

WEB-ENABLED INTERFACE FOR AN ADAPTIVE SYSTEMS' INTERACTIVE
BOOK

By

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To my Teachers

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Abstract of Thesis Presented to the Graduate School
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Web-based learning has been viewed as an innovative approach for education delivery. The web-learning environment is potentially a powerful teaching and learning arena in which new practices and new relationships can make significant contributions to education.

In this thesis, we focus on the design and development of web-enabled interface for the existing electronic book "Neural and Adaptive Systems: Fundamentals through Simulations" published by John Wiley & Sons, Inc. The electronic book comes in CD-ROM and runs only on window (Win 95/98/NT and Win 2000) platforms. Another limitation is the local nature of content delivery (CD-ROM). Each user has to have a copy of electronic book installed in the local machine.

The web-enabled interface for the interactive book, developed in this thesis, provides a platform independent, distributed and interactive system for accessing neural

network concepts, application and simulations over the Internet. The web interface is linked with a software simulator that runs interactive custom examples and is fully controlled by the student.

The thesis presents design, architecture and implementation details for the web-enabled interface. It also presents protocols for interaction between the new interface and the simulator NeuroSolutions™. The web-enabled interface has been implemented and tested for its usability and performance over the Internet on multiple platforms such as Windows, Linux and Sun's Solaris.

This electronic book will provide a new web-based learning environment to teach adaptive systems. The effectiveness of the web-based interface is presented through a set of demonstrations.

CHAPTER 1 INTRODUCTION

1.1 Background on Interactive Teaching and Learning

The Interactive Teaching Laboratory (ITL) in the Department of Electrical Engineering at University of Florida was developed over a 3-year period to research and validate computer-enhanced education delivery systems. Until recently, teaching students using interactive simulation environments was impossible. The ITL recently completed an electronic book entitled Adaptive and Neural Systems: Fundamentals through Simulations [1]. It was developed under the guidance of Dr. Jose Principe. This electronic book is included in the CD-ROM. It combines the hypertext and searching capabilities of the Windows help system with the highly graphical simulation environment of NeuroSolutions™ to produce a revolutionary teaching tool. The book contains over 200 interactive experiments built in NeuroSolutions™ to elucidate the fundamentals of neural networks and adaptive systems.

Concepts in the book are taught by equations and by running examples on the software simulator that the students can modify at their own will. Each topic is shown by a simulation example for 10 to 15 minutes and then the students are allowed to run the simulation independently of the teacher for 10 to 15 minutes [2]. The teacher then summarizes and goes into the next topic, and the cycle repeats.

The real power behind the electronic book is that it combines the simulator NeuroSolutions™ with the style of material presentations. The theory of neural and

adaptive systems is reorganized into conceptual modules, each of which is shown with a simple simulation to enhance conceptual understanding [2]. The simulator was designed and developed by NeuroDimension Inc., a leading company in neural network simulation technology. NeuroSolutions™ provides an unconstrained design environment for neural network students and researchers. Whether developing a neural network application, researching a new neural model, or simply learning about neural networks, this product provides tremendous features for neural network applications [3].

The research team at Computational NeuroEngineering Laboratory at the University of Florida is working on a project to facilitate the distance learning of neural and adaptive systems, built around this electronic book.

The idea behind the whole project is to simulate the actual classroom on the Internet. In this case an electronic book is to be run on the server machine to which a smart board is connected. The contents of the book on the server's screen are projected on the smart board. Local and remote students have access to the e-book. The whole system has to be made in such a way that whatever the instructor does on the smart board in his interactive teaching laboratory gets reflected on the student's machine. The future goal is to transmit the instructor's voice to the clients through synchronized real-time audio feed. The objective of this whole project is to achieve the goal using low bandwidth requirements, so that the remote user can participate in the class using modems.

The specific objective of this thesis is to create a framework for a web-enabled interface for the interactive electronic book, which runs on all the popular platforms and is also easily accessible to remote users.

1.2 Present State of the Electronic Book

Presently, the electronic version of the book is a hypertext document in the windows help format [2]. Any PC with operating system Windows 95 (or higher) or Windows NT with NeuroSolutions™ pre-installed will be able to install and run the interactive book [2]. The hypertext documentation has been created using RoboHELP™. The hypertext is linked to the simulator NeuroSolutions™ through Object Linking and Embedding (OLE) to run custom simulations.

The custom simulation is activated from the hypertext by clicking on the NeuroSolutions icon of a simulation example. When a custom simulation is activated, it starts a pre-recorded macro, which first builds the network for the user. Macros are used to record a sequence of operations and store them as a program to automate a series of tasks. Later, macros are used to run, test and reset the network depending on the users' initiated action. These macros initialize the network and make function calls to NeuroSolutions DLLs and libraries to process data and then they display the resultant data with default or user-selected probes at different data access points.

NeuroSolutions™ provides an inspector to access all the parameters for each neural network component in the network. The user can configure any network component during training or testing by modifying these parameters.

The present electronic book also provides a comprehensive collection of probes that allows the user to monitor every aspect of the neural network during training and testing. In the present version, data can be visualized at all the access point in runtime depending upon user-selected probes.

More details of these components have been explained in chapter 3 under the section "NeuroSolutions™".

1.3 Motivation for the Web Interface in the Electronic Book

The availability of an interactive book, powered by the simulator NeuroSolutions™, drastically changed classroom teaching and learning but it is still far from being used to the fullest. It suffers from several limitations.

The foremost limitation is platform dependence. The electronic book runs only on the windows platform (Win95/98/NT and Win 2000) and cannot be used with other operating systems such as Sun's Solaris, Macintosh, and Linux etc.

Another limitation was the local nature of delivery. Each student is required to have an electronic book and NeuroSolutions™ pre-installed in the local machine. This makes it impossible for remote students to access the electronic book unless they have their own copy of the electronic book is installed in their machines. These limitations make the book ineffective for distance learning.

The above limitations of the electronic book motivated us to create an interface for the electronic book, which runs on all the popular platforms and is also easily accessible by the remote users. This led us to use a web-enabled interface for the electronic book because platform independence and distributed nature is inherent to a Java-enabled web browser.

1.4 Requirement Specification

The requirement of this thesis is to overcome the limitations of platform dependency and local nature of content delivery by providing an interactive, platform independent and distributed interface for the electronic book. Protocols for communication between the new interface and the existing electronic book simulator

NeuroSolutions™ has to be developed. The new interface should have the following capabilities:

- User graphical interface should be web-enabled.
- Protocols for communication among the new interface and the existing NeuroSolutions™ applications should be provided.
- The simulation examples provided in the book should run in the popular browsers such as Netscape and Internet Explorer.
- Results of the simulation should be visualized and analyzed using probes. Probes are useful tools to provide a unique way of inspecting network behavior and representing simulation data.
- The probes should be configurable using Inspectors. Inspectors are components to control the parameters setting associated with different probes components.
- The neural component parameters such as number of processing elements, step size and momentum etc. should also be configurable. However the full configuration available in NeuroSolutions™ is dropped from the requirements.

1.5 Approach: Java APIs

In this thesis, we propose a solution to overcome the limitations of platform dependency and localization by providing a web-based interface for the electronic book built around the client/server model. The platform independence and distributed features are inherent to the Java-enabled web interfaces. Java applets and JFC Swing components, together when combined with HTML, provide a dynamic interface that is powerful and

web enabled. The Java Native Interface will provide communication between the new interface and the NeuroSolutions™ C++ core.

Servlets will provide protocols for platform-independent server-side components. They will provide a general framework for services built using the client-server paradigm. Hence Applets, JFC Swing components, Servlet, Java Native Interface (JNI), and Web Server together with NeuroSolutions™ C++ core will accomplish the platform independence and distributed requirement of the electronic book.

1.6 Organization of Thesis

The thesis consists of six chapters. The next chapter discusses client/server architecture, different types of client/server architecture and the communication model. Chapter 3 presents the underlying technologies such as Java Native Interface, Applets, Servlet, Applet-Servlet communication, Web Server and NeuroSolutions™, used in this thesis project. Chapter 4 discusses the design and implementation details of web-enabled interface for the interactive book. Chapter 5 discusses performance analysis results, screen shots and the navigation of different components of the web-based interface. Chapter 6 presents conclusion and proposes future work to extend web-based interface.

CHAPTER 2 CLIENT-SERVER ARCHITECTURE

2.1 Introduction

All the applications running over the World Wide Web are based on the client/server architecture. The Web browser acts as a client application that establishes connections across the Internet with web servers. The browser application requests services, generally downloading data that make up what one sees as web pages, and the server responds by sending the requested information to the client. In this chapter, we discuss client/server architecture model, some common architectures and the underlying communication model.

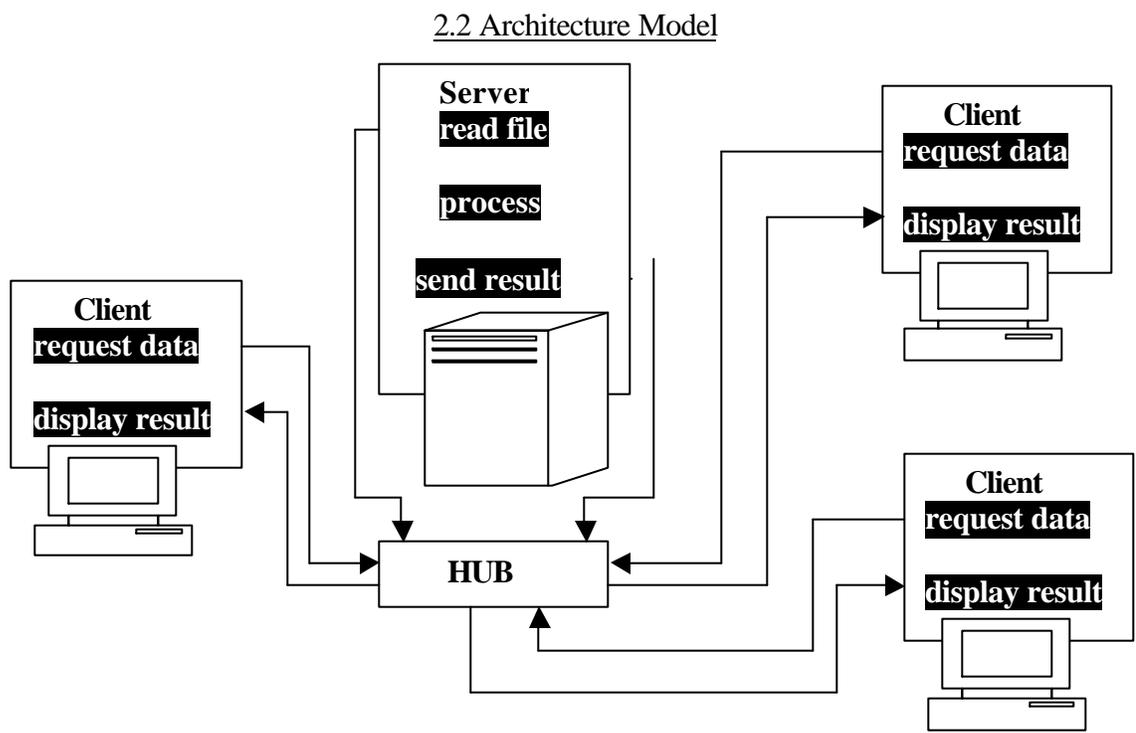


Figure 2.1: The Client/Server Model

Client-server architecture is an effective and popular design for distributed applications. In the client server model, an application is split into two parts: the client and the server. The client application is typically an application that runs on one machine, requesting services from the server application that runs on another machine. The general architecture of client/server model is shown in Figure 2.1.

2.3 Common Architectural Models

This section deals with different type of client/server architecture model and their advantages and disadvantages.

Two-Tier Architectures

With two-tier client/server architectures, the user system interface is usually located in the user's desktop environment and the backend resource (such as database management services) is usually in a server that is a more powerful machine that services many clients. Processing management is split between the client and the server environment.

The two-tier client/server architecture is a good solution for distributed computing when work groups are defined as a dozen to 100 people interacting on a LAN simultaneously. It does have a number of limitations. When the number of users exceeds 100, performance begins to deteriorate [4]. This limitation is a result of the server maintaining a connection via keep-alive messages with each client, even when no work is being done. A second limitation of the two-tier architecture is implementation of processing management services [4]. Finally, current implementations of the two-tier architecture provide limited flexibility in moving (repartitioning) program functionality from one server to another without manually regenerating procedural code.

Three-Tier Architectures

Three-tier architecture (also referred to as multi-tier architecture) emerged to overcome the limitations of two-tier architecture. In three-tier architecture, a middle tier was added between the client environment and the server environment. There are several ways of implementing this middle tier, such as transaction processing monitors, message servers, or application servers. The middle tier can perform queuing, application execution, and database staging [4]. For example, if the middle tier provides queuing, the client can deliver its request to the middle layer and disengage because the middle tier accesses the data and returns the answer to the client. In addition the middle layer schedules and prioritizes work in progress [4]. The three-tier client/server architecture has been shown to improve performance for groups with a large number of users (in the thousands) and improves flexibility when compared to the two-tier approach. A limitation with three-tier architectures is that the development environment is reportedly more difficult to use than the visually oriented development of two tier applications.

Three-Tier With An Application Server

Three-tier application server architecture allocates the main body of an application to run on a shared host rather than in the client environment. The application server does not drive the GUIs; rather it shares business logic, computations, and a data retrieval engine. The advantages of this architecture are that the client has to worry less about the security, applications are more scalable, and support and installation costs are less on a single server than maintaining each on a desktop client. The application server design should be used when security, scalability, and cost are major considerations [5].

2.4 Communication Model

A client and a server communicate through a sequence of requests and responses. A client requests a service from the server and blocks itself. The server receives the request, performs the operation, and returns a reply message to the client. The client then resumes the operation. The only underlying assumption is a synchronous request/reply exchange of information. Logically, the clients communicate directly with the servers.

Physically, the request or reply message is passed to the kernel of the system, through the underlying communication network, and to the destination kernel and process. The message is not interpreted by the system. Higher-level communication protocols between the clients and server can be built on the top of the request and reply messages. Figure 2.2 presents the concept of the client/server model for process interaction.

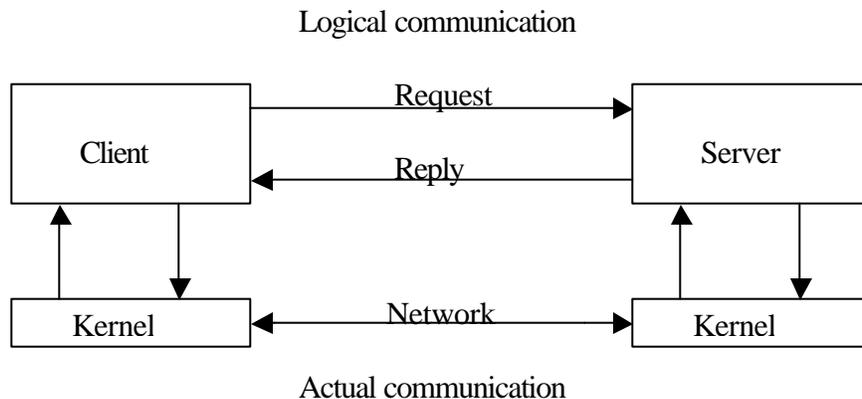


Figure 2.2: Process Communication for Client/Server Model.

The client/server model can also be considered as a service-oriented communication model. It is a higher-level abstraction of inter-process communication that can be supported by using either RPC or message passing communication, which in turn is implemented either a connection-oriented or connectionless transport service in

the network [5]. Figure 2.3 shows the relationship among the three major concepts: the client/server model, RPC, and message passing. Services provided by a server may be connection-oriented or connectionless.

