To my best friend Kirsty, and to Andria, who knows me best.
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similarly to unconditioned reinforcers with respect to response-consequence dependencies, and that stimulus control is greatly enhanced under conditions in which the relevant stimuli are produced by an organism’s behavior.
CHAPTER 1
GENERAL INTRODUCTION

Pavlov (1927) demonstrated that contiguous pairings of a neutral stimulus and an unconditioned stimulus such as food produced a transfer of stimulus function, such that the previously neutral stimulus came to elicit responses similar to those elicited by the unconditioned stimulus. Pavlov’s work emphasized the role of antecedent stimuli in the control of behavior, but the pairing procedure was soon adapted for the study of operant conditioning. Thorndike (1898) had already shown that consequences played a critical role in the acquisition and maintenance of behavior. Thus, it was a simple next step to demonstrate that Pavlov’s pairing procedure would produce similar results when the stimuli were used as consequences rather than as antecedents to behavior.

Williams (1929) showed that exposing rats to a white discrimination box before delivering food as a consequence for maze running was sufficient to transfer the reinforcing function of food to the discrimination box. Similarly, several researchers showed that poker chips paired with food could be effective in the maintenance of chimpanzee’s weight-lifting behavior (Cowles, 1937; Wolfe, 1936).

With conditioned reinforcers it was also possible to build long sequential chains of behavior in rats, pigeons, and chimpanzees with only minimal support by unconditioned reinforcers (Kelleher, 1966). Thus, conditioned reinforcement might play an important role in the explanation of similar behavior in humans:

People rarely engage in behavior which produces or avoids biologically significant stimuli directly. Many people are virtually never deprived of food and water. If one is to account for human behavior in terms of reinforcement principles one must look elsewhere for the reinforcers … the applicability of the principles of behavior control may depend upon our understanding of conditioned reinforcement.” (Schwartz, 1978, p. 281).
Assessing Conditioned Reinforcement

Although conditioned reinforcement is a valuable explanatory device, empirical evidence suggests that cautious extrapolation of the principle is in order. Bugelski (1938) conducted an experiment in which rats’ lever presses produced a click followed by the delivery of food. He then compared performance under conditions in which both the click and the food were unavailable to conditions in which the click continued, but no food was delivered. Although responding was more persistent when the click was presented, the effects were transient and weak. Similar results have been obtained by many researchers (Miller, 1951).

Not only is continued pairing a requirement for continued efficacy of a conditioned reinforcer, but when such stimuli are paired with a single kind of unconditioned reinforcer, the effectiveness of the conditioned reinforcer is dependent on the same establishing operations as the unconditioned reinforcer. For example, if a rat is not water-deprived, then stimuli paired with the delivery of water will not serve as effective reinforcers (Estes, 1949). However, there is no evidence to suggest that repeated presentation of a conditioned reinforcer produces satiation of the kind produced when an animal is given extended access to food.

Immediacy is also an important determinant of the reinforcing value of both unconditioned and conditioned reinforcers. It has been demonstrated that an unsignaled delay to the delivery of food lowers response rates whether responses during the delay increase the delay (Skinner, 1938) or not (Dews, 1960). Similarly, Wolfe (1936) showed that tokens paired with food maintained chimpanzees’ behavior when the tokens were delivered immediately, even when exchanges for food were delayed. When the token delivery was delayed, however, responding deteriorated considerably.

Another important piece of evidence that conditioned reinforcers are similar to unconditioned reinforcers has been generated by the new-response procedure. This procedure
begins with repeated pairings of a neutral stimulus and a unconditioned reinforcer. The formerly neutral stimulus is then used to train a new response. Kelleher (1961) showed that patterns of behavior generated by conditioned reinforcers in the new response procedure were similar to those generated by unconditioned reinforcers. Using food preceded by a brief click as the consequence, he first trained pigeons to peck a key according to a fixed-interval (FI) 5-min schedule of reinforcement, in which food is produced by the first peck after 5 min has passed from either the start of the session or the previous food delivery. This arrangement typically generates a pattern of responding that is positively accelerated across the 5-min interval. Once the characteristic pattern developed, Kelleher disconnected the food hopper and used the click alone as the consequence for key pecking. The schedule of reinforcement was then changed to a differential reinforcement of other behavior (DRO) schedule. Under this contingency, clicks were produced whenever the pigeon did not peck the response key for a period of 10 s. Kelleher found that pigeons pecked the response key according to the same low-rate pattern typically generated by food reinforcement under similar conditions. During the same session, the schedule of reinforcement was changed to a fixed ratio (FR) 1. According to this schedule, every peck to the response key produced a click. Throughout the remainder of the session the number of pecks required to produce a click was gradually increased. This procedure produced high rates of behavior, interrupted by brief pauses following the delivery of a click, a pattern of behavior identical to that produced by FR schedules when food is used as the reinforcer. Thus, Kelleher demonstrated that scheduling of conditioned reinforcers generated novel response patterns similar to those generated by unconditioned reinforcers.

Additional evidence that conditioned reinforcers produce similar patterns of behavior to those produced by unconditioned reinforcement comes from experiments employing
second-order and chained schedules. As in all chained schedule procedures, a sequence of components, or links, each consisting of a simple schedule of reinforcement, are arranged such that the consequence for completing the terminal link is a unconditioned reinforcer. The consequence for the completion of early links in the chain is the production of the stimuli associated with the next link, and thus greater temporal proximity to unconditioned reinforcement. Second-order schedules are compound schedules in which, “the behavior specified by a schedule contingency is treated as a unitary response that is itself reinforced according to some schedule of unconditioned reinforcement” (Kelleher, 1966).

An understanding of this kind of schedule follows easily from a consideration of the nomenclature used to describe them. For example, in a FR 4 (VI 30 s) second-order chained schedule, each component requires a single response after a variable amount of time has passed, averaging 30 s. Unconditioned reinforcement is produced after every 4th component schedule. The consequence for completion of the other component schedules is an exteroceptive stimulus change (e.g., a key color change) associated with greater temporal proximity to food. The effects of these chained schedules are often compared to those of tandem schedules. Tandem schedules are identical to chained schedules with the exception that no exteroceptive stimulus changes occur. Comparisons of this sort are therefore useful for determining the function of the stimuli in second-order schedules.

Gollub (1958) conducted this kind of comparison, and made several important observations. First, responding in the chained schedule was stronger in the terminal link and weaker in the initial link relative to responding in the tandem schedule. Second, the overall pattern of responding generated by the chained schedule resembled the pattern generated by the component schedule when unconditioned reinforcer was delivered after the completion of each
schedule. This was the case even when chains were composed of 5 components. Thus, exteroceptive stimulus changes across early links in these chains were producing effects similar to those produced by food as a consequence although they had never been directly paired with food, or even paired with another stimulus that had been directly paired with food.

In summary, stimuli that are repeatedly paired with unconditioned reinforcers have many similarities to unconditioned reinforcers. Patterns of behavior generated by schedules of conditioned reinforcement are similar in character to those generated by schedules of unconditioned reinforcement, and behavior is well maintained by the delivery of conditioned reinforcers, as demonstrated by both second-order and chained schedules. Moreover, the operations that modulate the effectiveness of unconditioned reinforcers, such as deprivation with respect to the unconditioned reinforcer and immediacy of delivery, produce similar changes in the effectiveness of conditioned reinforcers.

**Response-Reinforcer Dependency**

Another operation useful in comparing unconditioned and conditioned reinforcement is the delivery of response-independent reinforcement. There has been substantial interest in the effects of delivering response-independent unconditioned reinforcers, but very few studies have examined the effects of delivering response-independent conditioned reinforcers.

The first study of response-independent delivery of reinforcers was conducted by Skinner (1948). Skinner was interested in the patterns of behavior that developed when food was delivered at regular intervals independent of behavior. He noted that pigeons exposed to such fixed-time (FT) schedules eventually developed idiosyncratic stereotypic response patterns that coincided with the delivery of food. Skinner termed such patterns “superstitious behavior,” and argued that such patterns were a function of accidental reinforcement of behavior at the moment of food delivery. Although later more detailed analyses called Skinner’s interpretation into
question (cf. Timberlake & Lucas, 1985), the experiment provided important evidence that reinforcement has powerful strengthening effects on behavior whether or not it is dependent on responding.

Zeiler (1968) investigated the effects of response-independent reinforcers on pigeons’ key pecking. Pigeons were first trained to peck a response key by the method of successive approximations using response-dependent reinforcers. In later conditions pigeons were exposed to various response-independent schedules of food delivery. In addition to the FT schedules used in previous studies, Zeiler investigated the effects of variable-time (VT) schedules of response-independent reinforcement, in which food is delivered aperiodically and independent of behavior. Zeiler found that FT and VT schedules of reinforcement maintained similar patterns of behavior as FI and VI schedules of reinforcement, respectively. Rate of pecking was lower, however, under FT and VT schedules than their response-dependent counterparts.

Of particular interest to the present line of research are studies that explore the combinative effects of response-dependent and response-independent schedules of reinforcement. Investigations of this sort involve FT or VT schedules superimposed on underlying response-dependent schedules of reinforcement. Such arrangements are typically referred to as conjoint schedules (Catania, Deegan, & Cook, 1966). The effects of such schedules depend on the particular composite schedules used to construct the conjoint schedule.

Herrnstein and Morse (1957) reported increases in response rate when signaled FT food deliveries were superimposed on a DRO schedule of reinforcement. Lattal and Bryan (1976) found that response rates either increased or were unchanged when a VT 150 s schedule was superimposed on responses maintained by a FI schedule. When FT schedules were later superimposed on the FI schedule, unsystematic results were obtained. Zeiler (1977) also
obtained unsystematic results when FT schedules were superimposed on behavior maintained by VI schedules. Despite the variation in these results, the most consistent effect of superimposing response-independent schedules on response-dependent schedules of reinforcement is a decrease in response rates (Burgess & Wearden, 1986).

Edwards, Peek, and Wolfe (1970) found that reinforcers delivered according to a FT schedule lowered rates of behavior maintained on a FR schedule of reinforcement, and Zeiler (1979) obtained similar results. The arrangements that have produced the most consistent reduction in rate of behavior relative to response-dependent baseline performance, however, are conjoint VI VT schedules. Rachlin and Baum (1972) found that response rates decreased proportionally with the proportion of reinforcers delivered by the response-dependent schedule. Other researchers have reported similar results (e.g., Imam & Lattal, 1988; Lattal, 1974).

