that stereo vision could achieve better estimation since it enables us to extract depth information out of images.

In order to improve the machine vision based mass estimation, more efforts need to be made on resolving problems, such as heating, light source control, juice extraction and citrus debris. In the field experiment, heating decreased the performance of the machine vision system. It was significantly important to maintain consistent light condition and it will enhance the output of a machine vision application. Juice and citrus debris were the factors that made the fruit detection more difficult. Solving those problems would augment the result of the mass estimation.

In Chapter 3, the identification of HLB infected citrus fruit using spectroscopy was implemented in a laboratory setup. This study showed a potential use of spectral information for identifying HLB infected citrus fruit. It is not hard to predict that future research will be to implement an in-field system capable of identifying HLB diseased citrus fruit using spectral measurement system such as hyperspectral or multispectral camera. Under field conditions in a citrus grove, sunlight variation and other environmental factors could be obstacles to be overcome unlike the laboratory conditions.

LIST OF REFERENCES

- Aggelopoulou, A. D., Bochtis, D., Fountas, S., Swain, K. C., Gemtos, T.A., & Nanos, G.D. (2011). Yield prediction in apple orchards based on image processing. *Precision Agriculture*, 12, 448-456.
- Annamalai, P., Lee, W. S., & Burks, T. (2004). Color vision system for estimating citrus yield in real-time. ASAE Paper No. 043054. *ASABE*, St. Joseph. MI.
- Bansal, R., Lee, W. S., Shankar, R., & Ehsani, R. (2011). Automated trash estimation in a citrus canopy shake and catch harvester using machine vision. *Applied Engineering in Agriculture*, 27(5), 673-685.
- Blasco, J., Aleixos, N., & Molto, E. (2007). Computer vision detection of peel defects in citrus by means of a region oriented segmentation algorithm. *Journal of Food Engineering*, 81, 535-543.
- Bulanon, D. M., & Kataoka, T. (2010). Fruit detection system and an end effector for robotic harvesting of Fuji apples. *Agricultural Engineering International: CIGR Journal*, 12(1), 203-210.
- Bishop, C. M. (2006). *Pattern recognition and machine learning*. New York, NW: Springer.
- Bradski, G., & Kaehler, A. (2008). *Learning OpenCV – Computer vision with the OpenCV library*. Sebastopol, CA, USA: O'Reilly.
- Chen Y. R., Chao K., & Kim, M. S. (2002). Machine vision technology for agricultural applications. *Computers and electronics in agriculture*, 36, 173-191.
- Chinchuluun, R., Lee, W. S., & Ehsani, R. (2009). Machine vision system for determining citrus count and size on a canopy shake and catch harvester. *Applied Engineering in Agriculture*, 25(4), 451-458.
- Duda, R. O., Hart, P. E., Stork, D. G. (2000). *Pattern Classification*. Second edition ed. New York, N.Y: John Wiley and Sons.
- Efron, B., Hastie, T., Johnstone, I., Tibshirani, R. (2004). Least angle regression. *Annals of Statistics*, 32, 407-451.
- Hannan, M. W., Burks, T. F., & Bulanon, D. M. (2009). A machine vision algorithm for orange fruit detection. *Agricultural Engineering International: CIGR Ejournal*, 11, Manuscript 2181.
- Hochberg, E. J., Atkinson, M. J. (2000). Spectral discrimination of coral reef benthic communities. *Coral Reefs*, 19(2), 164-171.