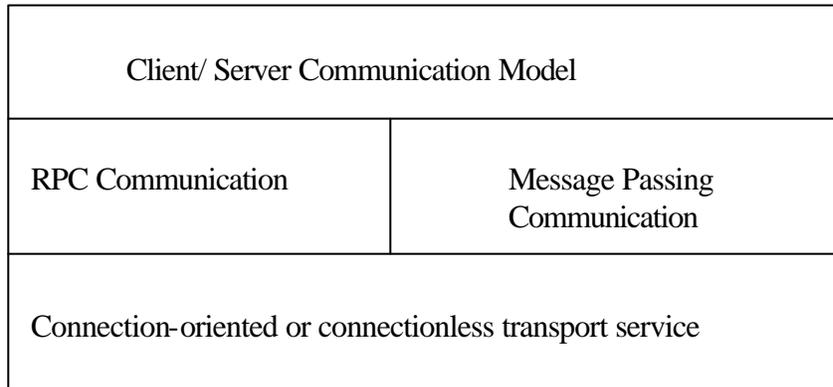


Figure 2.3: Relationship between Client/Server Model, RPC and Message Passing

A connection-oriented service can be built on top of a connectionless service. The opposite, however, is usually not logical. The client/server model achieves some degree of transparency for communication.

A natural consequence of using the client/server model is that a process needs only a single type of system call to kernel (i.e., send and receive requests). There is no need for the kernel to parse the system calls and determine what needs to be done. Instead, it is the responsibility of the server process to interpret the message, as long as the kernel knows the basic message structure. The interface between processes and the kernel becomes simple and uniform.

2.5 Summary

This chapter provided an overview of client/server architecture model. Some common client/server architectures, their characteristics and tradeoffs were discussed.

The three-tier architecture provides (when compared to the two tier) increased performance, flexibility, maintainability, reusability, and scalability, while hiding the complexity of server side processing and implementation details from the user. These characteristics have made three layer architectures a preferred choice for the development of web-enabled interface for the electronic book.

CHAPTER 3 FUNDAMENTAL SYSTEM COMPONENTS

3.1 Introduction

In the previous chapter, a brief overview of client/server architecture was given. In this chapter we shall discuss underlying technologies and system components that have been used as building blocks for the three-tier architecture model for web based graphical interface for the interactive electronic book. Applet, Servlet, Java Native Interface, Applet-Servlet Communication, NeuroSolutions™ are briefly covered in this chapter.

3.2 Java Native Interface

The Java Native Interface (JNI) is a powerful feature of the Java platform. Applications that use the JNI can incorporate *native code* written in programming languages such as C and C++, as well as code written in the Java programming language [7].

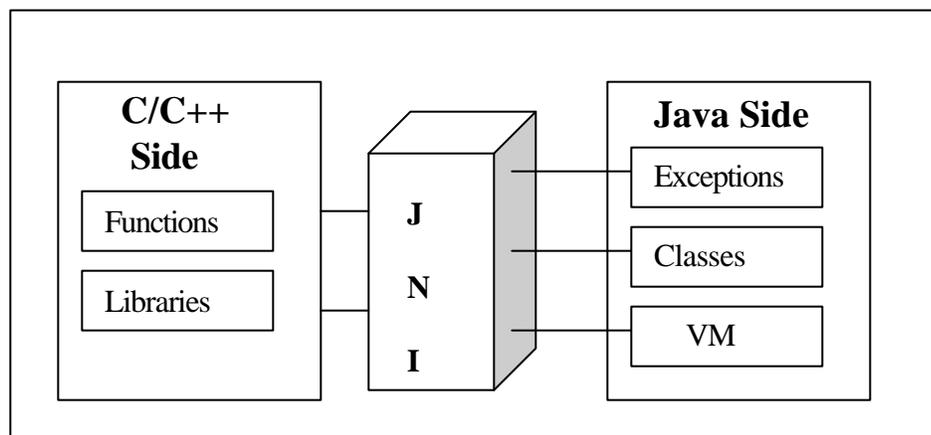


Figure 3.1: JNI Application.

The JNI allows programmers to take advantage of the java platform, without having to abandon code written in C/C++. Because the JNI is an essential part of the java platform, interoperability issues can be addressed once, and expect their solution to work with all implementations of the java platform. The following Figure 3.1 shows how the JNI links the Java program to a C/C++ program.

The Java Platform and Host Environment

The java platform is a programming environment consisting of the Java Virtual Machine (JVM) and the Java Application Interface (API). Java applications are written in the Java programming language, and compiled into machine-independent byte codes.

The term host environment represents the host operating system, a set of native libraries, and the CPU instruction set. Native applications are written in native programming languages such as C and C++, compiled into host specific binary code, and linked with native libraries. Native applications and native libraries are typically dependent on a particular host environment. A C/C++ application built for one operating typically doesn't work on other operating system [7].

Java platform is commonly deployed on top of a host environment. For example, the Java Runtime Environment (JRE) is a Sun product and that supports the Java platform on existing operating systems such as Solaris and Windows. The java platform offers a set of features that applications can rely on independent of the underlying host environment.

Role of the JNI

When the Java platform is deployed on top of host environments, it may become essential to allow Java applications to work closely with native code written in other

languages [8]. Programmers have begun to adopt Java platform to build applications that were traditionally written in C and C++.

The JNI is a powerful feature that allows taking advantage of the Java platform, but still utilizing code written in other languages. Figure 3.2 illustrates the role of the JNI.

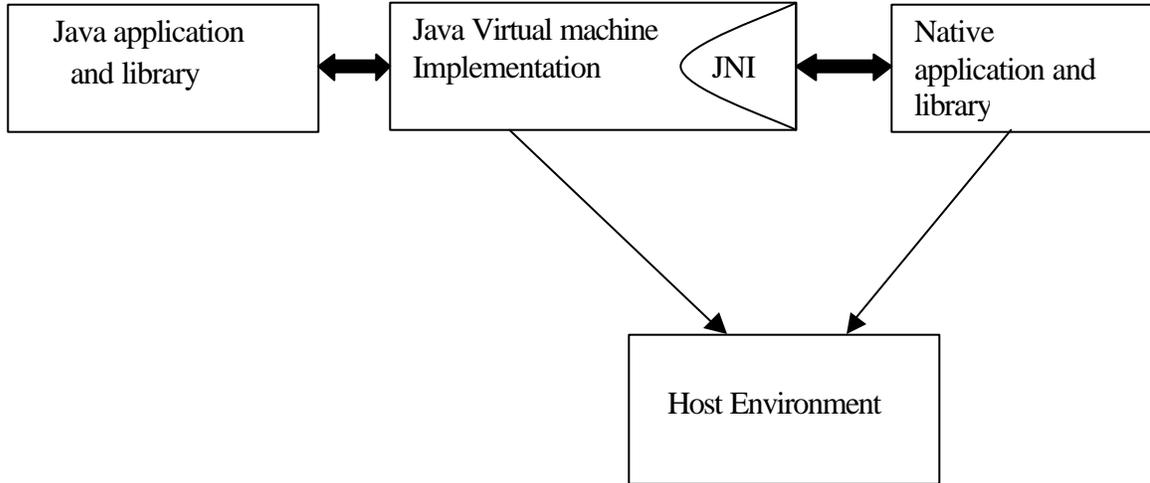


Figure 3.2: Role of JNI.

The JNI is designed to handle situations where it is needed to combine Java applications with native code. As a two-way interface, the JNI can support two types of native code: native libraries and native applications.

- JNI can be used to write native methods that allow Java applications to call functions implemented in native libraries. Java applications call native methods in the same way that they call methods implemented in the Java programming language. Behind the scene, however, native methods are implemented in another language and reside in native libraries.
- The JNI supports an invocation interface that allows embedding a Java virtual machine implementation into native applications. Native applications can link

with a native library that implements the java virtual machine, and then use the invocation interface to execute software components written in the Java programming language.

Implications of Using the JNI

First, Java applications that depend on the JNI can no longer readily run over multiple host environments. Even though the part of an application written in the Java programming language is portable to multiple host environments, it will be necessary to recompile the part of the application written in native programming languages.

Secondly, while the Java programming language is type-safe and secure, native languages such as C, C++ are not [7]. As a result one must use extra care when writing application using JNI. A misbehaving native method can corrupt the entire application. For, this reason Java applications are subject to security checks before invoking native features.

3.3 Applet

Java applets are essentially Java programs that run within a web page. They are Java classes that extend the `java.applet.Applet` class and are embedded by reference within an HTML page, much like an image. Combined with HTML, they can make an interface more dynamic and powerful than with HTML alone.

Besides the class file defining the Java applet itself, applets can use a collection of utility classes, either by themselves or archived into a JAR file. The applets and their class files are distributed through standard HTTP requests and therefore can be sent across firewalls with the rest of the web page data. Applet code is refreshed automatically

each time the user revisits the hosting web site, eliminating the concern of keeping the full application up to date on each client desktop to which it's been distributed.

The Java applets get executed in any browser with Java virtual machine (JVM) but are installed remotely in a web server. The server downloads the page consisting of html with embedded applet byte code. The browser receives the page and transparently passes the applet byte code to the Java Virtual Machine, which verifies safety of execution. Figure 3.3 presents an overview of Java applet.

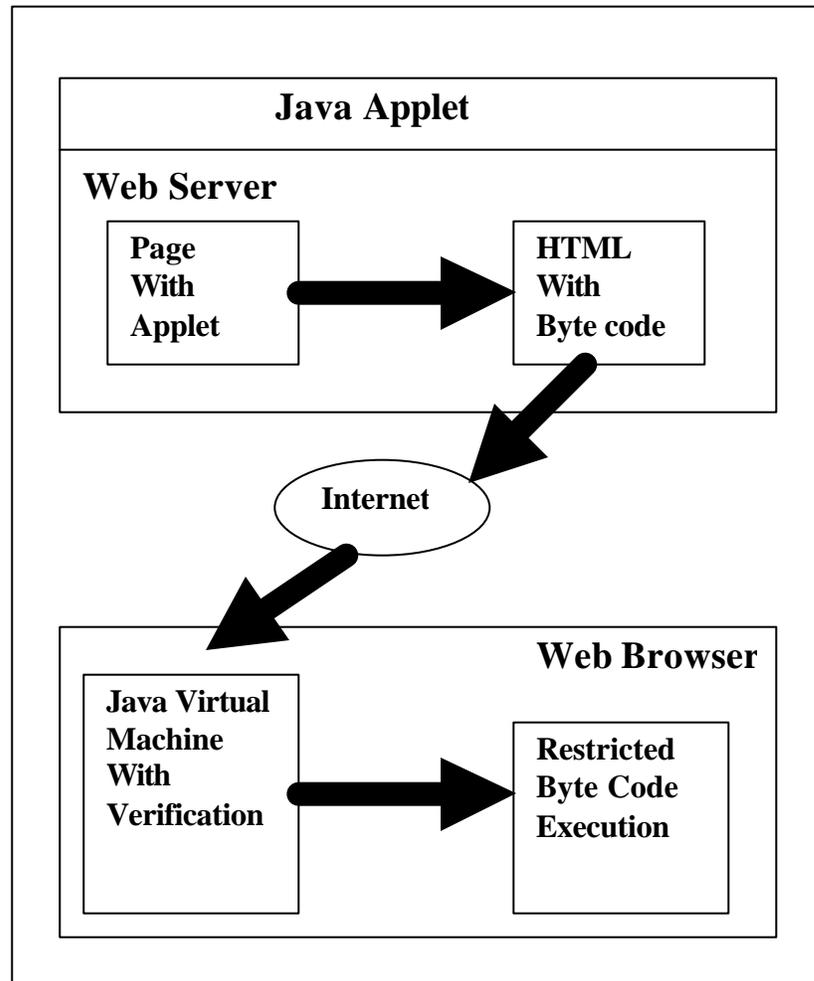


Figure 3.3: Overview of Applet.

Applets have all the functionality of a traditional Java application, including the ability to use advanced JFC/Swing components from SUN. Applets have the full graphical and user interface capability of applications. But despite their similarities, there are some key differences between applications and applets such as security constraints.

Advantages of Applets

Applet provides, in effect, an automatic install, and is very effective for naïve end-users. They do not require the downloading of java to the end-user machine as they use Java environment of the end-user's browser. Each CLASS or JAR file is downloaded only as needed, can be cached inside the browser to avoid the expensive download operation. Applets are inherently graphical, more secure and multithreaded.

Disadvantages of Applets

A major disadvantage with applets is that the download size of the CLASS or JAR file can be very large. Even if the JAR files are small, the browser must read the JAR file, which can cause poor performance over slow dial-up lines. The JAR is about the size of a normal graphic image and is stored in the browser's cache. While caching can be helpful, it can cause problems if multiple version of a single applet occupies the cache at the same time. In addition, applets offer no means of rolling back to an older version. If there is an update, the entire new applet must be downloaded, even if caching is used, because applets provide no JAR differencing.

The most serious applet problem, however, is the complete lack of control over the end user's environment. Using the browser's Java environment is a mixed blessing. It avoids a costly download, but it exposes software developers to a huge testing and

maintenance task. The variety of browsers and JDKs is seemingly infinite, and every combination pose potential issues. The task of detecting and handling these environments can be extremely taxing for certain applications. There is no guarantee that an applet will run correctly. If Internet access is interrupted, a partial or damaged class file can be downloaded. Unfortunately, the applet model doesn't provide any way to heal a damaged install, or to continue a partial install.

Applets provide no true integration with the desktop. They must always be run in the browser, cannot be launched from the desktop, and cannot support the download of anything except java. class or .jar file.

Security Constraints on Applets

Applet code is served from a host web server and executed in the client's browser on the end user's machine. To prevent proliferation of malicious applets that could wreak havoc on unsuspecting surfers, applets are bound by security constraints that allow them to communicate only with their host server and prevent them from interacting with the end user's machine.

Current browsers impose the following restrictions on any applet that is loaded over the network:

- It cannot load libraries or define native methods.
- It cannot ordinarily read or write files on the host that's executing it.
- It cannot make network connections except to the host that it came from.
- It cannot start any program on the host that's executing it.
- It cannot read certain system properties.

But there actually is a way around this – the developer can sign the applet code with a digital signature, which will then trigger the browser to ask the user for specific privileges otherwise would not be granted.

Because of these restrictions, we must employ special strategies to communicate information to or from applet. The only avenue of communication is the network connection between the local server on which the applet resides and the host serving the HTML that reverences the applet. This gives us a way to build real-time interactive interface that can use web as their platform.

3.4 Servlet Technologies

Servlets are protocol and platform independent server side components, written in Java, which dynamically extend Java enabled servers [8]. They provide a general framework for services built using the client-server paradigm. Their initial use is to provide secure web-based access to data, which is presented using HTML web pages and interactively viewing or modifying that data. Since servlet run inside servers, they do not need a graphical user interface. Otherwise, they are the server side counterparts of the applets (which are used only on the client side of systems).

Functions of Servlet

- Create and return an entire HTML page containing dynamic content based on the nature of the client request.
- Create a portion of an HTML page (an HTML fragment) that can be embedded in an existing HTML page.
- Communicate with other server resources, including databases and Java-based applications.

- Communicate with other servlets.
- Handle connections with multiple clients, accepting input from and broadcasting results to the multiple clients.

Servlet Capabilities

- Servlets are fast. It loads into memory once, and run from memory thereafter.
- Servlets are spawned as a thread, not a process.
- Servlets are multithreaded in their own right.
- Servlets are simple to implement.
- Servlets are Java byte code and therefore platform independent by nature.

Architectural Roles for Servlets

Servlets can play a significant role in system architecture because of their power and flexibility. They can perform the application processing assigned to the middle tier, act as a proxy for client, and even augment the features of the middle tier by adding support for new protocols or other features [9]. A middle tier acts as the application server in so-called three-tier client/server systems, positioning itself between a lightweight client such as a web browser and a backend data source.

Middle-Tier Process

In many systems a middle tier serves as a link between clients and back-end services. By using the middle tier a lot of processing can be off-loaded from both the clients (making them lighter and faster) and servers (allowing them to focus on their mission). One advantage of middle tier processing is simply connection management. A set of servlets could handle connections with hundreds of clients while recycling a pool of expensive connection to back-end services.