Superimposing a response-independent schedule on a response-dependent schedule changes both the temporal relations between responding and food (dependency), and the discriminative control that can arise either as a function of changes in the dependency, or as a function of differences in interfood intervals (Lattal & Abreu-Rodrigues, 1997). The effects of conjoint schedule arrangements of this kind may therefore be a function of the interaction of these variables. Imam and Lattal (1988) showed that decreases in response-reinforcer contiguity accounted for observed decreases in rate of behavior under conjoint VI VT conditions. These results are similar to those obtained when a delay to reinforcement is programmed on a simple VI schedule (Sizemore & Lattal, 1977). Whether disparities in the results obtained when arrangements other than conjoint VI VT can be explained by changes in response-reinforcer contiguity alone, or require a more complex analysis is still unclear. The consistency of the
results generated by conjoint VI VT arrangements, however, recommends them as a useful starting point in the examination of response-independent delivery of conditioned reinforcement.

Marr and Zeiler (1974) investigated the effects of response-dependent and response-independent brief-stimulus presentations on food-maintained key pecking of two pigeons. An FI 15-min schedule of food delivery was arranged throughout the experiment. In all conditions, food was delivered 0.5 s after the response that satisfied the schedule requirement. In experimental conditions, the brief stimulus (a 0.5-s key-color change) was produced according to FI 3 min, VI 3 min, FT 3 min and VT 3 min schedules, respectively. Each of these arrangements was examined under conditions in which the brief stimulus was paired with the food schedule, and under conditions in which the brief stimulus was never paired with food. Early conditions in which the brief stimulus was unpaired with food had little effect on behavior. As the experiment progressed, however, the paired and unpaired conditions produced similar effects. With respect to the paired conditions, both FI and FT schedules of brief stimulus presentation produced positively accelerated patterns of responding similar to those typically produced by FI schedules of food reinforcement. In contrast, schedules of VI and VT brief stimulus presentation produced less post-stimulus pausing. This difference is similar to the difference in response patterning obtained with VI schedules of food reinforcement compared to those obtained with FI schedules. While the FI and VI schedules of brief-stimulus presentation enhanced rates of behavior early in the FI-food interval, FT and VT brief stimulus presentations suppressed this responding. For one pigeon, global rates were substantially reduced in paired FT and VT conditions relative to response-dependent conditions. The other pigeon, however, showed little difference in overall rates across these conditions. The authors concluded that response-independent delivery of
conditioned reinforcers (paired brief stimuli) has similar effects on the patterning of behavior as the delivery of unconditioned reinforcers under similar circumstances.

One might also ask whether response-independent delivery of conditioned reinforcement had similar effects on behavior maintained by conditioned reinforcement. To answer this question, Lieving, Reilly, and Lattal (2006) used an observing-response procedure in which key pecks produced food and treadle presses produced food-correlated stimuli. In Experiment 1, treadle presses during VI food components produced 5-s presentations on the food key of stimuli previously correlated with the availability of food. In the experimental condition, treadle presses were rendered ineffective, and VI-correlated stimuli were presented according to a VT schedule during VI food components. The VT schedule was yoked to each pigeons’ own performance during baseline, such that the density of VI-correlated stimulus presentations was held constant across conditions. All pigeons showed a substantial decrease in rate of treadle pressing during this condition. When the baseline conditions were reinstated (response-independent stimulus presentations), responding recovered to levels similar to those observed during the original baseline.

In Experiment 2, the effects of adding a delay to response-dependent VI-correlated stimulus presentations were examined. Pressing the treadle during the delay reset the delay to its maximum value, which was manipulated across conditions. The authors found that response rates decreased as an inverse function of delay. Experiment 3 investigated the effects of signaling the delay, and employed a second response key instead of a treadle as the observing response. During signaled delay conditions, the observing key was darkened during the delay. All subjects pecked the observing key at slightly higher rates during the signaled-delay condition compared to the unsignedale condition.
The results of each of these experiments are similar to those obtained when similar manipulations are conducted on behavior maintained by unconditioned reinforcement. Thus, the limited available literature suggests that the delivery of response-independent conditioned reinforcers has similar effects as the response-independent delivery of unconditioned reinforcers.

The present study was designed to investigate the effects of response-independent delivery of schedule-correlated stimuli in the context of an observing procedure. The present study was also concerned with the discriminability of the background reinforcement context—variables thought to modulate the reinforcing efficacy of the signals. This pertains to the broader issue of stimulus control, and attention in particular. Interestingly, it was this issue of attention, rather than conditioned reinforcement, that inspired the observing procedure.

**Observing and Attention**

The observing procedure was developed by Wyckoff (1952, 1969) to investigate attention. The original procedure involved pigeons as subjects and an experimental chamber equipped with two manipulanda. Pecks on a lighted response key produced food on 50% of the trials; pressing a treadle just above the floor changed the color of the food key such that a stimulus that was uncorrelated with the trial outcome was replaced with a stimulus that was correlated either with the presence (S⁺) or absence (S⁻) of food, depending on the programmed outcome for that trial. This procedure was deemed relevant to questions concerning attention because the observing response (treadle press) served only to produce correlated stimuli, but had no effect on the availability of food. The procedure thus allowed for precise measurement of responses deemed analogous to orienting responses such as head or whole body movements toward a stimulus.

For several decades following Wyckoff’s original report, the observing procedure was a popular choice for researchers interested in investigating attention in non-human animals. Research questions during this period were largely focused on determining what was maintaining
the observing response. While most researchers agreed that reinforcement was integral to an explanation of observing behavior, there was considerable disagreement about its precise role. Two general kinds of theories emerged in an attempt to explain the maintenance of observing behavior, one of which appealed to the process of uncertainty reduction, and the other to the process of conditioned reinforcement (Fantino, 1977).

**Uncertainty-Reduction Theory**

According to uncertainty-reduction theory, presentation of the S- should reinforce observing to the extent that such a presentation reduces the uncertainty of a situation (Hendry, 1969). This account, which arose from information theory in applied mathematics and was supported by cognitively-oriented theorists, predicts that observing behavior should decrease as the observing context becomes more predictable. For example, in observing procedures, components in which food is available typically alternate with components in which food is unavailable. In a given experimental session, contingencies are least predictable when 50% of the session is spent in each component, and become more predictable with changes in the proportion of session time in the presence of the two stimuli. Because the presentation of S+ and S- reduce uncertainty to an equal extent, the function relating observing behavior to proportion of time spent in one of the components should be a symmetrical inverted-U, with a peak at 50%.

Wilton and Clements (1971) tested this hypothesis in a trial-based observing procedure. Pigeons produced outcome-correlated color changes on a lighted response key according to a fixed-interval (FI) 15-s schedule. Completing the FI requirement produced a trial outcome (light and food, or light only) after a 35-s delay, provided that no key pecks occurred in the 5-s prior to the outcome. In one condition the probability of a food outcome was 0.2, and in a subsequent condition this probability was changed to 0.8. Because these values deviate from 0.5 in equal
measure, uncertainty-reduction theory predicts that these values should generate the same rate of response. However, the authors found that pigeons reliably pecked at higher rates during the FI period in the 0.2 probability of food condition.

Kendall (1973) used a similar procedure, but allowed trials to progress in the absence of an observing response. When the probability of food at the end of a trial was parametrically manipulated across conditions (0.25, 0.5, and 0.75), the rate of observing was inversely related to the probability that a trial terminated in food: observing increased as the probability of food decreased.

Similarly, McMillan (1974) tested uncertainty-reduction theory, but used a wider range of probability values. Pigeons produced food by pecking one response key according to a mixed schedule with variable-interval (VI) 70-s and extinction (EXT) components (MIX VI EXT). Mixed schedules are compound schedules of reinforcement in which no exteroceptive stimuli are correlated with the respective component schedules. A single peck on a second key (the observing key) changed the MIX schedule to a multiple (MULT) schedule, in which schedule-correlated stimuli were available for 20 s. A probability gate that determined which of the component schedules was in effect was sampled every 40 s. The probability of a VI component was manipulated across seven conditions, including probabilities of 0.0 and 1.0. Contrary to the predictions of uncertainty-reduction theory, McMillan found an asymmetrical inverted-U function, with the highest rates of observing at moderately low probability values for 5 of 6 pigeons. Dinsmoor (1983) notes that such results are quite common and that the symmetrical inverted-U function relating probability of observing to probability of a positive outcome predicted by uncertainty-reduction has never been obtained.
**Conditioned Reinforcement**

The failure of uncertainty-reduction theory has led many researchers to favor explanations of observing based instead on conditioned reinforcement. Although different versions of conditioned reinforcement theory have been used to account for the data generated by experiments employing the observing procedure, all are based on some type of stimulus-reinforcer pairing. That is, observing is maintained by stimuli correlated with unconditioned reinforcement (for reviews, see Dinsmoor, 1983; Fantino, 1977).

One apparent problem for explanations based on contiguous stimulus parings is that they seem to require an additional assumption that the reinforcing value of the S+ is greater than the punishing value of the S−. As Dinsmoor (1983) and others have pointed out, however, animals will, to the extent that it is possible, maximize their exposure to S+ and minimize their exposure to S−, for example, by turning away from the response key. This account, known as selective observing, preserves a view based on contiguous stimulus-stimulus pairings.

The pairing view is further supported by data showing that presentations of S− do not maintain observing behavior (Dinsmoor, Browne, & Lawrence, 1972). It has also been shown that observing does not persist when component durations are very brief (Branch, 1973). According to Dinsmoor, this fits well with a selective observing account because, “When selectivity of observing is largely precluded…by restriction of the length of time for which the S+ is available, artificial observing responses become difficult or impossible to maintain.”

A more quantitatively sophisticated version of the pairing view of conditioned reinforcement is Fantino’s delay-reduction theory. In brief, the theory states that the value of a conditioned reinforcer is a function of the relative reduction in delay to unconditioned reinforcement signaled by its presentation (Fantino, 1977). Delay-reduction theory therefore
predicts that stimuli which signal an increase in the delay to unconditioned reinforcement should not be effective conditioned reinforcers, and that behavior which produces them should therefore not be maintained.