- Jagoueix, S., Bove J. M., Garnier, M. (1996). Techniques for the specific detection of the two Huanglongbing (greening) liberobacter species: DNA/DNA hybridization and DNA amplification by PCR. 13th IOCV Conference.
- Jung C., & Kim C. (2010). Segmenting clustered nuclei using H-minima transform-based marker extraction and contour parameterization. *IEEE Transactions on Biomedical Engineering*, 57(10), 2600-2604.
- Kane, K. E. and Lee, W. S. (2006). Spectral sensing of different citrus varieties for precision agriculture. ASABE Paper No. 061065. St. Joseph, Mich.: ASABE.
- Kumar, A., Lee, W. S., Ehsani, R., Albrigo, L. G., Yang, C., Mangan, R. L., (2010). Citrus greening disease detection using airborne multispectral and hyperspectral imaging. International Conference on Precision Agriculture.
- Laykin, S., Alchanatis, V., Fallik, E., & Edan, Y. (2002). Image-processing algorithms for tomato classification. *Transactions of the ASAE*. 45, 851-858.
- Li, X., Lee, W. S., Li, M., Ehsani, R., Mishra, A. R., Yang, C., Mangan, R. L. (2012). Spectral difference analysis and airborne imaging classification for citrus greening infected trees. *Computers and Electronics in Agriculture*, 83, 32-46.
- Liao, H. L., and Burn, J. K. (2012). Gene expression in citrus sinensis fruit tissues harvested from Huanglongbing-infected trees: comparison with girdled fruit, *Journal of Experimental Botany*, <http://jxb.oxfordjournals.org/content/early/2012/03/09/jxb.ers070>.
- Maja, J. M., Ehsani, R. (2010) Development of a yield monitoring system for citrus mechanical harvesting machines. *Precision Agriculture*, 11, 475-487.
- Mansoori, M. S., Fardad, H., Enteshari, R., & Mansouri, Y. S. (2010) Isolating healthy bananas from unhealthy ones based on feature extraction and clustering method using neural network. *Modern Applied Science*, 4(11), 51-60.
- Min, M. and Lee, W. S. (2005). Determination of significant wavelengths and prediction of nitrogen content for citrus. *Transactions of the ASAE*, Vol 48(2), 455-461.
- Mishra, A. R., Karimi, D., Ehsani, R., Lee, W. S. (2012). Identification of citrus greening (HLB) using a VIS-NIR spectroscopy technique. *Transactions of ASABE*, 55 (2), 711-720.
- NASS. 2012. National Agriculture Statistics Survey.
- Pereira, F. M. V., Milori, D. M. B. P., Pereira-Filho, E. R., Venancio, A. L., Russo, M. S. T., Cardinali, M. C. B., Martins, P. K., Freitas-Astua, J. (2011). Laser-induced fluorescence imaging method to monitor citrus greening disease. *Computers and Electronics in Agriculture*, 79, 90-93.

- Rosale, R., and Burns, J. K. (2011). Phytohormone changes and carbohydrate status in sweet orange fruit from Huanglongbing-infected trees, *Journal of Plant Growth Regulation*, 30, 312-321.
- Safren, O., Alchanatis, V., Ostrovsky, V., & Levi, O. (2007). Detection of green apples in hyperspectral images of apple-tree foliage using machine vision. *Transactions of the ASABE*, 50(6), 2303-2313.
- Sankaran, S., Ehsani, R., Etxeberria, E. (2010). Mid-infrared spectroscopy for detection of Huanglongbing (greening) in citrus leaves. *Talanta*, 83(2), 574-581.
- Thomasson, J. A., Sui, R., Cox, M. S., Al-Rajehy, A. (2001). Soil reflectance sensing for determining soil properties in precision agriculture. *Transactions of the ASAE*, Vol. 44(6), 1445-1453.
- Vapnik, V. (1995). *The nature of statistical learning theory*. Springer-Verlag: New York, 1995.
- Wettle, M., Ferrier, G., Lawrence, A. J., Anderson, K. (2003). Fourth derivative analysis of Red Sea coral reflectance spectra. *International Journal of Remote Sensing*, 24:19, 3867-3872.
- Wilson, C., Matthews, F., Greasham, R. L., Will, M., Copeland, R. A. (1989). Application of fourth derivative absorption spectroscopy to protein quantitation during purification. *Analytical Biochemistry*, 182, 141-145.
- Xu L., & Zhao Y. (2010). Automated strawberry grading system based on image processing. *Computers and Electronics in Agriculture*, 71, 32-39.
- Zaman, Q. U., Schumann, A. W., Percival, D. C., & Gordon, R. J. (2008). Estimation of wild blueberry fruit yield using digital color photography. *Transactions of the ASABE*, 51(5), 1539-1544.
- Zaman, Q. U., Swain, K. C., Schumann, A. W., & Percival, D. C. (2010). Automated, low-cost yield mapping of wild blueberry fruit. *Applied Engineering in Agriculture*, 26(2), 225-232.
- Zou X., Zhao J., Li Y., & Holmes M. (2010). In-line detection of apple defects using three color cameras system. *Computers and Electronics in Agriculture*, 70 , 129-134.

BIOGRAPHICAL SKETCH

Junsu Shin received his bachelor's degree in automotive engineering from the Kookmin University , Seoul, Republic of Korea in 1999. Then, he worked as a software engineer for several years. He moved to the University of Florida, Gainesville, Florida, the United States to pursue his graduate studies. He completed his Master of Engineering degree in agricultural and biological engineering in 2012.