Other middle tier roles include business management, transaction management, mapping clients to a redundant set of servers and supporting different types of clients such as pure HTML and java capable clients.

Proxy Servers

When used to support applets, servlets can act as their proxies. This can be important because Java security allows applets only to make connections back to server from which they are loaded.

If an applet needs to connect to a database server/backend resource located on a different machine, a servlet can make this connection on behalf of the applet.

Protocol Support

The Servlet API provides a link between a server and services. This allows servlets to add new protocol support to a server. Essentially, any protocol that follows a request/response computing model can be implemented by a servlet.

Servlet support is currently available in several web servers, and will probably start appearing in other types of application servers in the near future. A web server will be used to host the servlets and will deal only with HTTP protocol.

Because HTTP is one of the most common protocols, and because HTML can provide such a rich presentation of information, servlets probably contribute the most to building HTTP based systems.

HTML Support

HTML can provide a rich presentation of information because of its flexibility and the range of content that it can support. Servlets can play a role in creating HTML

content. In fact, servlet support for HTML is so common; the `javax.servlet.http` package is dedicated for supporting HTTP protocol and HTML generation [9].

Complex web sites often need to provide HTML pages that are tailored for each visitor, or even for each hit. Servlets can be written to process HTML pages and customize them as they are sent to a client. This can be as simple as on the fly substitution or it can be as complex as compiling a grammar-based description of a page and generating custom HTML.

Servlet Security Features

Servlets have access to information about their clients. When used with secure protocols such as SSL, peer identities can be determined reliably. Servlets relying on HTTP also have access to HTTP specific authentication data.

Servlets have the advantages, memory access violations and strong typing violations are not possible, so that faulty servlets will not crash servers the way that is common in most C language server extension environments.

Unlike any other current server extension API, Java Servlets provide strong security policy support. This is because all the Java environments provide a Security Manager, which can be used to control whether actions such as network or file access are to be permitted [8]. By default, all servlets are not trusted, and are not allowed to perform operations such as accessing network services or local files.

However, servlet built in to the server, or servlets, which have been digitally signed as they were put into Java Archive (JAR) files, may be trusted and granted more permission by the security manager. A digital signature on executable code indicates that the organization which signed the code “vouches for it” in some sense. Such signature

cannot support accountability by them, but they do indicate a degree of assurance that may be placed on use of that code.

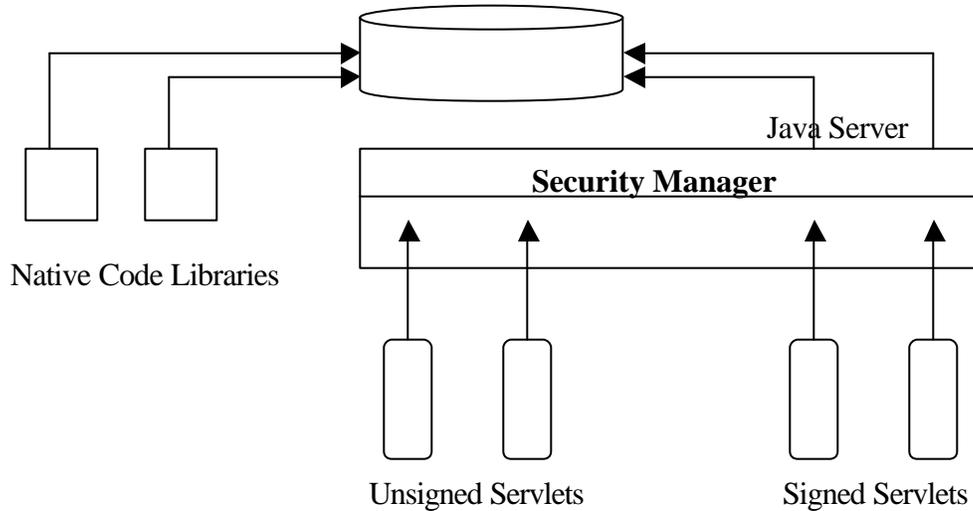


Figure 3.4: Servlet Security Features

Figure 3.4 compares two approaches to server extension: (a) activities of servlets are monitored at fine granularity by the Java security manager; (b) activities of native code extensions are never monitored. In both cases, a host operating system will usually be used to provide very coarse grained protection.

Extension APIs in other languages, such as C or scripting languages, can't support such fine-grained access controls even if they do allow digital signatures for their code. This means that 100% pure Java extensions are fundamentally more secure.

Servlet Performance Features

One of the biggest performance features of servlets is that they do not require creation of a new process for each request. In most environments, many servlets run parallel within the same process as the server. When used in such environments with HTTP, servlets provide compelling performance advantages. This is because servlets

only require lightweight thread context switches. Since in most environments servlets can handle many client requests each time they are initialized, the costs of the initialization are spread over many methods. All the clients request to that service have the opportunity to share data and communication resources, benefiting more strongly from system caches.

With many implementations of the Java Virtual Machine (JVM), Java Servlet programs automatically take advantage of additional processors, helping to scale applications up from entry-level servers all the way up to mainframe class multiprocessors. This helps provide better throughput and response time to the clients. Using Java servlets in web-based applications Servlets can be key component in many large applications leveraging Java and other Internet technologies.

3.5 Applet-Servlet Communication

Most of the time, servlets and applets shouldn't talk to each other. These two types of Java programs live in different worlds: servlets live in the world of HTTP, while applets live in a more "pure" Java environment. Applets are, by definition, embedded within a context: the browser. It is the browser that generally issues requests to the servlet. In general, the servlet class sends notifications relating these requests to other Java objects on the server and then writes an HTML page with some information relating to the state of the other Java objects. This may seem like hair-splitting, but in fact it's an important point: applets and servlets generally talk only indirectly.

Architectural Options for Applet-Servlet Communication

An enterprise application that makes use of applets and servlets can conceivably be designed in more than one way. I'll outline three different architectural options here and describe their drawbacks and advantages.

Two-tier Application Architecture

The first option actually uses applets but no servlets. Despite the limitations imposed on applets by their security model, applets can use protocols such as direct network connection, RMI to communicate directly with back-end information systems [10]. This architectural model is presented in Figure 3.5. Although it seems simple, this model poses a number of problems and isn't generally a good idea. First of all, this scheme requires embedding all of the access information in the applet code directly. Additionally, whatever system has been accessed, has to be on the same system as the web server that hosted the applet. This means that the server has to do double duty as both a backend resource and a web server. Typically, the back-end resources would be restricted by a firewall, but this isn't possible in this situation since the applet (acting from the client machine) must have direct access to the machine. Finally, this scheme makes pooling and clustering of the web servers difficult, if not impossible [10].

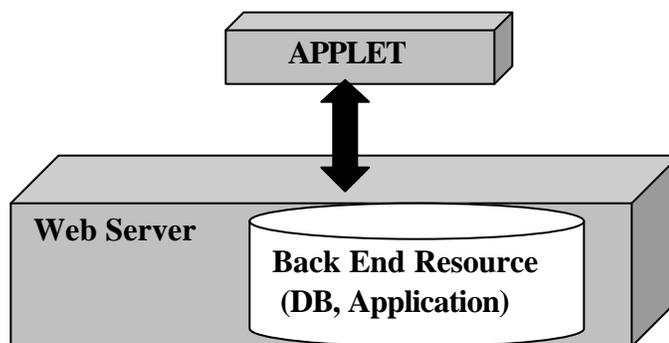


Figure 3.5: A Two-Tier Application Architecture

Three-tier Application Architecture

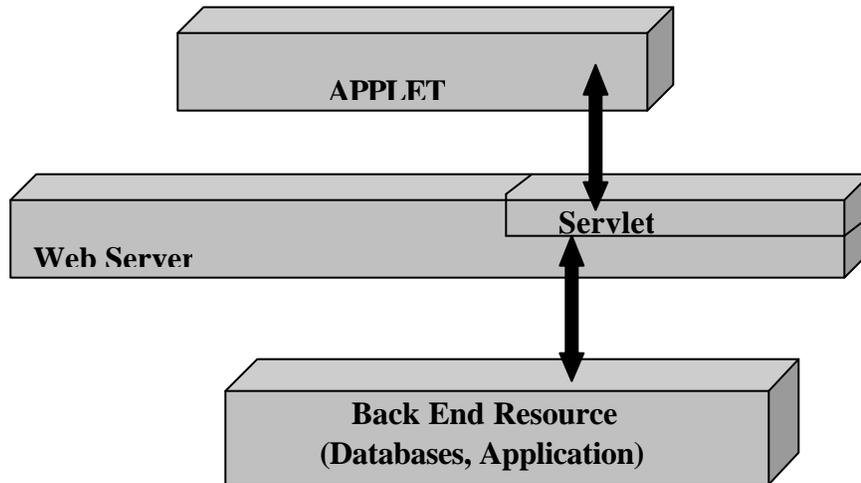


Figure 3.6: A Three-Tier Application Architecture

The second option is to encapsulate the business of communicating with back-end resources into servlets, leaving applets to handle the front-end work only. In this architecture, presented in Figure 3.6, servlets help overcome the security restrictions inherent in applets and control the applet's access to enterprise information systems and business logic [10]. When a request comes in to a servlet, the servlet can look up information in a back-end resource, perform calculations, or do whatever's necessary to get information on behalf of the applet or act on information from the applet. A big advantage here is that applet/servlet pairs can be deployed across a large pool of front-end web servers, all communicating with a single shared database on the back end. In addition, designing around servlets helps modularize the design, abstract the business logic behind the application, and plan for scalability.

Applet-Servlet Communication techniques

Three techniques allow applets and servlets to communicate: HTML manipulation (this involves dynamically writing HTML and rewriting the active URL), using the java.net packages to create a direct network connection and invoking a remote method using Java's remote method invocation (RMI) interface. Here we will review each of these techniques.

HTML Manipulation

The most common way for a servlet to communicate with an applet is HTML manipulation. The easiest way for a servlet to manipulate an applet is for the servlet to simply write whatever information it needs to pass to the applet within the HTML it generates. The servlet dynamically generates PARAM tags containing data to pass to the applet.

The disadvantages of this technique are two-fold. First, the data is static. Once the HTML page is written updated data can't be passed to the applet. HTTP's refresh mechanism (that is, use either server-side push or client-side pull to re-request the URL after a few seconds) can be used, but this requires reloading the entire page and cannot be done very swiftly. Secondly, the technique is also inappropriate for use with large amounts of data. As long as there are few parameters it's fine, but if number of parameters are very large, or data structure is complex, the HTML page becomes very difficult to load.

Two-Way Talk with Java.net

The second technique is to use the java.net package's networking capabilities. In this situation the applet does not speak directly with the servlet. Rather, the servlet creates

a listener class during initialization. This listener class creates a Server Socket and listens for incoming connections from the applet. When an incoming connection is received, the listener class hands off the data connection to a third class, the server-side data provider class, which communicates with the servlet using Java's standard IO library.

The servlet uses the HTML-rewriting technique in order to send down the precise address of the port on which the servlet listener class is listening [11]. The applet opens up a socket and attempts to connect to the listening class. The java.net technique is quite clean and fairly easy to implement. Because it relies on nothing more than Java's standard network interfaces, it's easy to modify for a particular need. On the other hand, various requests have to be parsed for information coming in at the server side and then, on the client side, interpret the results coming back.

RMI Bootstrapping

Java's RMI technology significantly increases the ability to work with complex server-side objects. To the applet, a server-side object looks like a regular client-side handle. This technique relies on object-oriented polymorphism. RMI starts with the definition of an interface. A public interface is defined that extends `java.rmi.Remote` and specifies the required methods running on the server. Each declared method must be defined as throwing `java.rmi.RemoteException` in addition to whatever other exceptions it propagates.

The next step is to write a class that extends `UnicastRemoteObject` and implements the interface. In addition, this class must have a default (no argument) constructor that is defined as throwing `RemoteException`. In addition to compiling the implementation class, the class file must be passed as an argument to the `rmic` tool. This

tool creates two more Java classes. `RMIImplementation_Stub.class` will be packaged with the applet and will run on the client. `RMIImplementation_Skel.class` will be packaged with the servlet and will run on the server. However, the code should not contain direct references to these classes. Rather, client-side code will have a reference to the interface, in this case `RMIServ2App`. That reference is produced by the static method `Naming.lookup(String)`.

Now that the implementation has been created and the registry has been started, an instance of the object needs to be created and installed into the registry as a "bootstrap" object associated with a particular name. This needs to be done in the `main()` method of `RMIImplementation`. So the sequence to get RMI up and running is: start the registry, run `RMIImplementation`, and start the Web server.

RMI is appropriate for situations where there is either dynamic or large data provided by Java objects on the server [11]. Although RMI does allow for OO distributed programming, it is only supported when both client and server are written in Java. If application demands mixed language development, RMI will not be sufficient.

3.6 Web Server

A Web Server is a computer with special software to host web pages and web applications. Web server's traditional function has been to serve static HTML (and more recently XML) pages. As the Internet has become more functional, i.e. e-commerce and dynamic sites, increasing emphasis is placed on a servers ability to host web applications.

Many different servers are in use on the Internet. Unlike the browser, the server has the capacity to handle processing request from multiple clients scattered locally and around the world and it has the software tools and information methods necessary to

handle these request. Some of the more popular web servers ones are Apache and Internet Information Server (IIS).

3.7 NeuroSolutions™

NeuroSolutions™ is a NeuroDimension product. This leading edge software combines a modular, icon-based network design interface with an implementation of advanced learning procedures, such as recurrent back propagation and back propagation through time. Some other notable features include C++ source code generation, customized components through DLLs, a comprehensive macro language, and Visual Basic accessibility through OLE Automation [3].

NeuroSolutions™ is based on an object-oriented approach to adaptive system design. The networks are broken down into a fundamental set of components that are individually simple but can be combined together to build neural networks capable of solving complex problems. Every neural component within NeuroSolutions is implemented as a C++ object and is self-contained [1]. Due to its object-oriented nature, NeuroSolutions™ specifies what components do and how components interact with each other.

NeuroSolutions™ provide users with powerful neural network building capabilities. Creating neural networks is fast and easy with NeuroSolutions™. Neural components, such as axons, synapses, and gradient search engines, are laid out on a graphical breadboard and connected together to form a neural network. NeuroSolutions also provide inspector for each neural network component. Inspector is a window that provides access to the parameters of a neural network component. The user can configure any network component during training or testing by modifying these parameters.

NeuroSolutions™ also provides a comprehensive collection of probes that allows the users to monitor every aspect of the neural network during training and testing. Any piece of data in the network is encapsulated within a data access point and probes visualize the data via these data access points. Typical data that would be reported by a component and visualized by the probes are activations, gradients, weights and mean square error.