Initially developed to describe the effects of conditioned reinforcement on choice in concurrent-chains procedures, delay-reduction theory has subsequently been extended to observing procedures. For example, Case and Fantino (1981) exposed pigeons to MIX FI FI FI schedules in which pecks on one response key produced food according to one of three alternating FI schedules. Pecks on a second key converted the MIX to a MULT schedule, replacing the uncorrelated stimulus with stimuli that were correlated with the FI schedule in effect on the food key, and in some conditions produced a different (uncorrelated) stimulus. Observing was well maintained by all stimuli that signaled a reduction in the delay to food relative to the mixed stimulus. Contrary to the predictions of delay-reduction theory, observing behavior was weakly maintained by stimuli that signaled an increase in the delay to food. The results of a second experiment, however, showed that the rate of observing was higher when such behavior produced a second, uncorrelated, stimulus than when it produced a stimulus correlated with an increase in delay to food. The authors concluded that sensory reinforcement was responsible for maintaining a low level of observing behavior, and that delay-reduction theory therefore accounted well for the obtained data. However, uncertainty-reduction theory could not account for the present data, because according to this theory, the reduction in uncertainty provided by stimuli that signal an increase in the delay to food should be reinforcing. The authors noted, however, that other theories of conditioned reinforcement could account equally well for their data. Again, delay-reduction theory has not been shown to outperform accounts of observing behavior based on contiguous pairing of stimuli. Delay-reduction theory
is only favored to the extent that it offers precise quantitative predictions that can be demonstrated to apply across a range of conditioned reinforcement procedures, including the observing and concurrent-chains procedures.

**The Present Study**

The purpose of the present investigation was to further explore the effects of response-independent conditioned reinforcement on observing behavior. Unlike the Lieving et al. (2006) study, the present study also manipulated the proportion of session time spent in either VI or EXT components of the compound schedule operating on the food key. This manipulation was conducted to examine the possibility that the effects of response-independent delivery of schedule-correlated stimuli are modulated by the proportion of S+ versus S- exposures. For example, a greater proportion of S- exposures may produce greater proportional decreases in rate of observing, or greater proportional decreases in measures of discriminative control by the schedule-correlated stimuli. Furthermore, the effects of changing the proportion of session time spent in each component of the compound schedule are well replicated and thus serve as solid ground in what is otherwise a relatively novel procedure.

Another procedural feature adopted in the present study concerned preliminary training with the component schedules. Mixed- and multiple-schedule training was begun simultaneously, and training on the observing key did not begin until control by the mixed and multiple stimuli was clearly evident. This training technique was suggested by Branch (1973), because the common technique of introducing the mixed stimulus at the same time as the observing response, and after multiple schedule training, may disrupt food key performance in the presence of the mixed stimulus.

Another difference between the present study and Lieving et al. is that observing responses were reinforced according to VI schedules, rather than a FR 1 schedule of
reinforcement. Variable-interval schedules are commonly chosen as baselines because they minimize post-reinforcement pausing and engender steady intermediate rates of responding. This is especially important in the present study because unlike the Lieving et al. study, which examined response-dependent and response-independent schedules of conditioned reinforcement in isolation, the present study attempted to demonstrate a more graded function relating response rate to various combinations of conjoint VI VT schedules of conditioned reinforcement.

Perhaps the most unique procedural feature of the present study concerned the locus of the correlated stimuli. In the majority of prior research on the topic, the mixed and multiple-schedule stimuli are presented on a response key. In the present study, these stimuli were presented using the houselight, with different flash rates corresponding to the different schedules. This procedural feature is relevant to the issue of selective observing. Because the flashing of the houselight is visible throughout the experimental space, these stimuli cannot be escaped, thereby minimizing the possibility of selective observing.
Rachlin and Baum (1972, Experiment III-B) investigated the effects of conjoint VI VT schedules of primary reinforcement on pigeons’ key pecking. These authors found that increasing the proportion of primary reinforcers per session delivered by the VT (response-independent) schedule produced decreases in the rate of key pecking. The purpose of the present experiment was to determine whether a similar relation is obtained when response-independent deliveries of conditioned reinforcers are superimposed on behavior maintained by conditioned reinforcement. Pecks on a response key produced food according to a mixed VI 40-s EXT schedule of reinforcement. Pecks on a second (observing) key produced brief access to stimuli correlated with the component currently in effect on the food key. During baseline conditions, a VI 20-s schedule of stimulus presentations was arranged on the observing key. Across experimental conditions, VT schedules of increasing density were superimposed on the underlying VI contingency operating on the observing key.

Another variable of interest was the proportion of total session time spent in each of the component schedules operating on the food key. After the manipulation described above was conducted under conditions in which 50% of total session time was spent in the EXT component of the mixed schedule on the food key, pigeons were exposed to a second series of conditions identical to the first with the exception that either 25 or 75% of the total session time was spent in the EXT component. This manipulation was accomplished by alternatively increasing or decreasing the values of the distribution used to determine the duration of the EXT component.
Method

Subjects

One female (250) and five male (96, 289, 457, 1770, 1774) adult White Carneau pigeons (*Columba livia*) served as subjects. All subjects were naïve with respect to all experimental procedures and maintained at approximately 83% of their free-feeding body weights. The subjects were housed in individual cages in a colony room where they had free access to fortified water and grit. The colony was kept on a light/dark cycle with a light duration of 16.5 hours beginning at 7AM.

Apparatus

Sessions were conducted in a standard three-key operant chamber. The chamber was light- and sound-attenuating and located in a dark room. An exhaust fan in the chamber and a white noise speaker outside the chamber served to further mask outside noise. The experimental chamber measured 30.5 cm x 35 cm x 35 cm. Keys were arranged 23.5 cm from the floor of the chamber and were spaced 5.7 cm apart. Only the left and center keys were operable. A houselight provided general illumination in the chamber. A solenoid-operated food hopper was used to deliver 3 s access to mixed grain. Response keys required a force of 0.12 N to register a response. The left key was illuminated red, and the center key white for all subjects across all training and experimental conditions. All experimental events were controlled and data collected by a standard PC using MED-PC IV software and located in an adjacent room.

Preliminary Training

Subjects were run in experimental sessions seven days per week at approximately the same time every day. Each session began with a 5-min blackout period, during which all lights in the experimental chamber were off and responses produced no scheduled consequences. The pigeons were first trained to eat from the food hopper and then trained with food to peck the
white center key via the method of successive approximations. Once key-pecking was established, all pigeons were exposed to 21 sessions in which pecking produced food according to a variable-interval (VI) 40-s schedule of reinforcement. Before the introduction of the left (observing) key, all pigeons were exposed to training sessions in which the schedule of food reinforcement on the center key alternated between VI 40 s and extinction (EXT) components. The VI components terminated with food presentation. Duration of EXT components was determined by drawing from a 20-element equal probability (Flesher-Hoffman) distribution of values that were identical to but independent of the values of the VI 40-s distribution. The VI and EXT components alternated pseudo-randomly such that the components could be presented in either order, but with the restriction that an exposure to each component was completed before a random determination of the next component type was made.

During these sessions and throughout the rest of the experiment, the houselight delineated the $S^+$, $S^-$, and mixed conditions. Specifically, the houselight flashed at a higher rate (on and off every 0.1 s) to signal the VI component ($S^+$) and at a lower rate (every 0.66 s) to signal the extinction component ($S^-$). A steady-on houselight was used to signal the mixed schedule. All stimuli used in the terminal procedure were introduced together in the training sessions. Alternation between mixed and multiple (schedule-correlated) stimulus types occurred every 10-min in the 60-min training sessions. Training sessions are therefore describable in standard reinforcement-schedule nomenclature as MULT (MULT VI 40 s EXT/ MIX VI 40 s EXT). Training continued until a clear discrimination developed between the 3 stimulus conditions, as determined by visual inspection of cumulative records.

Following the initial training sessions, the left (observing) key was introduced. In the absence of observing responses, the mixed stimulus was in place continuously. When the
observing requirement was satisfied the mixed stimulus was replaced for 3 s with the schedule-
correlated stimulus that corresponded to the schedule (VI or EXT) currently in effect on the food
key. The stimulus changed from S- to S+ immediately if components changed from EXT to VI
during the 3-s stimulus presentation. Because VI components terminated with food delivery, the
schedule-correlated stimulus did not change from S+ to S- during a single presentation. A
change-over delay (COD) that prevented the delivery of food for 1.5 s after an observing key
peck was in place throughout training and all experimental conditions.

Initially the observing-key schedule requirement was FR 1. That is, every peck on the
observing key changed the houselight from steady-on to flashing correlated with the contingency
of reinforcement in place on the food key. To facilitate acquisition of observing, initial exposure
to response-produced schedule-correlated stimuli was also controlled in such a way that the first
5 exposures to schedule-correlated stimuli in a session were S+. If the observing key was pecked
while an EXT component was in effect then the schedule-correlated stimulus was not produced
unless the pigeon had produced the S+ at least five times during that session. After several
sessions this restriction was lifted, and the observing schedule was leaned to a VI 10 s across
several sessions. Due to low observing rates, Pigeon 96 was exposed to an additional condition
in which schedule-correlated stimuli were produced only when observing responses were closely
(5 s or less) spaced. When observing rates were moderate and stable, the observing schedule was
changed to a VI 20-s schedule and Experiment 1 began.

**Experimental Procedure**

The schedule of food reinforcement arranged for pecks on the center key alternated
between VI 40 s and EXT, as described above. Sessions ended after 40 food presentations or 75
minutes, whichever came first. The schedule of reinforcement on the observing key was VI 20 s
throughout the experiment.
A variable-time (VT) schedule of response-independent presentation of schedule-correlated stimuli was superimposed on the VI 20-s observing schedule across blocks of sessions, or conditions, within a phase. Experimental phases were comprised of blocks of conditions, and began with a baseline condition in which no response-independent presentations of schedule-correlated stimuli occurred, followed by a series of conditions in which response-independent presentations of schedule-correlated stimuli were presented with increasing density (VT 40 s, VT 20 s, and VT 10 s, respectively). No COD was arranged between these presentations and other experimental events. Each phase concluded with a replication of the baseline condition. Thus, a phase consisted of 5 conditions. Conditions were terminated after a minimum of 14 sessions had been conducted and a judgment of stability had been made. Stability was determined by visual inspection of session rates of observing responses for individual subjects. Stability criteria required that no trend appear in the last five sessions of a condition and that neither the highest or lowest session rates of observing responses were contained in the last five sessions of a condition.

Across phases the proportion of session time spent in EXT was systematically manipulated. This was accomplished by changing the values in the distribution comprising the durations of EXT components. During Phase 1, pigeons spent approximately 50% of the session in EXT. After completion of Phase 1 pigeons were split into two groups. Pigeons in Group A (250, 457, 1774) were exposed to Phase 2, in which approximately 75% of total session time was spent in EXT. Pigeons in Group B (96, 289, 1770) were exposed to Phase 3, in which approximately 25% of total session time was spent in EXT. The original plan for this experiment was for all pigeons to experience all phases. However, the within-phase changes in the rate of response-independent stimulus presentation did not produce orderly changes in the
rate of observing behavior. Therefore, Experiment 2 began after 2 phases of Experiment 1 had been completed. The order of conditions and number of sessions for each condition in Experiment 1 appear in Table 2-1.

**Results**

Figure 2-1 shows mean rate of observing responses as a function of the average number of response-independent stimulus presentations per min for the last five sessions of each condition in Experiment 1. Each graph shows data for an individual pigeon. Subject number is shown in the upper-left corner of each graph. Data for Group A pigeons are presented in the left column, and data for Group B pigeons are presented in the right column. Each data path represents results from a single phase. In general, response rates varied as a function of the proportion of total session time spent in EXT. Specifically, for 25 of 29 within-subject across-phase comparisons, rate of observing behavior increased as proportion of total session time spent in EXT increased. Data were less orderly with respect to within-phase manipulations. Across conditions within Phase 1 (50% EXT), 3 pigeons (250, 289, 457) showed no effect of average number of response-independent presentations of the schedule-correlated stimuli. Data for two of the remaining pigeons (1770, 1774) were characterized by a U-shaped function, whereas data for a third (Pigeon 96) were characterized by a linearly decreasing function. Across conditions within Phase 2 (75% EXT), the data were mixed: no effect for Pigeon 457, an inverted-U function for Pigeon 1774, and a linearly decreasing function for Pigeon 250. Across conditions within Phase 3 (25% EXT), the data were similarly mixed: an inverted-U function for Pigeon 289, and a decreasing function for Pigeon 1770. Observing behavior was not well maintained across conditions in Phase 3 for Pigeon 96.

Figure 2-2 shows mean rate of responses on the food key as a function of the average number of response-independent stimulus presentations per min for the last five sessions of each
condition in Experiment 1. Data are displayed similarly to Figure 2-1. For 23 of 29 within-subject across-phase comparisons, rate of pecking on the food key varied as an inverse proportion of total session time spent in EXT. Comparisons across conditions within Phase 1 indicate small idiosyncratic changes in rate of pecking on the food key as a function of the density of response-independent stimulus presentations. For 3 pigeons (250, 289, 457), rates were highest during baseline conditions, and then decreased but leveled off across conditions in which response-independent stimuli were presented. For Pigeon 96, rates were lowest during baseline conditions, but increased sharply when response-independent stimuli were introduced. Data for Pigeon 1770 indicate an inverted-U shaped function, while data for Pigeon 1774 indicate no effect. Within Phases 2 and 3, the data indicate no effect for all pigeons across all conditions. The lone exception to this was a decrease in rate of pecking for Pigeon 1770 during the condition in which response-independent stimuli were presented at a rate of 6 per min.

To assess the extent to which the schedule-correlated stimuli controlled responses to the food key, Figure 2-3 shows discrimination index (DI) as a function of the average number of response-independent stimulus presentations per min for the last five sessions of each condition in Experiment 1. Data are displayed similarly to Figure 2-1. The DI values shown in Figure 2-3 were calculated using Equation 2-1, where R₁ is the key-pecking rate in the presence of S⁺, and R₂ the rate of key pecking in the presence of S⁻.

$$\text{DI} = \frac{R_1}{R_1 + R_2}$$  \hspace{1cm} (2-1)

Figure 2-3 indicates strong control by the schedule-correlated stimuli across all subjects and conditions, with mean DI above 0.9 in 35 of 59 cases, and above 0.7 in 58 of 59 cases. DI remained relatively stable across all conditions for 5 of 6 pigeons. Pigeon 96 showed a slight
decrease in DI as the rate of response-independent deliveries of schedule-correlated stimuli was increased.

A second DI analysis was conducted on food-key responses in the presence of the mixed stimulus to determine the extent to which other events, such as food delivery, were serving a discriminative function for responses to the food key. Equation 1 was used for this analysis, with the rate of response during VI serving as R₁, and the rate of response during EXT as R₂. Figure 2-4 shows mixed stimulus DI, and is arranged similarly to Figure 2-1. These data indicate that all pigeons were more likely to peck the food key during VI than during EXT across all conditions and phases. A slight increasing trend is apparent across conditions in 7 of 12 phases. No effect is apparent across conditions in four phases. Phase 1 (50% EXT) data for Pigeon 96 show a high DI during baseline conditions, but a more moderate DI across conditions in which stimuli were occasionally delivered independent of responding. A comparison of Figure 2-4 and Figure 2-3 shows that DI was higher in the presence of schedule-correlated stimuli than in their absence in all conditions for all subjects.

Figure 2-5 shows a third DI analysis and is arranged similarly to the preceding figures. Responses on the observing key were analyzed in identical fashion to the analysis of food key responses in Figure 2-4. Data show DI values below 0.4 in 55 of 59 conditions across all subjects. Thus, all pigeons were more likely to peck the observing key during EXT than during VI in the presence of the mixed stimulus. Pigeon 96 was relatively more likely to peck the observing key during VI than the other subjects across Phase 1 conditions. Across conditions a slight decreasing trend is apparent in 9 of 12 phases.

Figure 2-6 shows relative rate of response on the food key (P_food) as a function of the average number of response-independent stimulus presentations per min. Data were analyzed
using Equation 2-2, where $R_{\text{food}}$ is the rate of pecking the food key in the presence of the mixed stimulus, $R_{\text{obs}}$ is the rate of pecking the observing key in the presence of the mixed stimulus.

$$P_{\text{food}} = \frac{R_{\text{food}}}{R_{\text{food}} + R_{\text{obs}}} \quad (2-2)$$

As in the preceding figures, each data path represents results from a single phase. Data indicate that as proportion of total session time spent in EXT increased, the relative rate of responding on the food key decreased. Relative rates were roughly similar across conditions within a phase for all subjects. The only exception was for Phase 1 data for Pigeon 96. Relative rate of responding on the food key was approximately 0.6 during baseline conditions, and increased sharply to approximately 0.9 across conditions in which response-independent stimuli were presented.

Figures 2-7 and 2-8 show the contiguity between observing key pecks and subsequent response-independent presentations of the schedule-correlated stimuli. Each dot shows a single delay from an observing key peck to the next response-independent presentation of a stimulus, and triangles indicate median values. If no observing key pecks occurred between two response-independent stimulus presentations, then the latter presentation was excluded from the analysis. For six of six pigeons, data show that as the rate of response-independent stimulus presentations was increased, greater contiguity between observing key pecks and response-independent stimulus presentations was obtained, both in terms of the median delay and the spread in the distributions of obtained delays.

**Discussion**

Taken together, the results indicate that behavior was strongly controlled by the schedule-correlated stimuli, and was systematically related to the proportion of total session time spent in EXT. Increasing the density of response-independent stimulus presentations in the context of a
constant VI 20-s on the observing key, however, produced only relatively weak effects across all dependent measures.

Although this latter effect appears to be at odds with comparable manipulations involving unconditioned reinforcement, it was not due to lack of experimental control. First, the orderly changes in observing behavior across phases, across which proportion of session time spend in EXT components was manipulated, are similar to those obtained in previous experiments on observing behavior (e.g., Kendall, 1973; McMillan, 1974; Wilton & Clements, 1971). Second, the discrimination index for food-key responding in the presence of the multiple stimuli remained high across all conditions of Experiment 1. These results indicate that $S^+$ was serving both discriminative and conditioned reinforcing functions, and that the reinforcing efficacy of the $S^+$ did not change appreciably across conditions.

As noted previously, changes in the response-reinforcer dependency have been implicated as a critical controlling variable in the study of response-independent reinforcement (Lattal & Abreu-Rodrigues, 1997). Across conditions in Experiment 1, the background VI schedule of reinforcement for observing key pecks remained constant. Thus, it is possible that more extreme disruption of the dependency between observing behavior and stimulus presentations could produce more systematic changes in observing behavior. The relatively subtle changes in dependency were accompanied by an increase in the probability of contiguous pairings of observing responses and response-independent delivery of the schedule-correlated stimuli due to the increasing density of response-independent stimulus presentations across conditions (see Figures 2-7 and 2-8). This account is consistent with data showing that the pattern of key pecking produced by a VI schedule of food presentation is relatively unchanged when the VI schedule is replaced with a VT schedule (Marr & Zeiler, 1974; Zeiler, 1968). This possibility
was explored in Experiment 2 by holding the density of schedule-correlated stimulus presentations constant across sessions, and manipulating the dependency via concomitant changes in both the VI and VT schedules operating on the observing key.
Table 2-1. Order of conditions and number of sessions per condition for Experiment 1.

<table>
<thead>
<tr>
<th>Condition/ Phase</th>
<th>Group A</th>
<th>Group B</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>457</td>
<td>250</td>
</tr>
<tr>
<td><strong>Phase 1 (50% EXT)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VI 20&quot;</td>
<td>55</td>
<td>56</td>
</tr>
<tr>
<td>VI 20&quot; + VT 40&quot;</td>
<td>25</td>
<td>23</td>
</tr>
<tr>
<td>VI 20&quot; + VT 20&quot;</td>
<td>20</td>
<td>18</td>
</tr>
<tr>
<td>VI 20&quot; + VT 10&quot;</td>
<td>15</td>
<td>14</td>
</tr>
<tr>
<td>VI 20&quot;</td>
<td>29</td>
<td>17</td>
</tr>
<tr>
<td><strong>Phase 2 (75% EXT)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VI 20&quot;</td>
<td>37</td>
<td>20</td>
</tr>
<tr>
<td>VI 20&quot; + VT 40&quot;</td>
<td>14</td>
<td>16</td>
</tr>
<tr>
<td>VI 20&quot; + VT 20&quot;</td>
<td>52</td>
<td>21</td>
</tr>
<tr>
<td>VI 20&quot; + VT 10&quot;</td>
<td>25</td>
<td>30</td>
</tr>
<tr>
<td>VI 20&quot;</td>
<td>49</td>
<td>26</td>
</tr>
<tr>
<td><strong>Phase 3 (25% EXT)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VI 20&quot;</td>
<td>-</td>
<td>60</td>
</tr>
<tr>
<td>VI 20&quot; + VT 40&quot;</td>
<td>-</td>
<td>-</td>
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<tr>
<td>VI 20&quot; + VT 20&quot;</td>
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<tr>
<td>VI 20&quot; + VT 10&quot;</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>VI 20&quot;</td>
<td>-</td>
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</tr>
</tbody>
</table>
Figure 2-1. Rate of responses on the observing key as a function of rate of response-independent stimulus presentations. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviations.
Figure 2-2. Rate of responses on the food key as a function of rate of response-independent stimulus presentations. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviations.
Figure 2-3. Discrimination index of food-key responding in the presence of schedule-correlated stimuli as a function of rate of response-independent stimulus presentations. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviations.
Figure 2-4. Discrimination index of food-key responding in the presence of the mixed stimulus as a function of rate of response-independent stimulus presentations. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviations.
Figure 2-5. Discrimination index of observing-key responding in the presence of the mixed stimulus as a function of rate of response-independent stimulus presentations. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviations.
Figure 2-6. Relative rate of responding on the food key as a function of rate of response-independent stimulus presentations. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviations.
Figure 2-7. Delay from an observing key peck to a response-independent schedule-correlated stimulus presentation as a function of the rate of response-independent stimulus presentations for three subjects (457, 250, 1774) in Experiment 1. Points show individual obtained delays from the last five sessions of each condition. Open triangles indicate median values.
Figure 2-8. Delay from an observing key peck to a response-independent schedule-correlated stimulus presentation as a function of the rate of response-independent stimulus presentations for three subjects (96, 289, 1770) in Experiment 1. Points show individual obtained delays from the last five sessions of each condition. Open triangles indicate median values.
CHAPTER 3
EXPERIMENT 2