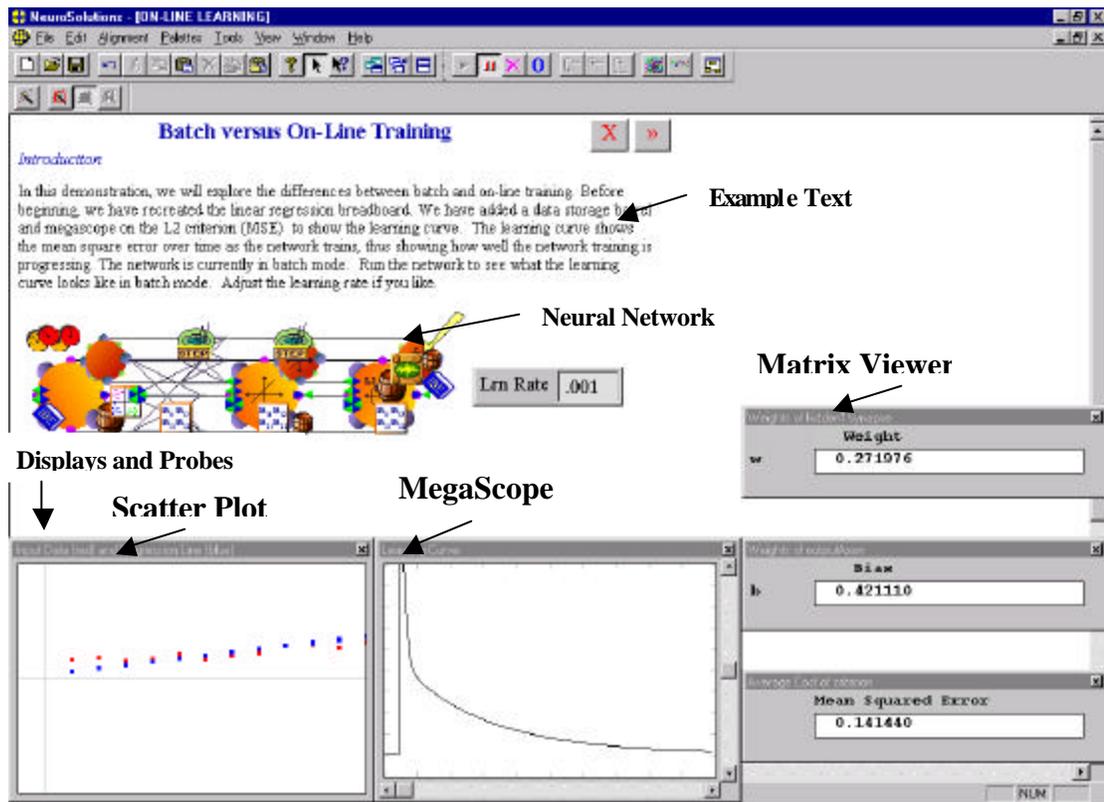


Figure 3.7: An Interactive Example of NeuroSolutions

Figure 3.7 shows a typical NeuroSolutions™ breadboard as described in the existing version of the electronic book [2]. A Breadboard is a document window that has components, text, and buttons placed on it. The example breadboard consists of three sections. The top section contains the text describing the example, the middle section

contains the components that make up the neural network example, and the bottom section contains the various displays or graphs that “probe” the network. The interactive examples are created on the fly using interactive macros in NeuroSolutions™. We will now discuss different aspects of interactive examples of NeuroSolutions™.

Neural Components

Each interactive example of neural network is composed of neural components, each with specific functionality. These networks primarily consist of processing elements (PEs) tied together with weighted connections. The Axon family of components implements the PEs in the network, and the Synapse family implements the weighted connections.

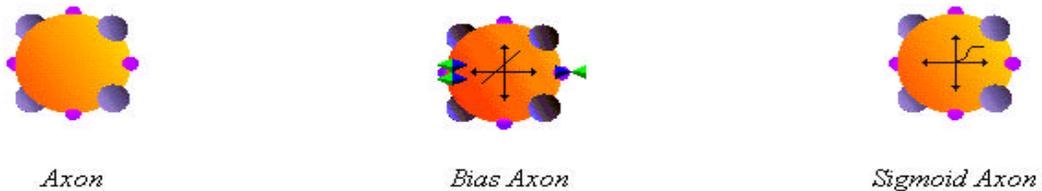
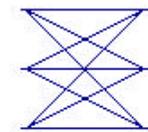


Figure 3.8: Axon Family Components

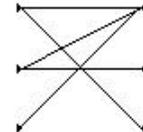
Figure 3.8 shows several components of the Axon family. The components of the Axon family have two functions. The components sum all of their inputs and then apply a function to that sum. The different components in the Axon family apply different functions to the summation of their inputs. The linear Axon (simply called Axon) just passes the sum of the inputs directly to the output. The Bias Axon sums the input and adds an offset. The Sigmoid Axon applies a threshold function to the data.

The Synapse family is used to connect Axons together. Each connection in a Synapse is assigned a weight that scales the data passing through it. A neural network or

adaptive system is trained to perform the desired task by adjusting these weights represented by Synapse. Full Synapse and Arbitrary Synapse are member components of Synapse family as shown in the Figure 3.9. Full Synapse connects every PEs in one Axon component to every PE in the other Axon component. The Arbitrary Synapse allows the user to select among all the possible connections to make between the two Axons.



Full Synapse



Arbitrary Synapse

Figure 3.9: Synapse Family Components

Component Properties

Each NeuroSolutions™ component has a set of parameters that can be adjusted. For example, the Axon family components contain a parameter that sets the number of processing elements (PEs) associated with that component. These parameters are accessed through a dialog box called the Inspector shown in Figure 3.10. Right clicking the component and choosing the item “Properties” invokes the Inspector associated with a component.

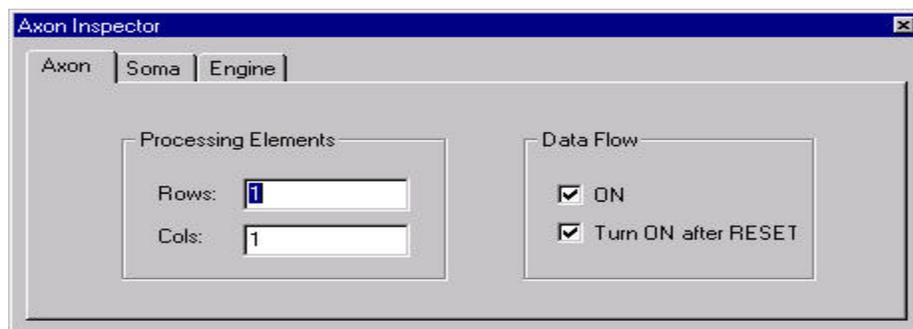


Figure 3.10: Axon Inspector

Controller

The components of control family of NeuroSolutions™ control the data flows through the network. The simplest member of the control family is the Static Controller. Refer to the Figure 3.11. Its properties include parameters such as the amount of data in the input file, number of runs of data through the network, how the network should learn, and so forth.

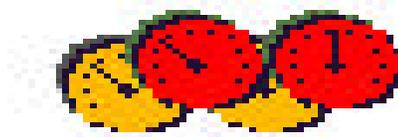


Figure 3.11: Static Controller

Probing The System

The probe family is one of the most powerful features in NeuroSolutions™. Each probe provides a unique way to visualizing the data available throughout the network. Since the probes need data to display, they must be placed on a network component with a data access point. For example, Axon and Synapse families all have multiple access points. The activity access point contains the output data from the component, the weight access point contains the weights of the component, and so on.

There are two major types of probes in NeuroSolutions™: static probes and temporal probes. Static probes display data at a specific instance in time. Matrix Viewer, Matrix Editor, Bar Chart and Image Viewer are example of static probes. Refers to the Table 3.1 for details.

Table 3.1: Description of Static Probes

ICON	Name	Description
	Matrix Viewer	Displays instantaneous data as a numerical matrix
	Matrix Editor	Similar to the Matrix Viewer, except that it also allows to edit the data
	Bar Chart	Displays the data in a bar chart form
	Image Viewer	Display the data as a gray-scale image

Temporal probes display data from multiple iterations of the network. Table 3.2 shows a list of temporal probes and their specific probing activity.

These probes also have a set of parameter that can be adjusted. . For example, the probe Scatter Plot contains parameters such as Xmax, Xmin, Ymax, and Ymin for the scaling of the data display. These parameters are accessed through a dialog box called the Inspector shown in Figure 3.12. Right clicking the component and choosing the item “Properties” invokes the Inspector associated with the probe.

Table 3.2: Description of Temporal Probes

ICON	Name	Description
	MegaScope	Displays a line graph of the data similar to an oscilloscope
	Data Storage	Stores data from multiple iterations of the network to display by the temporal probes
	Scatter Plot	Displays the data as a set of points on an X, Y set of co-ordinates

These features of NeuroSolutions™ make it a powerful tool for creating, training and testing neural networks based application.

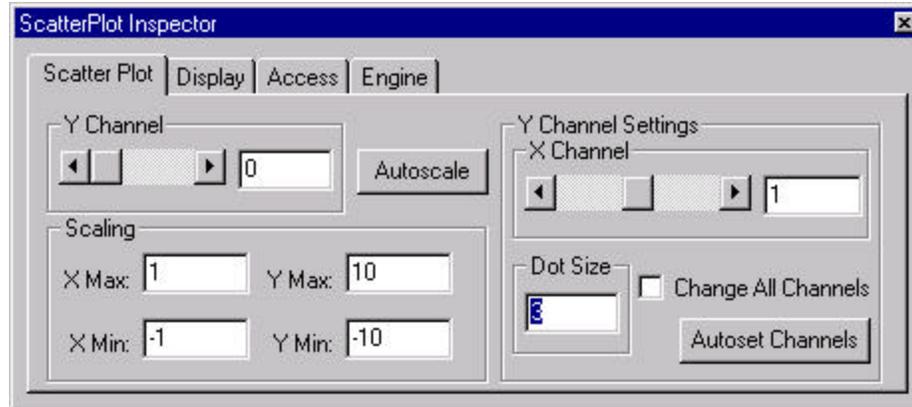


Figure 3.12: Scatter Plot Inspector

User Interaction with the Electronic Book

As described earlier, the electronic book provides students with a plethora of features and functionalities for running and testing the simulation examples. Although these features are powerful, it makes the examples complex with respect to usability due to the sheer size of number of components and their properties.

Students in class normally perform the following basic tasks while using the interactive electronic book.

- Run the simulation example and see the results in the default probes.
- Change components fundamental properties such as how many times to run the data through the network, whether and how the network should learn, learning rate, momentum, number of processing element in the hidden layer.
- Select a probe of their choice to visualize basic data (weights, bias, mean square error etc.) throughout the network.
- Change properties associated with probes such as scales (Xmax, Xmin, Ymax, Ymin, Vertical Scale, Vertical Offset) to visualize the data as per the choice of display.

So, this is the minimum functionality provided to the users. As said earlier, NeuroSolutions™ provides a complete control of the user interface and neural networks but is complex for a novice. It is much better to provide the users with the functionalities that are more frequently accessed, simple, easy to use and understand without losing the fundamental details. These features have been kept in mind while developing the web-enabled interface of the electronic book.

3.8 Summary

This chapter provided an overview of underlying technologies used in the development for the web based graphical interface for the interactive electronic book. Applet, in combination with HTML, provides a platform independent, dynamic and powerful client side user interface that runs within a web page. Servlet provides a multithreaded, platform independent middle layer for secure web-based accesses to data. NeuroSolutions™ C++ core has been used as the core layer for the processing of data for simulations. Java Native Interface allows interaction between Java applications and functions already implemented in NeuroSolutions™ native libraries. Addition to this, we also discussed the various components of the NeuroSolutions™, which are active part of interactive electronic book.

CHAPTER 4 SYSTEM DESIGN AND IMPLEMENTATION

4.1 Introduction

This chapter discusses the underlying details for web-enabled interface of the electronic book from a programmer perspective. In the Section 4.2 of this chapter, system design issues and considerations for the web-enabled interface have been discussed. Later in this chapter, the underlying system architecture, system logical flow, communication tactics between system components and step-by-step system implementation process have been explained in details.

4.2 System Design

In this section, we shall discuss fundamental design issues and considerations for the web-enabled interface for the interactive electronic book. It also discusses the features of the interactive electronic we preserved and their tradeoffs.

Design Considerations

The foremost design goal of this thesis was to develop an interface to overcome the limitation of platform dependency of the present electronic book. As said earlier, the interactive electronic book runs only on Windows 95 (or higher) or Windows NT. Java platform was an obvious choice for the design and development of the user interface because this is the most popular technology available today to create binary executables that will run unchanged on multiple platforms without worrying about underlying hardware and operating system.

As discussed in Chapter 1, the interactive electronic book also suffers from the limitation of local nature of delivery (CD-ROM based). This limitation leads us to design a web-enabled interface because platform independence and distributed features are inherent to the Java enabled web browser. These characteristics of web-enabled interface allow an easy and transparent access of remote documents and data. Java has extensive set of programming APIs for designing and developing web-enabled interface. Java Applets, JFC Swing component, together when combined with HTML, provides a dynamic and powerful web enabled user interface.

The next most important design issue was the development of communication protocols between web enabled user interface and NeuroSolutions™. There are two possible design approaches.

Approach 1

The first design approach is to rewrite the whole NeuroSolutions™ core C++ programs in Java to make it compatible with the web-enabled interface. This alternative is not acceptable because re-writing the whole NeuroSolutions™ programs in Java will require considerable amount of time and efforts. This will also prohibits the reusability of this simulation software, which was developed over five years period with significant amount of time, effort and money. This motivated us to find an interface or technique which will provide communication between Java interface and NeuroSolutions™ C++ programs.

Approach 2

The second design approach is to use Java Native Interface (JNI) technique to provide a communication link between Java interface and NeuroSolutions™ C++ programs.

Java Native Interface is a powerful feature of the Java platform, which allows an application or programs written in Java to utilize code written in C, C++. This way JNI allows programmer to take advantage of the Java platform, without having to abandon C/C++ programs.

Although JNI provides a very powerful and flexible way of communication between Java and native languages (C, C++ etc.), It is very difficult to use in collusion with web-loaded applets. Use of JNI requires loading of dynamic load library (DLL) and Java applet doesn't allow this because of inherent security constraint as discussed in Chapter 3. There is simply no way to get sufficient security clearance to let web-loaded applet directly access the DLL. Even the browser security prohibits loading of DLL. This security problem related to DLL loading led us to explore the possibility of a technology to separate the Java applet (user interface) from JNI processing of NeuroSolutions™ C++ core (backend resource) and serve as a link between them. Java servlet is chosen to provide this functionality because of the associated advantages. Firstly, It overcomes the incompatibility associated with Java applet and JNI. Secondly, by using servlet as the middle tier, a lot of processing can be off-loaded from both the client applets (making them lighter and faster) and servers (allowing them to focus on their mission). Another big advantage of using servlet as the middle tier processing is simply connection

management. A set of servlet could handle connections with hundreds of clients while recycling a pool of expensive connection to back-end services.

The above discussed design issues and approaches resulted into three-tier system architecture with applet as a user interface, servlet in the middle layer and NeuroSolutions™ C++ core as the core layer. Detail explanation of the three-tier system architecture is provided later in this chapter.

Features and Tradeoffs

This subsection discusses about the various features such as macros, probes and inspectors of the interactive book that have been preserved and given away and the involved tradeoffs.

Macros

In the present electronic book, macros (pre-recorded sequence of operations) were used to build, initialize, run, test, and reset the neural network depending on user-initiated action. But the use of macros has been eliminated from the implementation of web-enabled interface of the electronic book. There are two major reasons behind this.

- Macros are specific to windows platforms.
- There is no provision to run macros in the browser environment.

The building of neural network, initializing the component properties, setting up different probes and inspectors and the associated parameters have been also eliminated. These functionalities have now been implemented programmatically by reading an initialization file and querying NeuroSolutions™ core during the start of simulation. The initialization file provides information about the static image of the neural network, different types of probes, associated data, initial setting of probe's inspectors. The static

image doesn't provide any information about the network other than visual representation. The core features of the electronic book is not lost if the breadboard for the interactive example is pre-created and rest of the usability features (initial setup, configuration, probes etc) are preserved. The information about the network components is obtained during the startup by querying the NeuroSolutions C++ core and then displaying in a pop window. Refer to the Figure 5.13 for the visual representation of network components information. Other functionalities (running and training the simulation) of the macros have been handled programmatically by Java event model.