To manipulate the dependency between observing responses and the presentation of schedule-correlated stimuli, a procedure was employed that mimicked a previous study designed to investigate the relationship between dependency and unconditioned reinforcement. Lattal (1974) arranged a procedure in which the rate of reinforcement was held constant while the dependency between pigeons’ key pecks and food delivery was manipulated in a graded fashion across conditions. This was accomplished by first establishing baseline performance according to a VI schedule of reinforcement, and following this with a condition in which a similarly valued VT schedule arranged food deliveries independent of responding. Following these conditions, a series of intermediate dependency conditions were conducted in which every third or every tenth reinforcer delivery was contingent on a response. In addition, one pigeon was exposed to a condition in which every third reinforcer was delivered independent of responding, but the remaining reinforcers were contingent on a response. Thus, conditions were conducted in which 100, 66, 33, 10, and 0% of the reinforcers were response-dependent. Results indicated that the rate of key pecking decreased as the proportion of response-dependent reinforcers decreased. In the present experiment a similar manipulation was conducted, but the technique used to accomplish this was slightly different, involving conjoint VI VT schedules in various combinations, as described below.

Method

Subjects and Apparatus

Subjects and apparatus were identical to those used in Experiment 1.
**Procedure**

All procedural details were identical to those of Experiment 1, unless otherwise noted. Experiment 2 began immediately after Experiment 1 without a break. Prior to Phase 1 a condition was conducted in which the duration of schedule-correlated stimulus presentation was 10 s. All other procedural details were identical to those of the baseline condition of Phase 1 of Experiment 2. This condition was conducted to determine if increasing the stimulus duration would produce higher rates of pecking on the observing key. After the completion of this condition the duration of schedule-correlated stimulus presentation was reduced to 3-s. Because most pigeons pecked on the observing key more frequently when the stimulus duration was 3-s, this stimulus duration was used throughout Experiment 2.

Across conditions within a phase, contingencies were changed such that the programmed density of schedule-correlated stimuli was held constant, while the level of dependency between observing responses and schedule-correlated stimulus presentations was manipulated. During baseline conditions, schedule-correlated stimuli were produced according to a VI 15-s schedule, for an average of 4 response-dependent stimulus presentations per min, and 0 response-independent stimulus presentations (4, 0). Across conditions within Phase 1, the average number of response-dependent stimulus presentations per min was decreased by one, and the average number of response-independent stimulus presentations was increased by one, until all stimulus presentations occurred independent of responding. Thus, the schedule of stimulus production operating on the observing key during the second condition of Phase 1 was a conjoint VI 20 s VT 60 s (3, 1). This condition was followed by conditions in which the schedule was conjoint VI 30 s VT 30 s (2, 2), conjoint VI 60 s VT 20 s (1, 3), and then VT 15 s (0, 4). The phase was concluded with a replication of the VI 15 s baseline (4, 0).
Manipulation of the other major independent variable (proportion of session time in EXT) followed the pattern established in Experiment 1, except that all subjects received all levels of the independent variable: 50% (Phase 1), 75% (Phase 2), and 25% (Phase 3). This was accomplished by changing the mean of the rectangular distribution tied to EXT component duration to 180 s (Phase 2) or 20 s (Phase 3).

Also unlike Experiment 1, component durations in Experiment 2 were determined by two identical independent 11-element rectangular distributions averaging 60 s. In this way, the delivery of food on the VI schedule would not signal the absence of food (EXT).

The order of conditions and number of sessions for each condition in Experiment 2 appear in Table 3-1. Conditions were terminated after a minimum of 14 sessions had been conducted and a judgment of stability had been made. Stability was determined by visual inspection of session rates of observing responses for individual subjects. Stability criteria required that no trend appear in the last five sessions of a condition and that neither the highest or lowest session rates of observing responses were contained in the last five sessions of a condition.

When Phase 3 began rates of observing behavior dropped substantially. Thus, guidelines were established to determine the sequence of conditions in Phase 3 dependent on individual pigeons’ performance. If the mean rate of observing key pecks across the last five sessions of the Phase 3 baseline condition was less than one per minute, then no conditions involving response-independent stimulus presentation were conducted. Instead, an A-B-A reversal was conducted in which the proportion of total session time spent in EXT was changed from 25% to 50%, and then back to 25%. Only Pigeon 250 followed this sequence of conditions. If the mean rate of observing key pecks across the last five sessions of the Phase 3 baseline was greater than one per minute, but less than 1/3 of the rate observed in the Phase 2 baseline replication
condition, then an A-B-A reversal was conducted in which the dependency ratio was changed from 4/0 to 0/4, and then back to 4/0. Only Pigeon 457 followed this sequence of conditions. The sequence of Phase 3 conditions for Pigeons 1774, 96, and 289 was identical to the sequence of conditions conducted in Phase 2. Pigeon 1770 was not exposed to Phase 3 conditions because this subject required substantially more time to complete the first 2 phases.

Results

Figure 3-1 is a comparison of the rates of observing key pecks in the presence of the mixed stimulus across conditions in which the duration of schedule-correlated stimulus presentations was manipulated from 10 s (black bars) to 3 s (grey bars). Each set of bars show data for an individual subject, as indicated on the X-axis. For 4 of 6 pigeons observing rates were higher when the stimulus duration was 3 s than when it was 10 s. Rates were similar under both stimulus durations for Pigeon 457 and were higher under the 10-s duration for Pigeon 1774.

Figure 3-2 shows for each pigeon the mean rates of observing responses for the last five sessions of each condition as a function of the dependency ratio, defined as the average number of schedule-correlated stimulus presentations available per min from VI and VT schedules, respectively. Within-phase functions are characterized by an inverse relationship between the dependency of observing key pecks on the presentation of schedule-correlated stimuli and the rate of pecking the observing key. A decreasing trend in rate of observing key pecks across conditions is apparent in all 16 phases across all subjects. Comparisons between conditions of maximal and minimal dependency (4/0 and 0/4 dependency ratios, respectively) show lower rates in the latter for 16 of 16 comparisons. Comparisons between Phases 1 and 2 indicate that observing rates did not change substantially when the proportion of session time spent in EXT was increased from 50% to 75%, although rates were slightly higher under Phase 2 conditions.
(75% EXT) in 14 of 21 cases. Phase 3 conditions (25% EXT) generated lower rates of observing than comparable conditions in Phases 1 and 2.

Figure 3-3 shows normalized group mean observing key pecks for all conditions of Experiment 2. This analysis was conducted to assess the extent to which changes in the proportion of session time spent in EXT interacted with changes in the dependency ratio. The extensive overlap of the three functions on this figure indicate that these variables produced additive, rather than interactive effects on the rate of observing key pecks.

Figure 3-4 shows mean response rate on the food key as a function of dependency ratio. None of the pigeons showed systematic changes in response rate across conditions within a phase. Across-phase comparisons indicate food-key response rates were inversely related to the proportion of session time spent in EXT for four pigeons (457, 250, 289, 1770). Pigeons 96 and 1774 showed the highest rates of responding to the food key when the proportion of session time spent in EXT was 50%. These results are similar to those obtained in Experiment 1.

Figure 3-5 shows the discrimination index for food-key responding in the presence of the schedule-correlated stimuli. Discrimination index was calculated with Equation 1, using the same measures as in Figure 2-3. As in Experiment 1, this measure indicates strong control of food-key responding by schedule-correlated stimuli for all pigeons across all conditions. A clear decreasing trend is apparent in 16 of 16 cases across conditions within a phase. Between-phase comparisons indicate that proportion of session time spent in EXT did not affect discriminability of the schedule-correlated stimuli, as indicated by the overlapping curves for 5 of 6 subjects.

Figure 3-6 shows the discrimination index for food-key responding in the presence of the mixed stimulus, calculated as in Figure 2-4. For all pigeons the discrimination-index values were approximately 0.6 across all conditions and phases.
Figure 3-7 shows the discrimination index for observing responses in the presence of the mixed stimulus. The discrimination-index values were roughly stable across conditions, with values generally ranging from 0.3 to 0.5. This is consistent with the stability observed in the discrimination index for food-key responding shown in Figure 3-6, and indicates that, in the presence of the mixed stimulus, pigeons were most likely to peck the observing key during EXT, and most likely to peck the food key during VI.

Figure 3-8 shows the relative rate of responding on the food key in the presence of the mixed stimulus, calculated according to Equation 2. Relative rates were above 0.6 for all pigeons under all conditions, and above 0.8 in 54 of 73 cases. Comparisons across conditions within a phase indicate an increase in the relative rate of food key responding as dependency ratio decreased. Across-phase comparisons show that the highest relative rates were obtained in Phase 3 (25% EXT) in 5 of 5 cases. Comparison of relative rates obtained in Phases 1 and 2 show no difference in 5 of 6 cases. Pigeon 457 showed slightly higher relative rates during Phase 1 (50% EXT) than Phase 2 (75% EXT).

The contiguities between observing key pecks and subsequent response-independent presentations of the schedule-correlated stimuli are shown in Figures 3-9 and 3-10. These figures are arranged similarly to Figures 2-7 and 2-8. The data show that temporal contiguity between these events decreased as the dependency ratio was decreased for all pigeons, as evidenced by increases in the median delay and the spread in the distributions of obtained delays.