Probes

In the present electronic book, user can select different probes to visualize data anytime during training and testing. They just need to select the probe of their choice and drag and drop it to the data access point on the neural network. The probes can also be resized and moved anywhere on the simulation window. This provided a very easy to use and flexible probing of the network. This drag and drop and mobility features have been substituted in current implementation web-enabled interface. Providing an efficient drag and drop feature within a web browser was extremely difficult due to the reason of poor performance in GUI rendering due to low network bandwidth. The drag and drop feature has been substituted by a popup window which provides the users with a list of data access point and corresponding list of probes to choose from. Once the data and corresponding probe is selected, it displays the data in one of three selected scrollable plot panels embedded in the applet. The purpose for displaying probes into the scrollable panels was to improve performance during the GUI rendering of probes. When all the probes are used as separate windows, the performance was really poor attributed to slow

GUI rendering. Refer to the Figure 5.9 and 5.10 for the visual representation of list of probes for web-enabled interface and Figure 5.5 for the scrollable plots for probes.

Inspectors

There are numerous parameters associated with each inspector that can be viewed and modified but only a few of them are important for running the simulation example and understanding their effect on the network e.g. TanhAxonInspector provides parameter for configuring network component TanhAxon. The most important parameter associated with this component is the number of processing elements (PEs) and it has been preserved in the implementation of this thesis. Other parameters such as Bias mean, Bias variance etc. have been ignored. The objective behind the ignorance of these parameters is two folds.

- A few parameters are easy to use without giving away the fundamental information about the network components.
- Building GUI for inspectors with a few parameters would make the simulation fast on the web browser because of the comparatively lower memory requirement and lower load time.

Although the web-based interface for the electronic book provides an infrastructure for creating inspectors for the entire network component, only inspectors for controlBackprop, control, SynapseBackpropGradient, Hidden Axon have been developed.

4.3 System Architecture

As explained in the previous section, the three-tier application architecture approach has been applied in the implementation of the web-based interface for the

electronic book. It has been chosen over the two-tier architecture because it provides increased performance, flexibility, maintainability, reusability, and scalability, while hiding the complexity of server side processing and implementation details from the user. In this architecture, there are four major system components namely Applet, Servlet, Java Native Interface, Web Server and NeuroSolutions™ C++ core. Refer to Figure 4.1 for the system architecture.

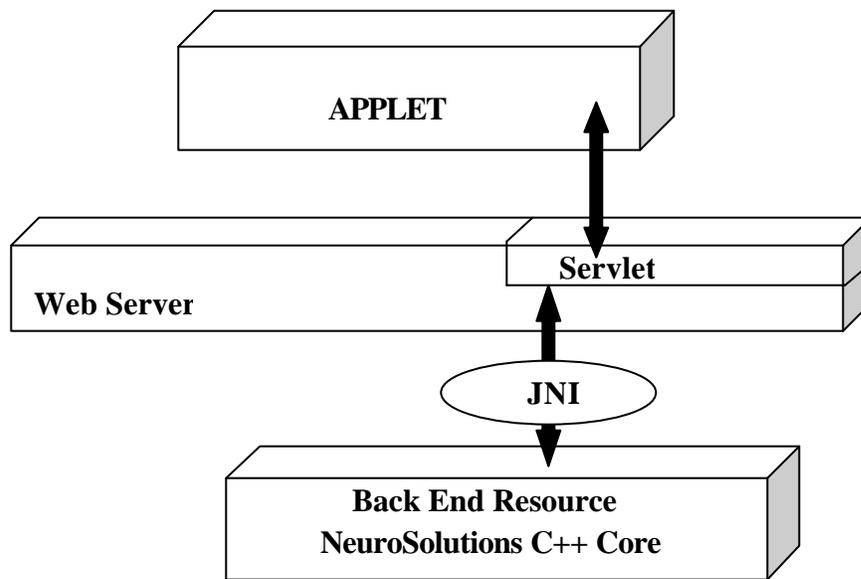


Figure 4.1: System Architecture

Applet handles the front-end work only. It is executed in the client's web browser on the end user's machine and provides a graphical user interface for the electronic book.

The logic of communication between the applet and the back-end resource, NeuroSolutions™ C++ core, is encapsulated into servlets. Servlets help overcome the security restrictions inherent in applets and control the applet's access to NeuroSolutions™ core logic. When a request comes in to a servlet, the servlet passes the input data to the back-end resource, perform calculations, or do whatever's necessary to

get information on behalf of the applet from the NeuroSolutions™ core. The NeuroSolutions™ core provides access to all internal variables, functions through dynamic link libraries (DLLs), which is essential for the simulation of examples in the electronic book.

Java Native Interface works as interpreter between Java programs (working in collusion with servlet) and NeuroSolutions™ core. It receives a function calls from pure Java program and transforms into calls understandable by the NeuroSolutions™ core.

4.4 Logical System Flow

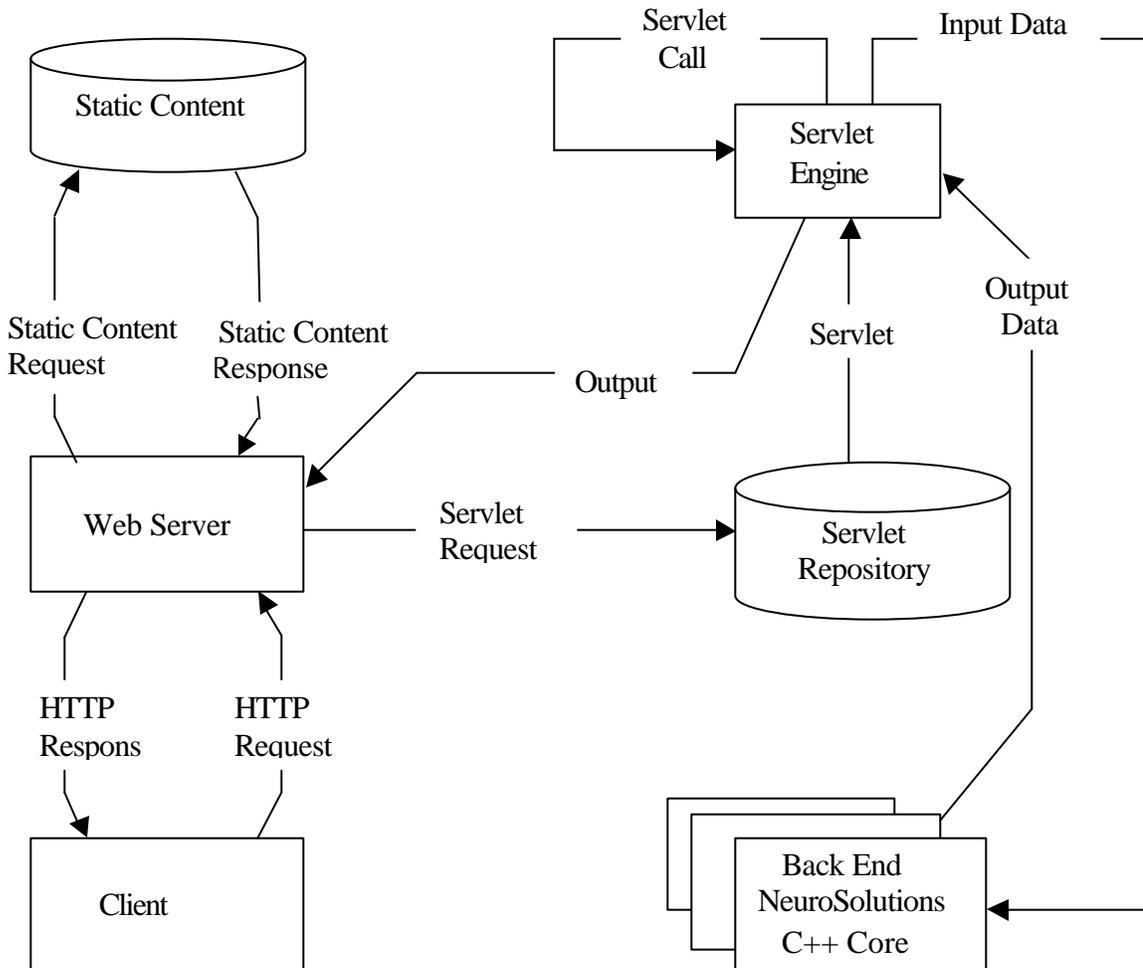


Figure 4.2: Logical System Flow

Figure 4.2 is a logical diagram showing the various flows in operating web-based interface for the electronic book. Although there is a lot of information contained here, the data flows that we are concerned with, can be broken into two major categories:

- Static HTML Request.
- Servlet Requests.

Static HTML Request

Serving static HTML is perhaps the simplest task that a Web Server can perform. It relies entirely on the host Web Server. Figure 4.3 shows the flow of data that occurs in servicing a static HTML page request.

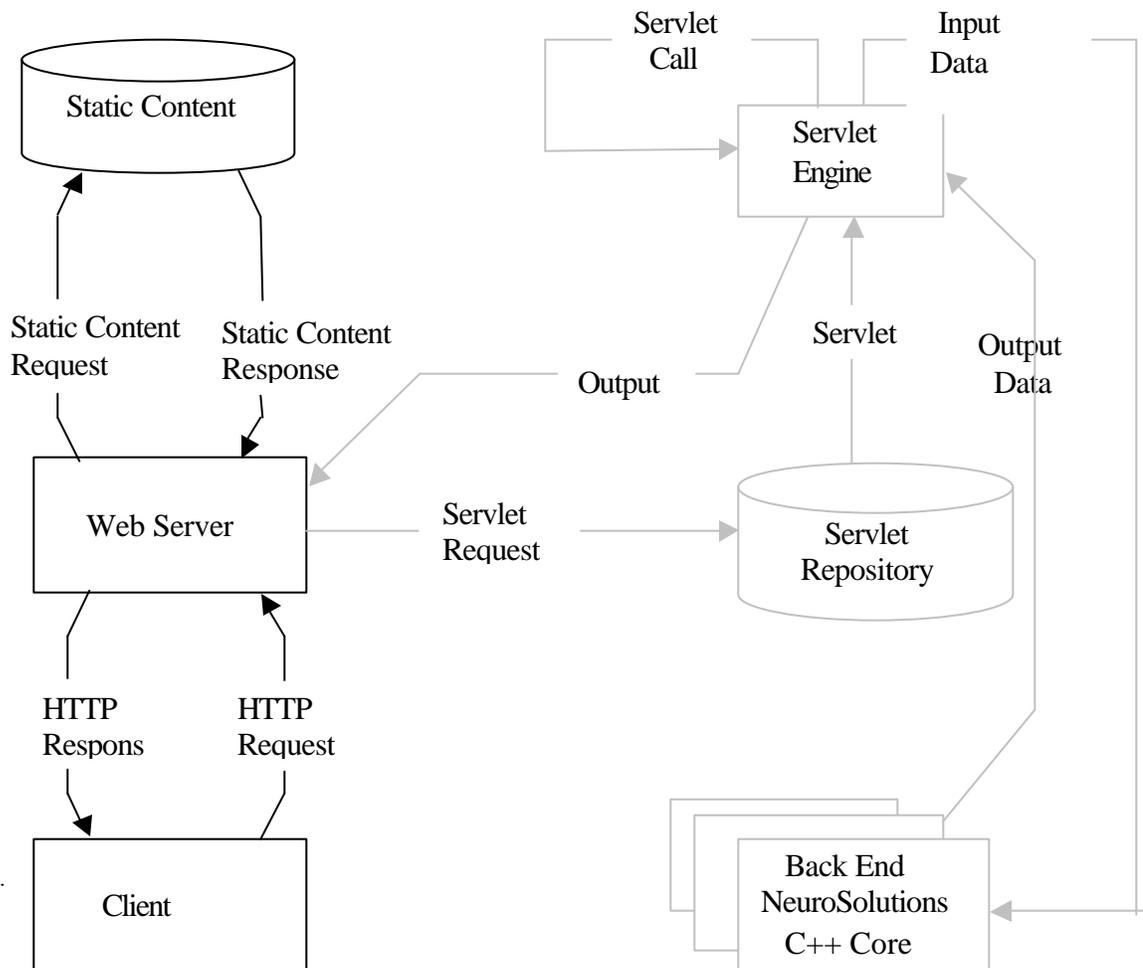


Figure 4.3: Static HTML Request Data

Upon receiving a request from the client, the Web server retrieves the correct document from the server's file system and sends it to the client. There may be minimal work done by the Web Server to translate the name of the document requested by the client into the correct name of the document on the file system.

Static HTML documents are pages which present associated theories for each simulation example in the electronic book. The new web-based interface allows user to access the static content, and the static components of the more dynamic Web pages (generated after the simulation of examples).

Servlet Requests

Servlets are protocol and platform independent server side components to provide a general framework for services built using the client-server paradigm. The Figure 4.4 shows the data flows possible for servlet calls in the web based user interface for the electronic book.

The web browser, showing the simulation example page, makes an HTTP request to a web server. The request is handed off to the servlet engine, which creates or restores the session corresponding to the client program, performs security checks if appropriate, determines which servlet to invoke and calls the servlet, passing objects representing the request and response. The servlet extracts data from the request object. It then passes it to the NeuroSolutions™ DLL by making function calls through JNI. The core does all the requested processing and sends data back to the servlet. The servlet then sends the data back to the engine via the response object. The engine passes the response data back to the web server and performs any necessary cleanup operations (persisting session state,

for example). The web server sends an HTTP response to the client to conclude the transaction. The client receives the data and displays the output.

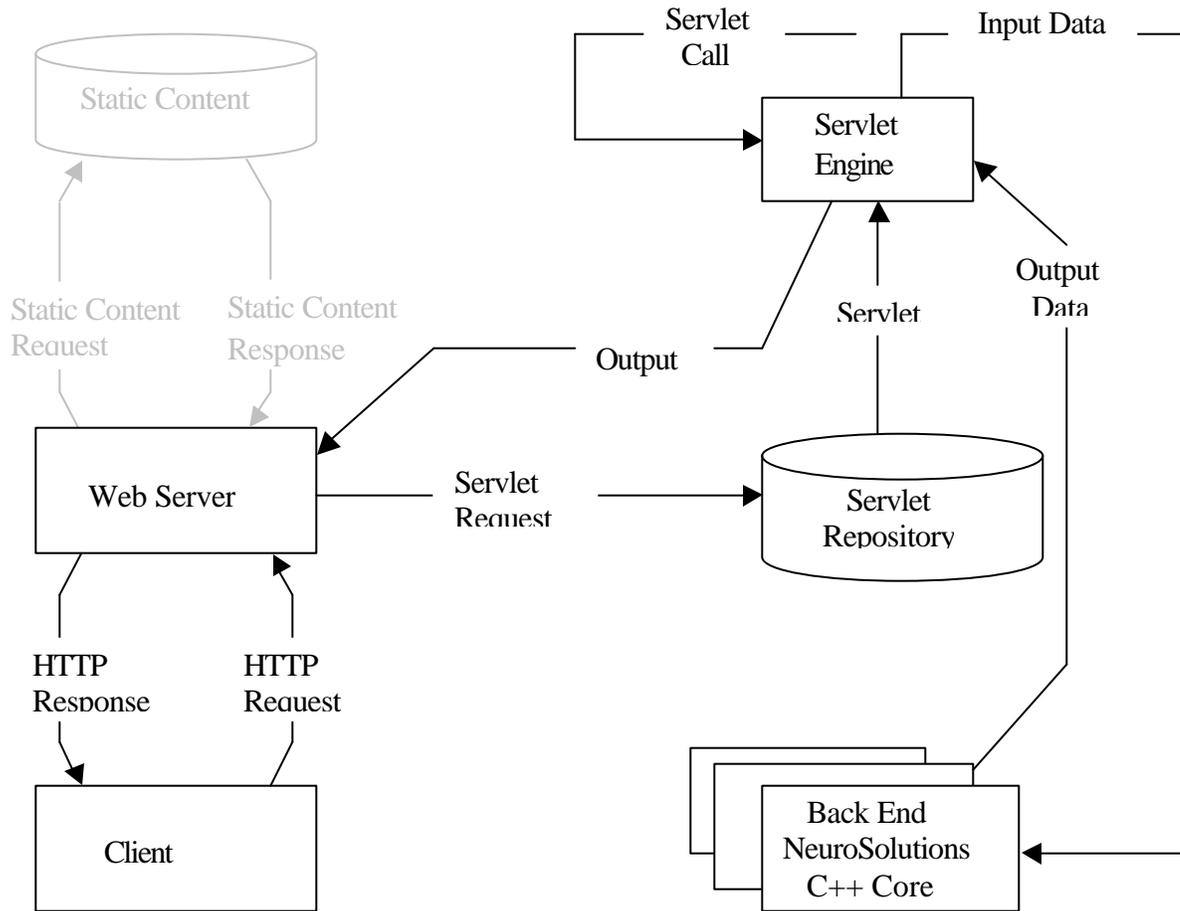


Figure 4.4: Servlet Request Data Flow

4.5 Communication Methodologies

We have embraced the architecture with applets on the front end and servlets on the back end, leading to the implementation of applet-to-servlet communication. Due to the limitations imposed on applets by the browser security model, we have few options when it comes to getting data and messages into or out of an applet. As mentioned above,

we can't read from the client's file system, and since applets aren't running on the server, we don't have any way to access those file systems either. This pretty much leaves the network, which we can only use to create network connections to services running on our local server. Furthermore, it is essential to note that for applications deployed across the public Internet, firewalls will probably restrict us to talking to servlets or other web-server modules via HTTP. In fact, since the applet itself was delivered via HTTP over the network, we know for sure that that communication option is available to us.

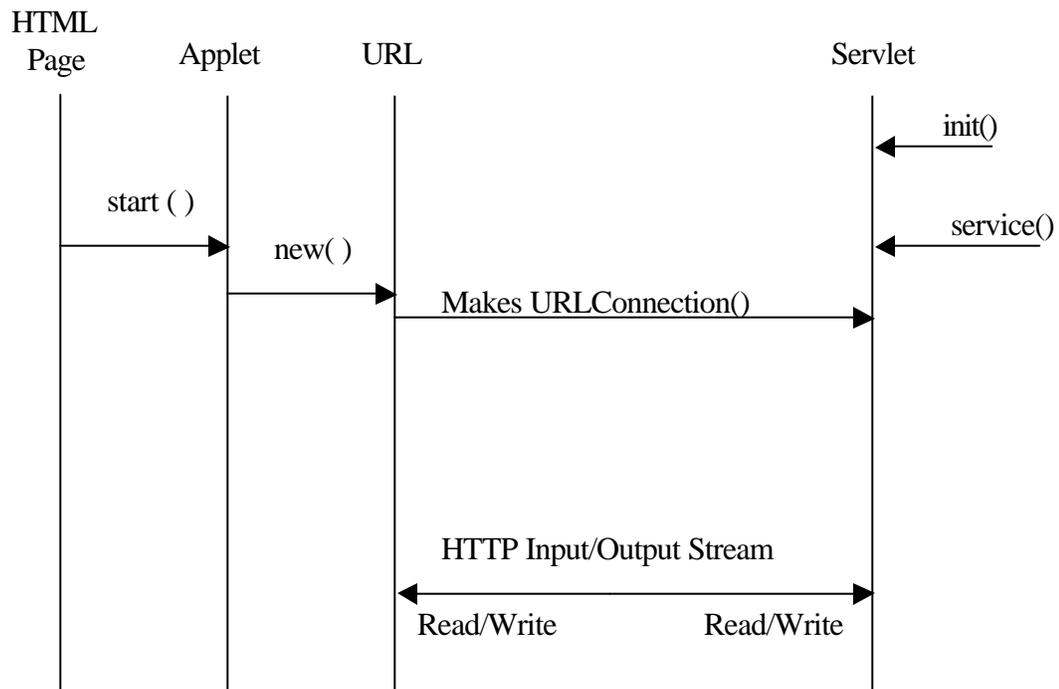


Figure 4.5: Applet-Servlet Communication

The network connection between the applet on the client and the servlet on the server is the only communication path we can use. HTTP text streams and HTTP object

streams are two popular tactics to exchange data between applets and servlets. Refer to Figure 4.5 for the applet-servlet communication flow.

HTTP text streams are relatively straightforward and easy to use. The applet establishes a connection to a servlet back on its originating server using Java's URL and URLConnection classes, reads the information, and interprets them appropriately. In this mode of communication InputStream is wrapped into a DataInputStream. Using simple text streams to exchange data has one major weakness, however - the need for the applet to not only know the format of the data but also perform parsing and conversion of the data into a useful form.

HTTP object stream is an easier way of exchanging complex data. HTTP connection can be used to transfer binary data as well as textual data. We can combine this capability with something called object serialization to pass complete Java objects from a servlet to an applet. Object serialization provides a program the ability to read or write a whole object to and from a raw byte stream. It allows Java objects and primitives to be encoded into a byte stream suitable for streaming to some type of network or to a file-system, or more generally, to a transmission medium or storage facility. Complex data can be passed very easily this way with no need for parsing and interpretation. Object serialization allows us to "flatten" objects into streams of binary data that can go anywhere an OutputStream can go - to disk, to the screen, or, as in our example, across an HTTP connection to an applet. HTTP object stream in very much the same way as we use an HTTP text stream. We initiate a URL connection back to a servlet on our local web server, and then read in the resulting data. Rather than wrapping the InputStream in a DataInputStream as before, however, we wrap it in an ObjectInputStream - a special type

of stream that reads objects. We then read in each object, casting it to the appropriate type.

As we can see, object streams give us a very convenient way to exchange complex collections of information between the applet and the server. Also note that rather than working with pure data, we're using a more object-oriented approach - even reusing our objects between the client and the server. This lets us build intelligence into the objects and avoid duplicating that logic in both client and server portions of our application.

The above capabilities and strength of HTTP object streams over HTTP text streams lead us to use HTTP object streams. In our implementation, we required exchange of complex data in form of arrays, hash tables. These objects were supported only by HTTP object streams and thus became our choice for implementation.

4.6 Graphical User Interface

The graphical user interface for the interactive book has been developed using Java APIs. The Java Programming language provides several alternatives for creating graphical user interface. The most commonly used APIs are Java Foundation Classes (JFC) and Java Abstract Window Toolkit (AWT). The JFC contains Swing components, which provide a choice of look and feel. For example, the same program can use either the Java look and feel or the Windows look and feel or the CDE/Motif look and feel [12]. By contrast, AWT components always have the look and feel of the native platform. So the user interface is bound to the native platform and will produce different look and feel on different platforms. Another interesting feature of Swing is that it's components with state information, use models to keep the state. Models are set up automatically, so one

doesn't have to deal with them unless he/she wants to take advantage of the power they can provide. These strong features of Swing components made us choose the Swing API over the AWT for creating graphical user interface for the interactive book.

4.7 Programs Overview

The following figure 4.6 presents the flow of different programs for this project. The client program consists of HTML page with embedded user interface (applet) for custom simulation. When a custom simulation example is activated, the client program reads the example number, loads an example specific initialization file to configure the probes (Mega Scope, Matrix Viewer, Image Viewer or Scatter Plot) in user interface. The initialization file consists of default configuration details the various probes for display of result data.

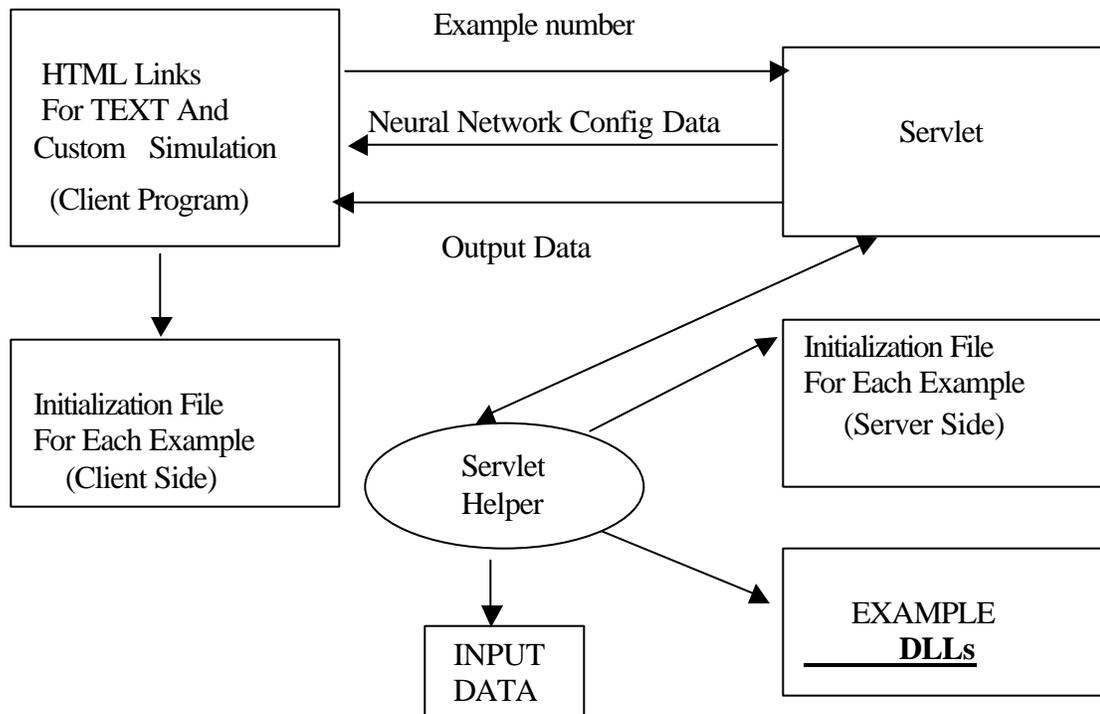


Figure 4.6: Program Flow Diagram

The client program also sends the specific example number to the servlet, which loads the example specific initialization file in the server side. The initialization file has specific information about the configuration of neural network on the client side. Servlet makes a call to specific dynamic link library and returns the network configuration data to the client. These configuration data are displayed within an inspector window. When the client runs the simulation, it again sends the request along with configured neural network data to servlet. Servlet makes call to the example specific DLL, pass the configured neural network data to it.

The DLL sets different neural network parameters on the server side with the client configured data and processes the request and returns the result to servlet. The servlet then returns the output data to the client, which is displayed using different probes in the client browser. The client side program also provides default configuration data for probes. These configuration data for probes can also be changed as per user request for better visualization of the result data.

4.8 Implementation Process

The following Figure 4.7 presents step-by-step implementations of web-enabled interface. These steps have been developed during the implementation of this thesis and provide a framework for future implementation. It gives a way to utilize the existing C++ programs with minimal modification for the purpose of building web based graphical interface. The whole implementation process consists of nine major steps as discussed below:

Step 1: Generate Example's Source Code

The first step is to generate the ANSI C++ source code for each example presented in the existing electronic book. NeuroSolutions™ provides capability for generating the source code for a network designed within NeuroSolutions.

The NeuroSolutions™ usually generates several source codes for each example (build by NeuroSolutions) in the electronic book. Refer to Table 4.1 for the list of source codes and their description.

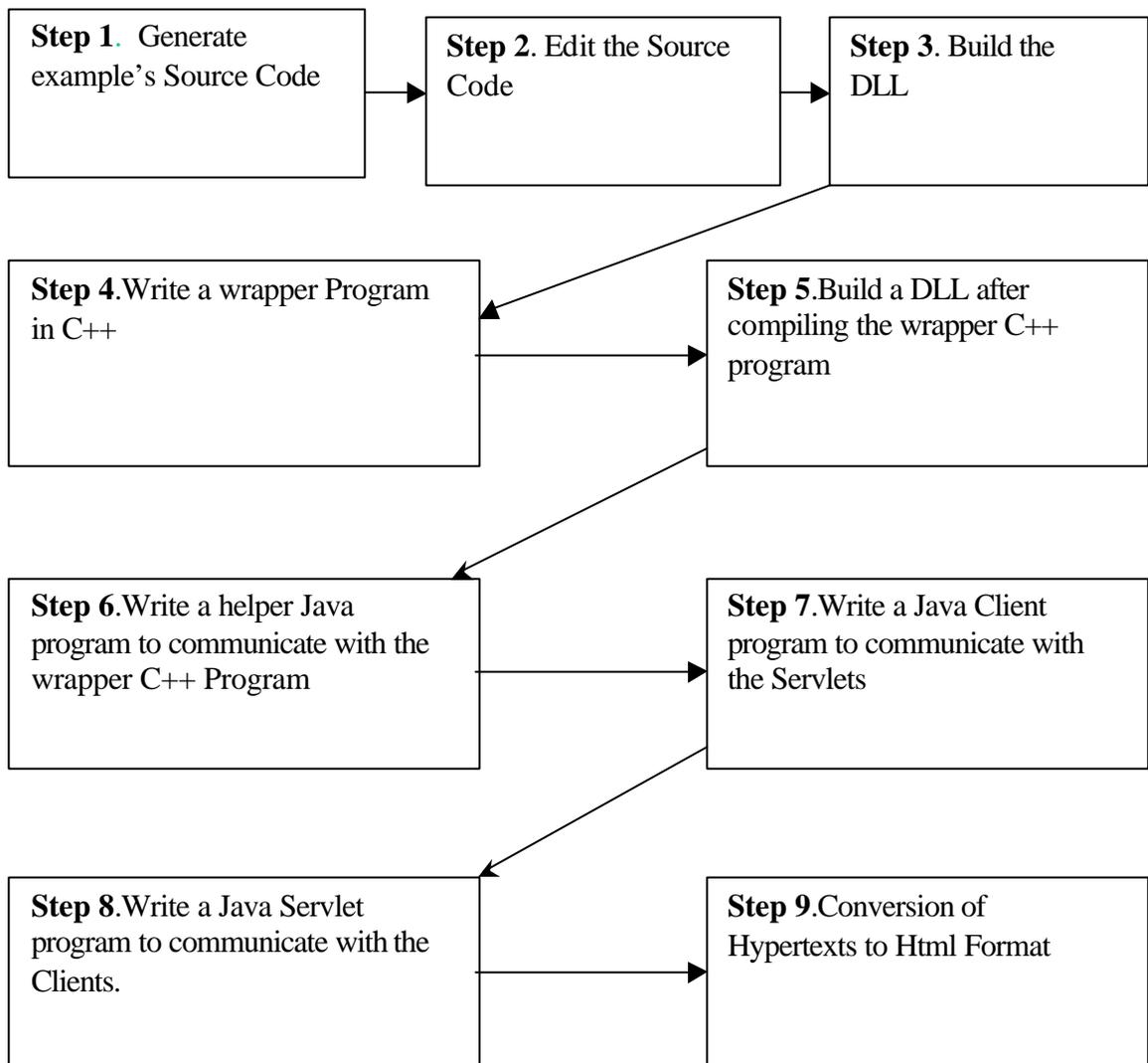


Figure 4.7: Process Flow Diagram

Table 4.1: Description of Source Codes

Program Name	Description
recall.cpp	Contains a class NSRecallNetwork that holds the construction information for the recall components of a neural network and has a set of properties and methods for controlling and communicating with recall type networks.
learn.cpp	Contains a class NSLearningNetwork that is a sub-class of NSRecallNetwork and holds the construction information for the learning components of a neural network and has a set of properties and methods for controlling and communicating with learning type networks.
network.cpp	Contains a class NSNetwork that is a sub-class of NSLearningNetwork and contains additional properties and methods for controlling and communicating with learning type networks.
ExampleName.cpp	Contains exported functions from the DLL.
recall.h	Contains the interface (member functions and variables) for the class NSRecallNetwork.
learn.h	Contains the interface (member functions and variables) for the class NSLearningNetwork.
network.h	Contains the interface (member functions and variables) for the class NSNetwork.
msvc.h	Contains the interface (member functions and variables) for NeuroSolutions™ class library
msvc.lib	The NeuroSolutions™ class library that the generated code links against.