Figures 3-11, 3-12, and 3-13 are representative cumulative records from two consecutive sessions for Pigeon 250. Figure 3-11 shows the final few minutes of the last session of the 0/4 dependency ratio condition in Phase 1 (50% EXT). All schedule-correlated stimulus presentations were response independent in this condition. The observing key record for this
figure is generally flat, and is punctuated by two brief periods of activity during EXT components. Figure 3-12 shows the beginning of the following session, in which the dependency ratio was changed to 4/0. No pecks on the observing key occurred for the first three minutes of this session. The first peck on the observing key produced S-, after which rate of observing key pecks briefly increased. Figure 3-13 shows the typical pattern of responding during baseline conditions. Note that this record shows performance after approximately 3.5 minutes had passed from the end of Figure 3-12. Thus, reacquisition of terminal response patterns was rapid. Note the bursts of responding to the observing key during EXT components, as well as the higher rates of response on the food key during VI components.

Discussion

The results of the present experiment show that observing responses were controlled by the dependency between observing responses and the presentation of schedule-correlated stimuli. Specifically, decreases in the dependency ratio decreased rates of responding on the observing key. This finding extends the findings of Lattal (1974) to behavior maintained by conditioned reinforcement and is consistent with the findings of Lieving et al. (2006).

It is interesting to note that the discrimination index for food-key responding in the presence of the multiple stimuli also decreased as the dependency between observing key pecks and schedule-correlated stimulus presentations decreased. This was a product of increases in rate of responding on the food key during S- presentations (data not shown). As shown in Figure 3-7, responses on the observing key were more likely during EXT components throughout the experiment. Thus, decreases in the rate of observing reduced competition between the observing and food keys, especially during EXT components, as response-independent S- presentations became more likely. This pattern of behavior also indicates that the pigeons did not move away from the intelligence panel during S- presentations, as suggested by a selective observing
account of observing behavior. Personal observations of the experimental sessions further substantiate that the pigeons in this experiment typically remained close to the intelligence panel throughout the sessions. It seems likely that delivering the schedule-correlated stimuli via the houselight prevented the development of selective observing.

Lastly, increasing the duration of schedule-correlated stimulus presentations generally reduced rate of responding on the observing key in the present experiment. This finding is limited because no reversal conditions were conducted. Dinsmoor, Mulvaney, & Jwaideh (1981) showed that pigeons prefer long-duration $S^+$ presentations over short duration $S^+$ presentations. However, measures of preference do not necessarily correspond to measures of rate of response. For example, it is possible that one schedule of reinforcement would produce higher rates of response than another schedule of reinforcement when exposure to both schedules is forced, but that the schedule that engendered the lower rates of behavior would be chosen more frequently in a preference assessment. Further experimentation is required to determine the precise relations that controlled this outcome.
Table 3-1. Order of conditions and number of sessions per condition for Experiment 2.

<table>
<thead>
<tr>
<th>Condition/ Phase</th>
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<td>VI 30” + VT 30”</td>
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Note: a – Schedule-correlated stimulus duration was 10 s during this condition, b – 50% of session time spent in EXT during this condition.
Figure 3-1. Observing responses per minute as a function of the duration of the schedule-correlated stimulus. Error bars indicate standard deviations.
Figure 3-2. Rate of responses on the observing key as a function of dependency ratio. Symbols indicate proportion of total session time spent in EXT. Error bars indicate standard deviations. Unconnected points are data from replicated conditions. The open circle indicates a replication of the 50% EXT baseline condition during Phase 3.
Figure 3-3. Normalized group mean rate of responses on the observing key as a function of dependency ratio. Symbols indicate proportion of total session time spent in EXT.
Figure 3-4. Rate of responses on the food key as a function of dependency ratio. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviation. The open circle indicates a replication of the 50% EXT baseline condition during Phase 3.
Figure 3-5. Discrimination index of food-key responding in the presence of schedule-correlated stimuli as a function of dependency ratio. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviations. The open circle indicates a replication of the 50% EXT baseline condition during Phase 3.
Figure 3-6. Discrimination index of food-key responding in the presence of the mixed stimulus as a function of dependency ratio. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviations. The open circle indicates a replication of the 50% EXT baseline condition during Phase 3.
Figure 3-7. Discrimination index of observing-key responding in the presence of the mixed stimulus as a function dependency ratio. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviations. The open circle indicates a replication of the 50% EXT baseline condition during Phase 3.
Figure 3-8. Relative rate of responding on the food key as a function of rate of response-independent stimulus presentations. Symbols indicate proportion of total session time spent in EXT. Unconnected points are data from replicated conditions. Error bars indicate standard deviations. The open circle indicates a replication of the 50% EXT baseline condition during Phase 3.
Figure 3-9. Delay from an observing key peck to a response-independent schedule-correlated stimulus presentation as a function of the dependency ratio for three subjects (457, 250, 1774) in Experiment 2. Points show individual obtained delays from the last five sessions of each condition. Open triangles indicate median values.
Figure 3-10. Delay from an observing key peck to a response-independent schedule-correlated stimulus presentation as a function of the dependency ratio for three subjects (96, 289, 1770) in Experiment 2. Points show individual obtained delays from the last five sessions of each condition. Open triangles indicate median values.
Figure 3-11. Cumulative record showing terminal performance for Pigeon 250 from the last session of the 0/4 dependency ratio condition in Phase 1 of Experiment 2. The upper line shows food key pecks and the lower line shows observing key pecks. Tick marks at the zero point of the Y-axis show food deliveries. The upper event record shows the schedule in operation on the food key, with an upward displacement of the record indicating EXT. The lower event record indicates when schedule-correlated stimuli were presented, with a downward displacement of the record indicating the presence of schedule-correlated stimuli. Note the break on the Y-axis and corresponding change in scale.
Figure 3-12. Cumulative record showing reacquisition of baseline performance in the first session of a replication of the 4/0 dependency ratio condition in Phase 1 of Experiment 2. Data are arranged as in Figure 3-11. Note the break on the Y-axis and corresponding change in scale.
Figure 3-13. Cumulative record showing stable baseline performance in the first session of a replication of the 4/0 dependency ratio condition in Phase 1 of Experiment 2. Data are arranged as in Figure 3-11. Note the break on the Y-axis and corresponding change in scale.
CHAPTER 4
DISCUSSION

In Experiments 1 and 2, across-phase changes in the proportion of session time spent in EXT components of a mixed schedule of food delivery produced orderly changes in rate of observing key pecks. In both experiments, pigeons observed at lowest rates in the 25% EXT Phases. In Experiment 1, the 75% EXT Phase produced the highest rates of observing, while in Experiment 2 similar observing rates were produced by the 50% EXT and 75% EXT Phases. In the presence of the mixed stimulus, observing responses were most likely during EXT components for all pigeons throughout both experiments.

In Experiment 1, the rate of response-independent schedule-correlated stimulus presentations was increased across sessions in the context of a constant response-dependent schedule of conditioned reinforcement. This manipulation produced unsystematic changes in the rate of observing key pecks, and did not produce appreciable changes in the discriminative control by the schedule-correlated stimuli. In Experiment 2, density of schedule-correlated stimulus presentations was held constant across conditions and the composite schedules of the conjoint VI VT schedule operating on the observing key were varied to manipulate the dependency between responses and schedule-correlated stimulus presentations across conditions. Results showed orderly decreases in the rate of observing key pecks and the discriminative control by the schedule-correlated stimuli as the dependency between pecks and stimulus presentations was decreased. The implications of these results for theories of conditioned reinforcement, observing, and attention will be discussed in this chapter.

Density of $S^+$ and $S^-$

The effects of the across-phase manipulation in both experiments, in which the proportion of session time spent in the respective component schedules operating on the food key was
varied, provides a point of comparison to prior research (e.g., McMillan, 1974; Kendall, 1973). Comparisons across the baseline conditions of each phase in Experiment 1 show results very similar to those reported by Kendall. In general, when a larger proportion of session time was spent in EXT components, rates of observing behavior were higher.

Results from baseline conditions in Experiment 2 show a slightly different relationship, however. Wilton and Clements (1971) described a theoretical function relating the reinforcing value of $S^+$ presentations as a function of the probability of their presentation. According to this function, the 50% and 75% EXT Phases should produce identical rates of response on the observing key, but the 25% EXT Phase should produce considerably lower rates of observing behavior. The data obtained in Experiment 2 are broadly consistent with this prediction; rate of observing behavior was similar across the 50% and 75% EXT Phases, but dropped considerably during the 25% EXT Phase.

An additional comparison relevant to the present study was conducted by McMillan (1974). Two pigeons spent more time in the presence of schedule-correlated stimuli under conditions in which the probability of a positive outcome was 0.2 (roughly similar to the 75% EXT Phase in the present experiment) than when this probability was 0.5 (comparable to the 50% conditions). Another 2 pigeons showed similar performance under these conditions and the remaining 2 pigeons spent more time in the presence of the schedule-correlated stimuli during the 0.5 probability condition.

The reason for the difference between the results of the across-phase manipulations in Experiments 1 and 2 is easily explained. During baseline conditions in these experiments, no response-independent stimuli were presented. Thus, the only difference between these conditions was the method used for switching between components operating on the food key.
In Experiment 1, VI components terminated with food delivery, while in Experiment 2 component alternations were unrelated to other experimental events. The method used in Experiment 1 enhances component discriminability in the presence of the mixed stimulus. A comparison of the baseline discriminability indices for food-key responding in the presence of the mixed stimulus indicates that discriminability was roughly similar during baseline 50% EXT conditions across the two experiments, but that the respective components were more discriminable during 75% EXT baseline in Experiment 1 than in the same condition in Experiment 2. Why this would produce the difference in baseline observing rates across the two experiments requires a consideration of the typical pattern of behavior displayed by the pigeons in both experiments. The discrimination indices of observing key responding in the presence of the mixed stimulus indicate that observing pecks were more likely during EXT components under all conditions in both experiments (see Figures 2-5 and 3-7).

Kelleher, Riddle, and Cook (1962) provided the following account of the pattern of behavior they observed under baseline conditions similar to those used in the present study:

When observing responses produced the negative stimulus, the bird paused on both keys. When the negative stimulus went off, the bird responded on the observing key until the negative or positive stimulus appeared. If the positive stimulus appeared, the bird responded at a high rate on the food producing key. When the positive stimulus went off, the bird continued to respond on the food-producing key in the mixed stimulus (p. 6).