Step 2: Edit the Source Code

The source code might require minor modification for the purpose of implementation of new functions/protocols required by the specific example to directly access some of the neural network components. But these additions will be minimal because all the functionality to support the example already exists. E.g. In the source code there was no direct protocol for accessing the outputSynapseWeight but has been added during the implementation.

```

int NSLearningNetwork::getoutputSynapseWeight(int epoch)
{
    int rowsize =0;
    int colsize=0 ;

    rowsize= outputSynapse->U();
    colsize= outputSynapse->V();

    for(int i=0; i<colsize; i++)
        theoutputSynapseWeights[epoch*colsize+i] = outputSynapse->w(i);

    return 0;
}

```

Step 3: Build the DLL

Compile all the source code (after generation and edition) into a DLL. This needs to be done with the source code of all the individual examples.

Step 4: Write a Wrapper Program in C++

A wrapper program has to be written which will provide a communication interface between Java and the C++ program associated with all the examples. This new wrapper program loads the DLL created in the step 4 and provides the required function calls supported by the DLL. This will be a generic program and will support all the examples. It needs to be written once for all the individual examples with addition of new unsupported functions calls. E.g. A small template of the wrapper C++ code, which creates a new network based on the network type, has been presented below:

```

include<jni.h>
void *aNN;

JNIEXPORT void JNICALL Java_ServerHelper_createNetwork(JNIEnv *env,
jobject obj, jint networktype)
{
    int (*pfCreateNet) (void *&, int, char *);

```

```

pfCreateNet = (int (*) (void *&, int, char *))GetProcAddress(hm,
                    (LPCTSTR)"createNetwork");
if(pfCreateNet!=NULL)
{
    pfCreateNet(aNN, networktype, "123456");
}
}

```

Step 5: Build the DLL

Compile the wrapper C++ program generated in the previous step into a DLL. This needs to be written once for all the individual examples with addition of new unsupported functions calls.

Step 6: Write a Helper Java Program to Communicate with the Wrapper C++ Program

A helper Java program needs to be developed to communicate with the wrapper C++ program. The purpose of this program is to provide the clients (written in pure Java) with the capabilities to interact with the examples C++ core. This program will be sitting on the server side to cater the client's specific requests. It needs to be written once for all the individual examples with addition of new unsupported functions calls in the similar manner as in step 5. A prototype code has been provided below.

```

public class ServerHelper
{
    /* Declaration of createNetwork(int networktype) as native C++ method */
    private native void createNetwork(int networktype);

    ServerHelper(String exampleno)
    {
        /*Loads the DLL created in Step 5*/
        System.loadLibrary(Dllname);

        /* Calls createNetwork(networktype) of NeuroSolutions C++ core via
        wrapper code in Step 4. */

        this.createNetwork(networktype);
    }
}

```

Step 7: Write a Java Servlet Program to Communicate with the Clients

A Java Servlet program has to be developed to accomplish the following activities:

- Listen to client requests for simulation of specific examples
- Contact the helper Java program discussed in step 6 and passes the client's specific request for processing.
- Return the result of the specific request to the corresponding clients

Step 8: Write a Java Client Program to Communicate with the Servlets

A client program in pure Java needs to be developed. The client will present the users with the graphical user interface for the simulation of each example. The graphical interface is in the form of HTML file with an embedded applet. Java applets enable the examples to run within a web page. The client program will read an initialization file and will initialize the specific components such as image, inspectors, plots etc. in the applet context for each individual example.

Java Foundation Classes (JFC) has been used to create the different GUI components within the applets environment.

Step 9: Conversion of Hypertexts to Html Format

The last step is to convert hypertexts of the existing electronic book into the html format. There is plethora of tools (HTML Transit, Web Publisher Pro, Cyberleaf 2.0) available for this transition, which can convert the texts of the book into the HTML format. Netscape Composer has been used to build text portion of the electronic book into the HTML format.

4.9 Summary

This chapter provided design and architecture for the general framework developed for the web-based interface for the interactive electronic book. The step-by-step system implementation process and sample code discussed in the chapters gives a way for further enhancement and improvement of the development framework.

CHAPTER 5 RESULTS

The web-enabled interface for the interactive book on neural and adaptive systems has been implemented and tested for its usability and performance over the Internet on multiple platforms such as Windows, Linux and Sun's Solaris in the Computational NeuroEngineering Laboratory at University of Florida. This chapter presents performance analysis results of the web-enabled interface in comparison with the present version of the electronic book. It also presents the performance analysis of web-enabled interface over the web with 56K modem and T1 link. Screen shots and brief navigation of prototype web-enabled interface for the electronic book have been presented.

Performance Analysis

The simulation time comparison between web-enabled interface and existing electronic book are shown in Table 5.1 and Table 5.2. Table 5.1 presents the simulation time comparison for the example "Batch versus On-Line Adaptation" and Table 5.2 shows simulation time comparison for the example "Function Approximation with the MLP".

Simulation time for the interactive examples of the electronic book was recorded from simulation progress monitoring window provided in the book. Simulation time for the interactive examples for the web-enabled interface was recorded by time stamping

before and after the simulation. The performance evaluation was performed on a PC with Celeron processor (366 MHz), 96 MB of RAM, 128 K Cache SRAM.

Table 5.1: Simulation Performance Comparison for the Example “Batch versus On-Line Adaptation” for 200 iterations

Serial #	Simulation Time in the existing electronic book (In seconds)	Simulation Time in the web-enabled interface (In seconds)
1	1.00	16.30
2	1.00	16.00
3	1.00	15.54
4	1.00	15.16
5	1.00	15.00

Table 5.2: Simulation Performance Comparison for the Example “Function Approximation with the MLP” for 1000 iterations

Serial #	Simulation Time in the existing electronic book (In seconds)	Simulation Time in the web-enabled interface (In seconds)
1	1.00	15.99
2	2.00	16.24
3	1.00	15.21
4	2.00	15.27
5	2.00	15.80

The above table shows that there is a significant difference of simulation time between the existing electronic book and web-enabled interface. The higher simulation time in the web-enabled interface is due to JNI overhead for communicating with NeuroSolutions™ C++ core. The other reason is sleep time introduced between iterations. The sleep time is required for displaying data in probes for different iterations otherwise display is very poor. This value can be customized. In reality the simulation time is of the range 5 – 7 seconds. Higher simulation time for the web-enabled interface is in fact better because simulation results during iterations can be viewed easily

compared to the existing electronic book, where simulation is so fast that viewing intermediate results is almost impossible.

A performance evaluation of the web-enabled interface for the electronic book was also performed on 56K modem and T1 link. The performance evaluation was done in two modes. In the first mode, loading (initialization) time for the web-enabled interface was analyzed and in the second mode, simulation time for the web-enabled interface was analyzed. The performance evaluation over T1 link was done on a machine with Pentium II processor (400 MHz), 128 MB of RAM, 512 K Cache SRAM while the performance evaluation over 56K Modem was done on from a home machine with Pentium MMX processor (200MHz), 48 MB of RAM. Although the load and simulation time over the Internet varies depending upon network speed, network traffic/load and availability of dynamic bandwidth and client machine configuration, the purpose of this evaluation is to provide users and programmers, an idea of load and simulation time for the interactive examples over the Internet.

Table 5.3 shows the loading time of web-enabled interface of two examples over a T1 link while Table 5.4 shows the loading time of web-enabled interface of two examples over a 56K modem.

Table 5.3: Performance Evaluation for Load Time on T1 Link

Serial #	Load Time for the example “Batch versus On-Line Adaptation” (In Seconds)	Load Time for the example “Function Approximation with the MLP” (In Seconds)
1	4.46	4.40
2	4.37	4.32
3	4.37	4.37
4	4.47	4.36
5	4.42	4.34

Table 5.4: Performance Evaluation for Load Time on 56K Modem Link

Serial #	Load Time for the example “Batch versus On-Line Adaptation” (In Seconds)	Load Time for the example “Function Approximation with the MLP” (In Seconds)
1	28.45	29.44
2	19.06	24.28
3	19.28	26.85
4	22.41	31.91
5	18.73	19.11

Table 5.5 shows the Simulation time of web-enabled interface of two examples over a T1 link while Table 5.6 shows the simulation time of web-enabled interface of two examples over a 56K modem.

Table 5.5: Performance Evaluation for Simulation Time on T1 Link

Serial #	Simulation Time for the example “Batch versus On-Line Adaptation” (In Seconds)	Simulation Time for the example “Function Approximation with the MLP” (In Seconds)
1	13.93	13.77
2	13.99	14.04
3	12.88	13.48
4	13.56	14.05
5	12.93	14.06

Table 5.6: Performance Evaluation for Simulation Time on 56K Modem Link

Serial #	Simulation Time for the example “Batch versus On-Line Adaptation” (In Seconds)	Simulation Time for the example “Function Approximation with the MLP” (In Seconds)
1	27.19	46.41
2	24.66	46.97
3	24.60	46.47
4	24.27	47.84
5	24.17	53.99

The load and simulation time with 56K modem is found high compared to the T1 link (due to substantially high difference in network speed), but still in considerable limit. These results are promising towards using the web-enabled interface for simulation over the web for interactive teaching and learning.

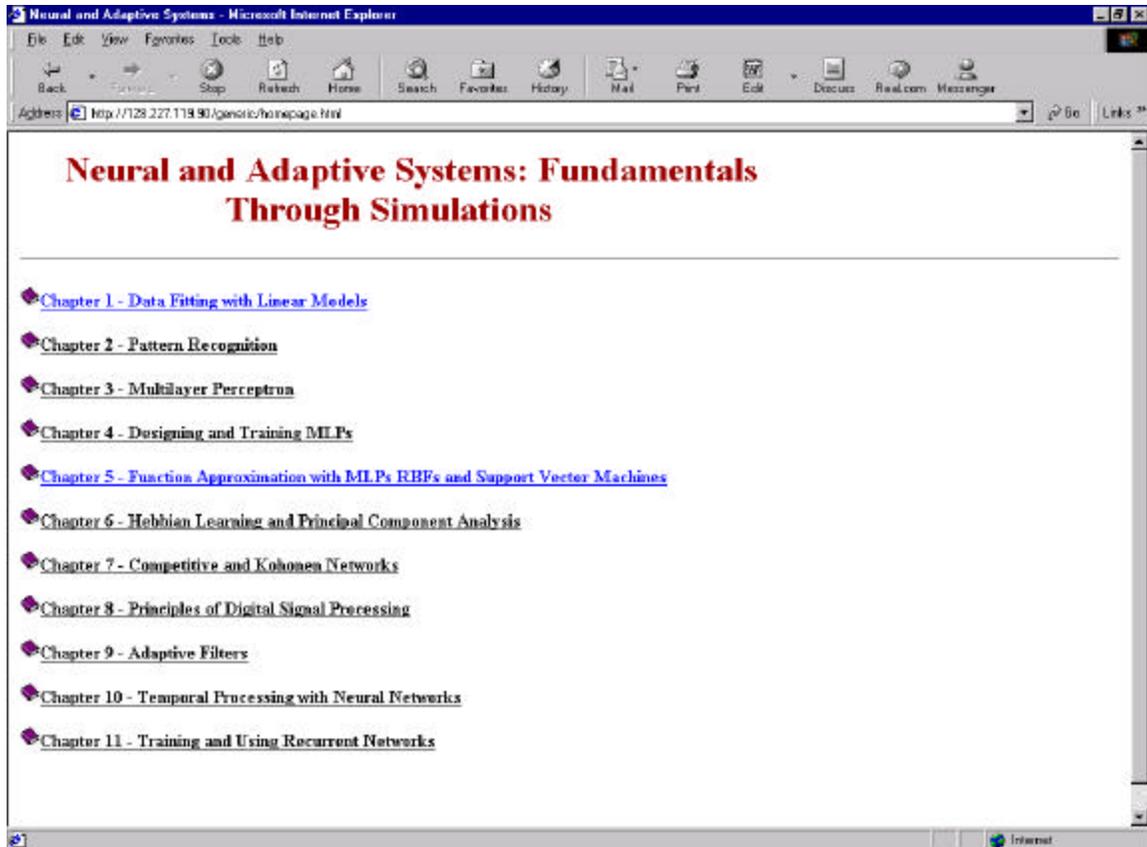


Figure 5.1: Main Page of the Electronic Book

Screen Shots and Navigation of Web Interface

This section presents screen shots and brief navigation of prototype of the newly developed web-based interface for the electronic book “Neural and Adaptive Systems: Fundamentals Through Simulations”. When a user logs on to the ITL (Interactive Teaching Laboratory) server to access the electronic book, the main page of the book is displayed in the web browser. Refer to the Figure 5.1 for the main page of the electronic book. The main page presents all the chapters title available in the book.

Student can select any chapters by clicking on the html link for each chapter. When a student clicks on a particular chapter, all the topics associated with the particular chapter appear on the web page with links to all the topics. Refer to the Figure 5.2 for the

entire topic associated with a particular chapter. One can go through different topics as per their choice but it is advisable to follow each topic in the order provided for better understanding of theory and concepts associated with each chapter.

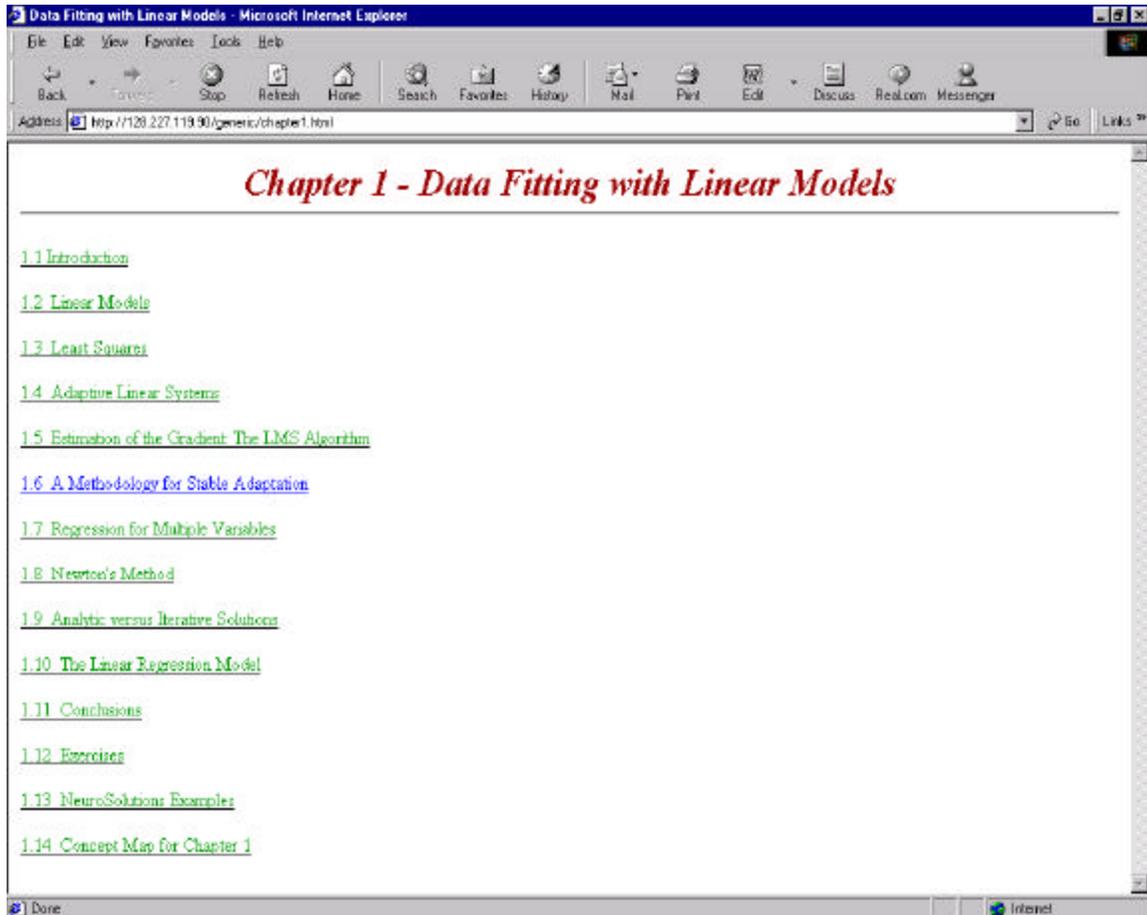


Figure 5.2: Topics Associated with Chapter 1

Now the student can select any topic in the chapter by clicking on the html link for the topic. When a student clicks on the particular topic, theory, equation and custom simulations appear on the same web page. Refer to Figure 5.2 and 5.3 for theory and custom example associated with a particular topic.