Similar patterns of behavior were evident in the present study, as shown in Figure 3-13. As in the present experiments, Kelleher et al. reported that most of the observing key pecks occurred during EXT components. Thus, it could be expected that extended bouts of observing key pecks would follow food deliveries during the Experiment 1 75% EXT baseline condition, when such deliveries signaled periods of food unavailability three times as long as under 50%
In contrast, food deliveries in Experiment 2 could be followed by another food delivery only seconds later in both 75% and 50% EXT conditions.

The present data are also consistent with delay-reduction theory. Consider the most consistent finding from the across-phase manipulations in the present experiments—that rate of observing behavior was lower in the 25% EXT Phases than in the 50% EXT Phases. The inter-reinforcement interval in the presence of the mixed stimulus was 60 s in the former case and 80 s in the latter case. In both cases presentation of the S+ signaled a reduction in the average delay to food delivery (40 s). This indicates a far greater reduction in the delay to food in the 50% EXT condition. Delay-reduction theory therefore predicts that this condition would produce higher response rates. This account matches the obtained result in the present experiments.

These results are also consistent, however, with modern pairing theories, because these theories predict that the strength of a conditioned response is in part a function of the conditional probability of an unconditioned stimulus in the presence of a conditioned stimulus relative to the conditional probability of an unconditioned stimulus in the absence of a conditioned stimulus (Rescorla, 1967). Thus, the results of the across-phase manipulation support conditioned-reinforcement based theories of observing behavior in general, but do not differentially support a particular version of such theories. The results do not support any version of uncertainty-reduction theories, however, because such theories predict that the 25% and 75% EXT phases should produce similar rates of observing behavior because these situations are equally uncertain.

Response-Reinforcer Dependency

Rachlin and Baum (1972, Experiment III-B) compromised the dependency between pecking and access to food by superimposing various VT schedules of food presentation on a VI 3-min schedule that was held constant across conditions. In comparison, Lattal (1974) held the
density of food presentations constant across conditions and varied the proportion of food deliveries that were dependent upon a response. Similar results were obtained in both experiments; as the number of response-independent reinforcer deliveries increased the rate of food key pecking decreased. The present experiments suggest that whether similar functions are obtained for behavior maintained by conditioned reinforcement depends on which of these methods are used to compromise the dependency between responding and the presentation of conditioned reinforcers. Specifically, increasing the density of response-independent presentations of schedule-correlated stimuli in the context of a constant underlying response-dependent schedule did not produce systematic effects on observing behavior in Experiment 1. However, holding the number of schedule-correlated stimulus presentations per min constant and reducing the proportion of these presentations delivered according to the response-dependent schedule of stimulus delivery systematically reduced rate of response to the observing key in Experiment 2. Thus, Experiment 2 extends the findings of Lattal to situations in which the response-independent delivery of conditioned reinforcers is superimposed on behavior maintained by conditioned reinforcement.

It is not immediately obvious why the manipulations conducted in Experiment 1 failed to replicate the effect reported by Rachlin and Baum (1972). One possibility concerns the overall density of reinforcement. Rachlin and Baum conducted a control condition in which a conjoint VI 45 s VT 180 s schedule of reinforcement operated on the food key. Although this schedule delivered the same proportion of response-independent reinforcement as another condition with the same subjects (conjoint VI 180 s VT 720 s), rate of key pecking was considerably higher in the condition with the higher overall density of reinforcement for 3 of 4 pigeons. Thus, it seems reasonable to conclude that increasing the density of reinforcement compresses the temporal
relations between response-independent events and behavior maintained by response-dependent presentation of those same events. This interpretation is corroborated by data from the present experiments showing that the different methods used to disrupt the dependency between observing key pecks and stimulus presentations in Experiments 1 and 2 changed the contiguity between these events in different ways. Specifically, increasing the rate of response-independent stimulus presentations in the context of a continuous background schedule of response-dependent stimulus presentations increased the contiguity between response and putative conditioned reinforcer in Experiment 1. Thus, within-phase manipulations in Experiment 1 produced conflicting changes with respect to dependency and contiguity of events. In contrast, decreases in the dependency ratio across conditions in Experiment 2 produced concomitant decreases in the contiguity between responses and response-independent stimulus changes. Given these outcomes, it seems likely that discriminative control by the response-independent schedule was disrupted by the contiguity between responding and conditioned reinforcement during Experiment 1.

It is also possible that conditioned reinforcers are fundamentally different from primary reinforcers with respect to the effects of changes in the response-reinforcer dependency. This seems very unlikely, given the extent to which the voluminous literature on conditioned reinforcement supports the position that conditioned reinforcers function similarly to unconditioned reinforcers in most respects, as reviewed in the introduction. The results of both Marr and Zeiler (1974) and Lieving, et al. (2006), the only previous studies involving response-independent conditioned reinforcement, also argue against this possibility.

Perhaps the strongest evidence for rejecting the possibility that conditioned reinforcers are somehow fundamentally different from primary reinforcers with respect to response-independent
reinforcement is Experiment 2 of the present investigation. As discussed by Burgess and Wearden (1986), the manipulation of response-reinforcer dependency conducted in Experiment 2 confounds the effects of reducing the rate of response-dependent reinforcement and increasing the rate of response-independent reinforcement. However, the endpoint conditions, in which only response-dependent or response-independent presentations of schedule-correlated stimuli were available, clearly illustrate the importance of dependency in conditioned reinforcement. Further experimentation designed to isolate the relative contributions of the confounded operations in Experiment 2 is warranted, but the graded function relating dependency to rate of observing behavior suggests dependency, as formulated in Experiment 2, as an important controlling variable.

**Multiple Functions of Stimuli**

There is one other alternative explanation for the discrepancy between the results of Experiment 1 and the results of Rachlin and Baum (1973). Some theorists have argued the conditioned reinforcing function of any stimulus depends on its establishment as a discriminative stimulus—the so-called discrimination hypothesis (Keller & Schoenfeld, 1950). Keller and Schoenfeld cited two experiments in support of the discrimination hypothesis. The first of these showed that when a light was repeatedly paired with food after a rat had already collected the food from the food cup, the light was ineffective as a reinforcer in later sessions in which it was applied as a consequence for a new response (Schoenfeld, Antonitis, & Bersh, 1950). The second experiment began by establishing a light as a discriminative stimulus by reinforcing rats’ lever-presses in its presence but not in its absence. Then, under extinction conditions in which food was never delivered, the light was presented either as an antecedent for some rats and as a consequence for others. No differences in performance during extinction were obtained. In later extinction sessions, the temporal relation between lever presses and the light was reversed; rats
formerly exposed to the light as an antecedent were now exposed to the light as a consequence for lever pressing, and vice-versa. No changes in performance were produced by changes in the temporal relation between responding and stimulus presentation (Dinsmoor, 1950). Based on the results of these experiments, Keller and Schoenfeld concluded that a discriminative function is a necessary precondition for a conditioned reinforcing function, and that discriminative and conditioned reinforcing functions are interchangeable.

Other authors, notably Kelleher (1966), have argued against the discrimination hypothesis of conditioned reinforcement. Kelleher noted that the failure to obtain a reinforcing function in the experiment by Schoenfeld et al. was similar to failures to produce an eliciting function using similar arrangements in Pavlovian conditioning procedures. More importantly, Kelleher cited an experiment by Stein (1958), which showed that rats developed a preference for a lever that produced a tone after being exposed to a Pavlovian conditioning procedure in which the tone was paired with intracranial brain stimulation. In this arrangement the tone never served a discriminative function, yet the results indicate that it was an effective conditioned reinforcer.

In a similar vein, Kelleher (1961) used a pairing procedure to establish a click as a reinforcer, and then used the click alone to generate patterns of behavior similar to those generated by unconditioned reinforcer (as described earlier in the General Introduction). As in the experiment by Stein, the putative conditioned reinforcer never served a discriminative function, yet produced patterns of behavior consistent with a reinforcing function. As noted in several extensive reviews on conditioned reinforcement, the notion that stimuli can have multiple functions is not new, and does not jeopardize an account of the results of experiments involving stimulus-response chains, second-order schedules, or observing procedures in terms of conditioned reinforcement (Gollub, 1970; Kelleher, 1966; Kelleher & Gollub, 1962).
Other researchers have taken a more extreme position than that proposed by Keller and Schoenfeld (1950). While Keller and Schoenfeld argued that a conditioned reinforcing function depends on a discriminative function, Baum (1973), and Staddon (1983), for example, have advocated for the abandonment of conditioned reinforcement as an explanation for performance in chained schedules. An experiment by Schuster (1969, Experiment 3) is sometimes cited to support the claim that conditioned reinforcement is an unnecessary concept. In this experiment pigeons were exposed to a concurrent-chains procedure. Concurrent-chain schedules are schedules in which satisfying the response requirement on one of two concurrently available response keys (the initial link) produces another schedule of reinforcement (the terminal link) on the chosen response key, and darkens the other key. Food is delivered when the response requirement is completed in the terminal link. In Schuster’s experiment, identical VI 60-s schedules operated during the initial links. This procedure differed slightly from typical concurrent-chains procedures, however, in that each peck produced a 1-s blackout on both keys, during which responses were ineffective. Completion of the response requirement on either key produced the terminal-link stimulus on that key and darkened the other key. Each terminal link provided brief access to food according to a VI 30-s schedule of reinforcement, but one key also provided presentations according to an FR 11 schedule of stimuli paired with food delivery. Pigeons in this experiment reliably preferred the response key that did not produce additional stimuli, although for 2 of 5 subjects the preferences were weak. Additionally, all pigeons pecked at a higher rate in the terminal link that included additional stimulus presentations than in the terminal link that did not. Schuster reasoned that if the stimuli were conditioned reinforcers, then the pigeons would prefer the response key that increased the number of stimulus presentations, and thus concluded that the stimuli in chained schedules do not serve a reinforcing
function. He attributed the higher rates in the less preferred terminal link to the discriminative function of the stimuli paired with access to food.

Gollub (1970) argued that instead of invalidating theories of conditioned reinforcement, Schuster’s results simply corroborate earlier work by Fantino (1968), showing that higher rates of behavior in one terminal-link produces preference for the alternative link. Baum (1973) suggested that Gollub’s interpretation was incorrect, because Fantino’s results are restricted to situations in which higher response rates are required, and so on.

Schuster’s results remain controversial, and experiments employing a variety of procedures outside the scope of the present discussion have been conducted to illustrate that the concept of conditioned reinforcement outperforms available alternatives (e.g., Dunn & Williams, 1991; Dunn, Williams, & Royalty, 1987; Royalty, Williams, & Fantino, 1987). These experiments, in concert with the cogent reviews of the literature by Gollub (1977, 1970) and Kelleher (1966) provide strong evidence for the viability of the concept of conditioned reinforcement. In contrast, there is relatively little evidence that supports extreme versions of the discrimination hypothesis, as advanced by Baum, Staddon, and, more recently, Davison and Baum (2006). That conditioned reinforcers also function as discriminative stimuli in many, and perhaps most cases is uncontroversial, however.

**Observing and Attending**

Returning to the issue of observing, correlated stimuli undoubtedly serve multiple functions, discriminative as well as reinforcing. Indeed, the observing procedure, as developed by Wyckoff (1952, 1969), was designed to investigate aspects of stimulus control concerned with attention. The observing response is an experimental analogue of responses such as turning toward a stimulus, which presumably increases contact between receptor cells and the stimulus. This has led some researchers to distinguish between “natural” and “artificial” observing
responses, with the stimulus-producing responses in observing procedures in the latter category (e.g. Dinsmoor, 1983). Although this “natural/artificial” distinction may be intuitively reasonable, there are many exceptions, in which naturally occurring conditions involve “artificial” stimulus-producing responses. Imagine a situation in which a child is playing a video game while her father is giving her important instructions concerning things she must do later in the day. If she continues to press buttons on the game controller and stare at the screen, the father is likely to command, “Pay attention to me!” This command is essentially equivalent to, “Pause the game and look at me,” which specifies a response quite like an observing response in Wyckoff’s procedure.

The concept of attention is more commonly invoked under circumstances that are analogous to those pertaining to stimulus control more broadly. For example, consider the phrase, “He wouldn’t have been hit by the bus if he had been paying attention.” Note that in this example attention is used as an explanation for the lack of behavior change in the presence of a relevant stimulus. While such comments are convenient in everyday discourse, they are unsatisfactory as explanations in a scientific account because they appeal to a concept that was inferred from the behavior it is said to explain. As noted by Terrace (1966):

The use of attention as an explanatory principle in these instances is begging the question, and seems to be nothing more than a mask for our ignorance concerning the establishment of stimulus control (p. 289).

The distinction between attention as an explanation for behavior and attention as a description of behavior has led researchers to address the concept of attention by determining the conditions under which a stimulus comes to control behavior. Heinemann, Chase, and Mandell (1968), for example, showed that whether a pigeon’s behavior is altered by changes in a stimulus depends on how stimulus changes relate to contingencies of reinforcement. In this experiment,
responses on one of two concurrently available response keys produced food. When the keys were red, pecks to the left key produced access to food in the presence of a 300-Hz tone, and pecks to the right key produced access to food in the presence of a 1000-Hz tone. When the keys were green, only pecks to the right key produced access to food. Pecks on incorrect keys produced an inter-trial interval of variable duration, during which the response keys were turned off. The inter-trial interval followed food deliveries as well. After highly accurate performance was obtained, the probability of reinforcement for correct responses was leaned to 0.5. In the final condition, tones ranging in frequency from 450 Hz to 3200 Hz (but not 1000 Hz) were presented across the 50% of trials in which food delivery was not programmed. The relationship between the frequency of the tone on the unreinforced trials and the probability of a right-key peck was examined. When the response keys were red the pigeons’ behavior was controlled by the frequency of the tone. Frequencies nearer to 1000 Hz produced a higher probability of right key pecks. When the response keys were green, however, pigeons pecked the right key almost exclusively, regardless of the frequency of the tone. In colloquial language, the pigeons learned to attend to the tone when the keys were red, and ignore the tone when the keys were green. This result shows that contingencies of reinforcement are critical determinants of stimulus control, and therefore “attention”. For example, our hypothetical bus accident victim’s behavior may have been under the control of stimuli signaling the availability of other kinds of reinforcement, such as the smell of food from a nearby restaurant. Thus, the observing procedure addresses attention in terms of orienting responses, and the discrimination literature addresses attention in terms of stimulus control.

In Experiment 2, a function relating decreases in the dependency between observing responses and the production of schedule-correlated stimuli to decreases in rate of observing
behavior was shown. The discrimination index used to measure control of food-key responding by the schedule-correlated stimuli shows concomitant decreases in stimulus control as a function of decreases in the dependency. Because the schedule-correlated stimuli were presented diffusely throughout the experimental space, this result cannot be a function of the pigeons’ lack of contact with the stimulus. Instead, the decrease in stimulus control is a direct function of changes in the dependency, as evidenced by the graded function relating these variables. Although this finding is rather intuitive (as further demonstrated by the use of the same word to describe these relations in everyday language), I know of no other study employing an observing procedure that provides direct evidence that these two kinds of attention are related, although theorists interested in these procedures have presumed as much (e.g., Dinsmoor, 1995).

That this function has not been demonstrated previously is probably a function of the decades of controversy regarding why observing responses were maintained in Wyckoff’s procedure. Although Wyckoff developed the observing procedure to address attentional processes, in which antecedent-behavior relations are critical, most studies employing the observing procedure emphasize behavior-consequence relations. The present study shows that the observing procedure has not yet been fully exploited as a method for the study of attention.

**Future Directions**

Future experiments could employ variants of the present procedure to pit the level of dependency between observing responses and schedule-correlated stimulus presentations (or the response requirement on the observing key) against the strength of the correlation between the stimuli and the schedule operating on the food key. Such a manipulation would facilitate a comparison of the effects of effort and discriminative control on observing behavior.

Studies combining the observing procedure and discriminated timeout-avoidance procedures would also be interesting. For example, responses on one key might postpone
periods of timeout from response-independent reinforcement, while responses on a second key might produce stimuli correlated with temporal proximity to timeout. Another possibility is an experiment in which response-independent presentations of schedule-correlated stimuli would be prevented by pecks on an avoidance key. The proportion of session time spent in EXT components might then be manipulated. This procedure could be used to determine whether the rate of avoidance behavior is proportional to the number of S* presentations. Arrangements such as this would be useful for the study of attention in the context of aversive control.

Any of these arrangements, including the present procedure, could be employed as terminal links in choice procedures designed to assess preference in relation to, for example, response-dependent versus response-independent presentations of schedule correlated stimuli. It would also be informative to offer choices between response-independent presentations of S-only, S+ only, or both schedule-correlated stimuli. Clearly, there is much work to be done if we are to improve upon conventional accounts of attention (most of which have not progressed much beyond James, 1890), and the possibilities offered by the observing procedure are almost endless.

Another direction for future studies it to extend quantitative models of choice to situations involving response-independent conditioned reinforcement. For example, an experiment could be arranged in which the schedule requirement of the VI component of a conjoint VI VT schedule operating on the observing key could be varied across conditions within an experimental phase. The VT component could then be manipulated across phases, with the same series of changes to the VI schedule conducted in each phase of the experiment. A parametric manipulation of this kind would produce data amenable to modern quantitative analysis,
allowing for a determination of the extent to which currently available models can account for the effects of response-independent delivery of conditioned reinforcement.

In closing, it may be worthwhile to consider some possible applications of the present results. The relation between the dependency of schedule-correlated stimulus presentations on observing behavior and the control of food-key pecking shown in the present procedure has clear relevance to instructional design. The present results suggest, for example, that requiring a response to produce instructions would enhance the discriminative control exerted by an instruction. For example, if a company was interested in providing employees with training in behavioral safety in the workplace, an interactive computer-based program in which the employee controlled the delivery and removal of the instructions throughout the lesson should produce considerably better results than even the best-made instructional video.
LIST OF REFERENCES


Bugelski, R. (1938). Extinction with and without sub-goal reinforcement. *Journal of Comparative Psychology, 26,* 121-134.


BIOGRAPHICAL SKETCH

Born in New Jersey, and raised in Philadelphia, PA by my grandparents, I first arrived in Florida in 1987 at the age of 13. In my first few years in Florida I attended Largo High School and learned to play the guitar. After earning a Florida Undergraduates’ Scholarship I decided to attend the University of Florida, and arrived in Gainesville in 1992. I put myself through school with a combination of grants, loans, and part-time jobs. When part-time jobs became scarce, I donated plasma to have money for food. One night I was robbed at gunpoint while working in a Subway restaurant on NW 13th Street, just north of University Avenue. After another robbery about a month later, I decided to quit that job and work at Toys R Us. Although there was no shortage of guns in Toys R Us, they were all made of plastic and shot foam bullets. It was about that time that I decided to become a psychology major, more as a default option rather than out of any kind of real passion. After sitting through classes listening to endless serious presentations of ridiculous theories, volunteering as a counselor for the local suicide hotline where the principle technique was to paraphrase what the caller said and repeat it back to them, and as an assistant in a rat lab where no one ever talked about why what they were doing was relevant, I was seriously entertaining the idea of changing majors. It was then that I met Dr. Hank Pennypacker, and things began to change quickly. I began to understand the practicality and power of the study of behavior in its own right. The silly theories were all gone, and replaced with a simple, elegant set of descriptions about the relationship between behavior and environment. The techniques were easy to apply in everyday life, and I thought I might be onto something. I enrolled in a course called “Behaviorism in Contemporary Society” taught by Ed Malagodi, who’s insightful guidance led me to an understanding that what I had stumbled upon was not a simple collection of techniques for changing behavior, but a thoroughgoing world-view. It had become easy to be passionate about what I was doing.
I enrolled in the masters’ program in Applied Behavior Analysis at the University of North Texas (UNT), where I was given the opportunity to work in a variety of settings with a wide range of populations. I worked as an in-home therapist for children with autism, a unit psychologist in an institution for developmentally-disabled adults, an after-school facility for disadvantaged children, and a school for children with severe behavioral disorders. However, my favorite experiences at UNT were those I had with Sigrid Glenn, who taught me to teach undergraduate courses in behavior analysis, and Manish Vaidya, who chaired my thesis project on the delayed matching-to-sample performance of typically functioning adult humans. These experiences convinced me to pursue an academic career in the experimental analysis of behavior. I enrolled in the Ph.D. program at the University of Florida, and returned to Gainesville in 2002 to work with Dr. Tim Hackenberg. While here I have spent most of my time studying basic behavioral processes in pigeons, and continuing to teach undergraduates about the experimental analysis of behavior. After graduating, I hope to continue studying basic behavioral processes in both humans and non-human animals and serve as faculty in a university setting.