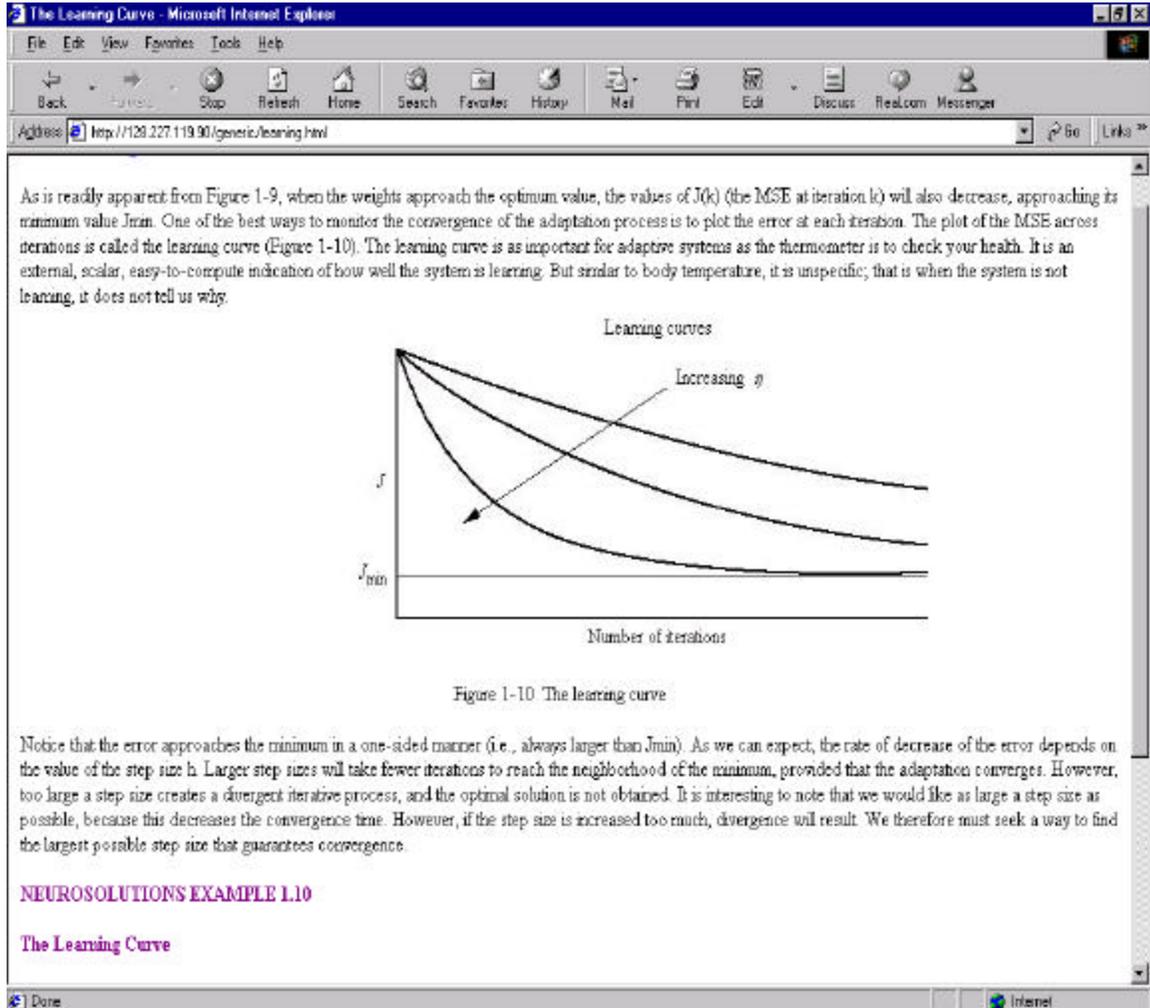


Figure 5.3: Theory and Examples Associated with a Topic

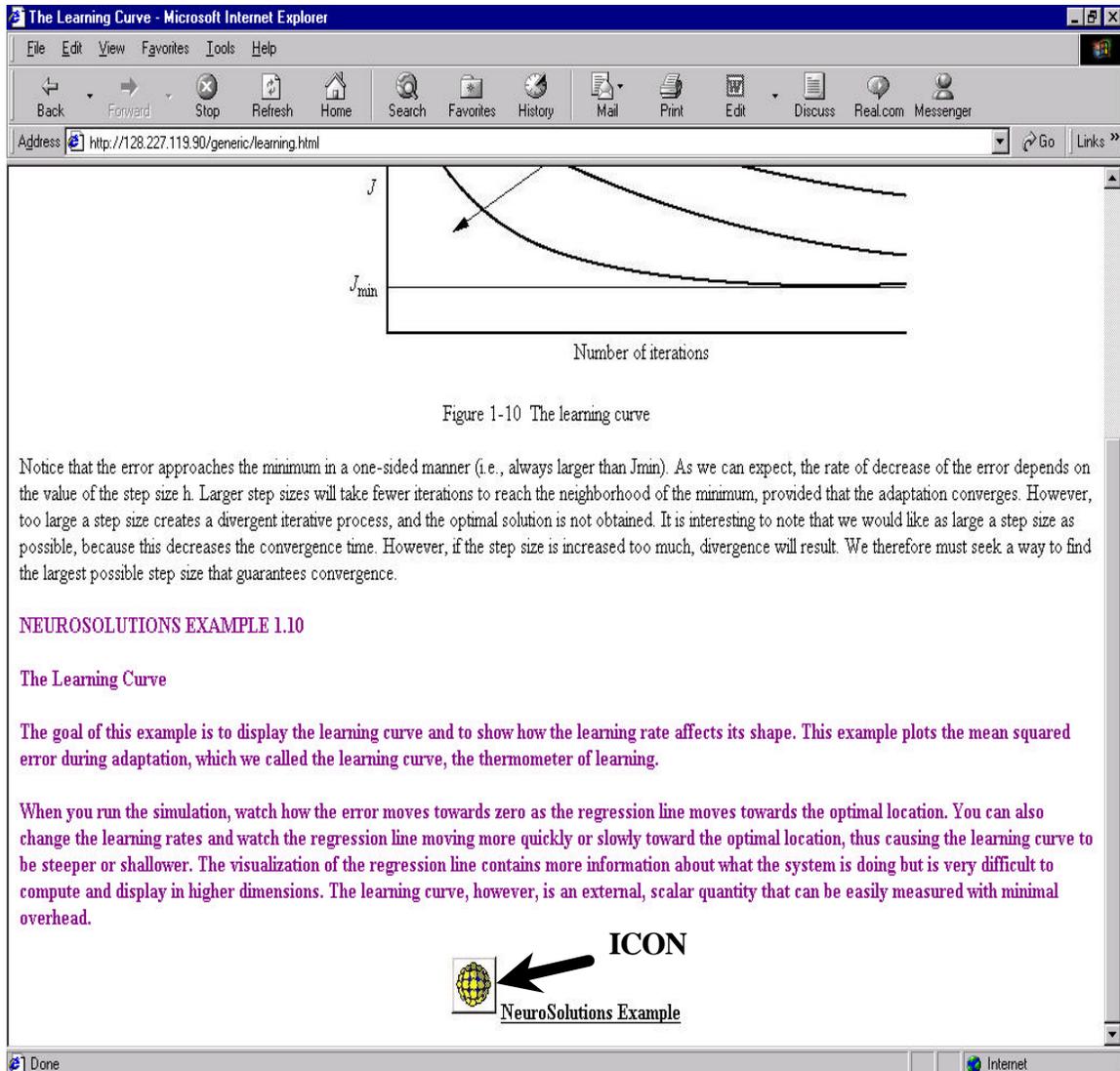


Figure 5.4: Theory and Examples Associated with a Topic

Once the student has gone through the theory and concepts associated with each example, he can run the custom simulation example by clicking at the NeuroSolutions™ example icon. When custom example icon is activated, the web page presenting the user interface for the example appears. Refer to Figure 5.5 for web based user interface for a particular custom simulation example.

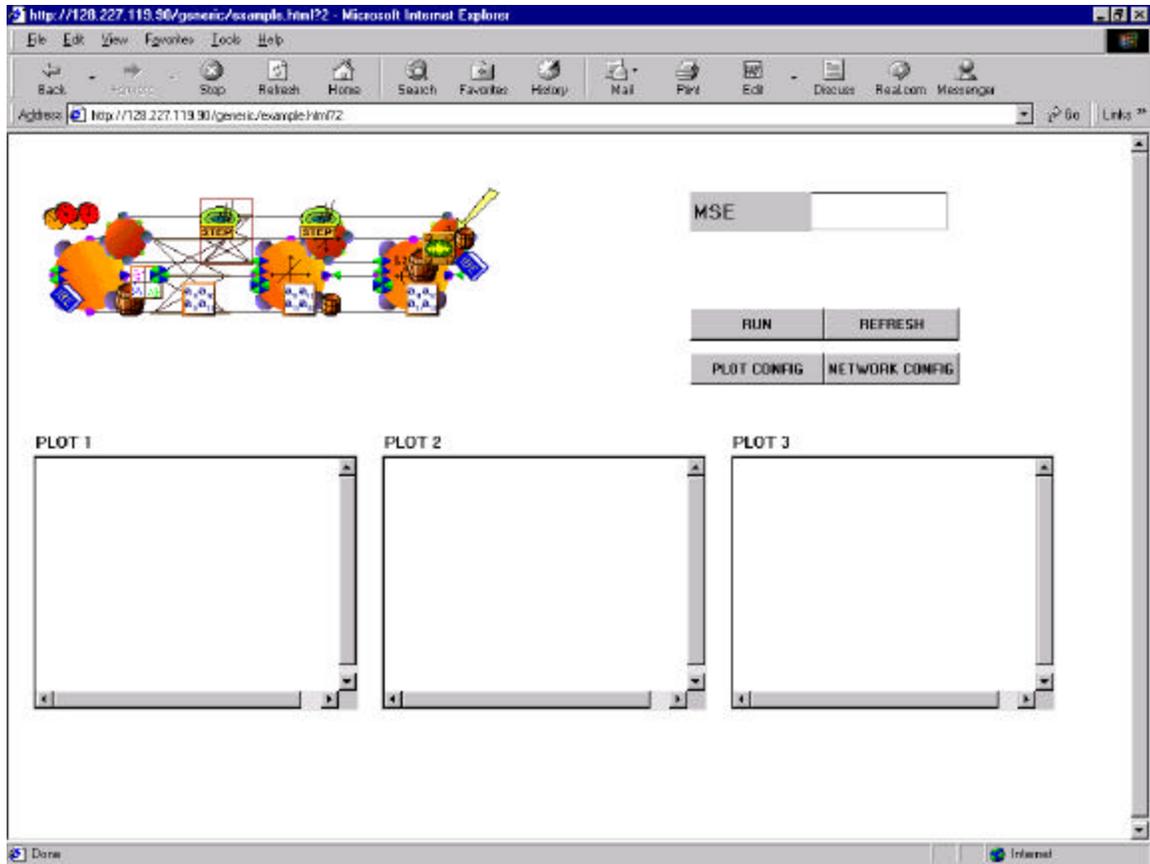


Figure 5.5 User Interface for Custom Simulation Example

The user interface consists of an image of the custom neural network, 3 scrollable panel for plots embedded in the HTML page, 4 buttons as seen in Figure 5.5. The plots windows are used to display different data items for custom simulation. When the “RUN” button is clicked, the simulation starts and result data are displayed in the various plots. Refer to Figure 5.6 and Figure 5.7 for the display of result data.

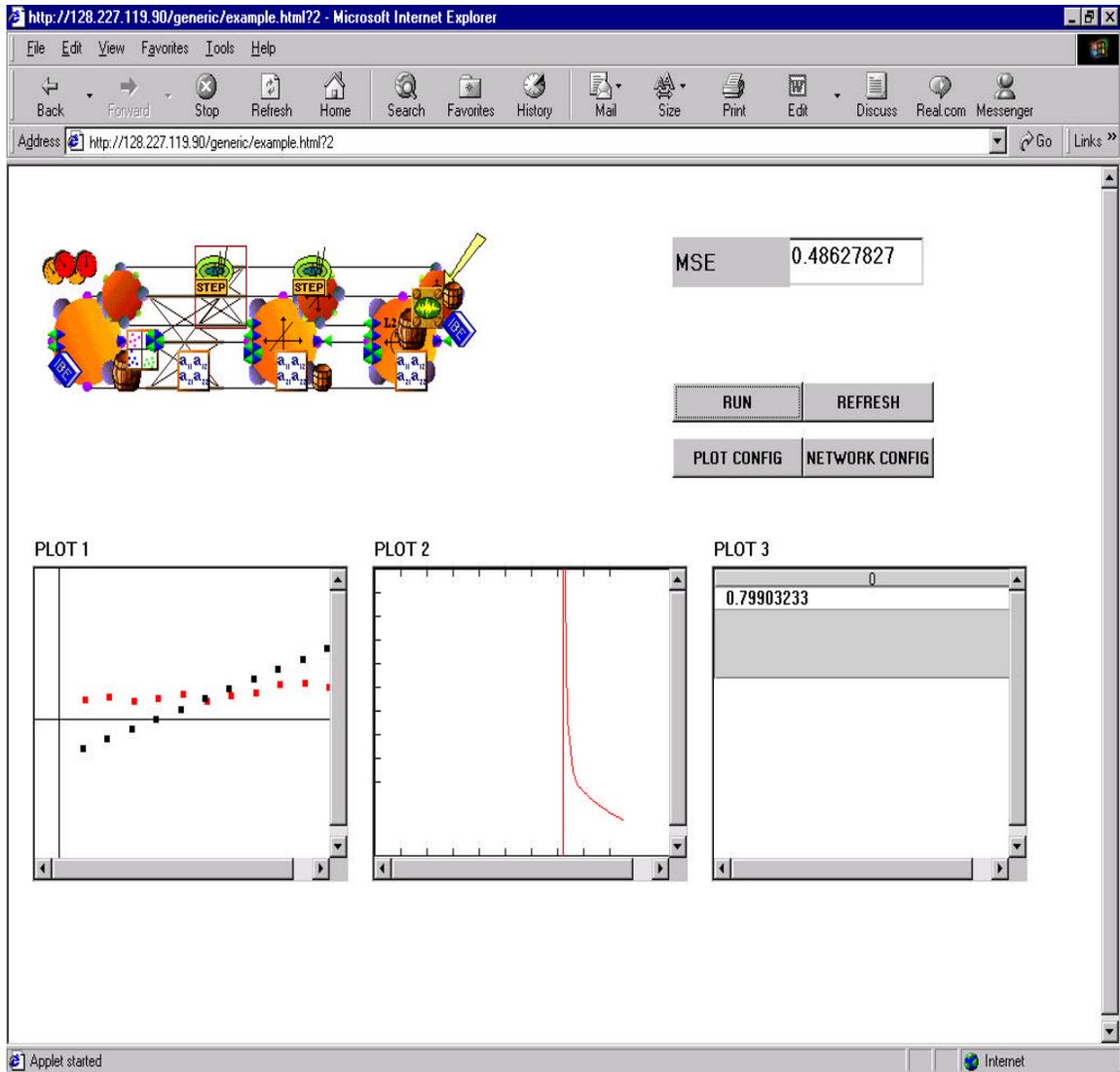


Figure 5.6 Displays of Intermediate Resultant Data in the Plots

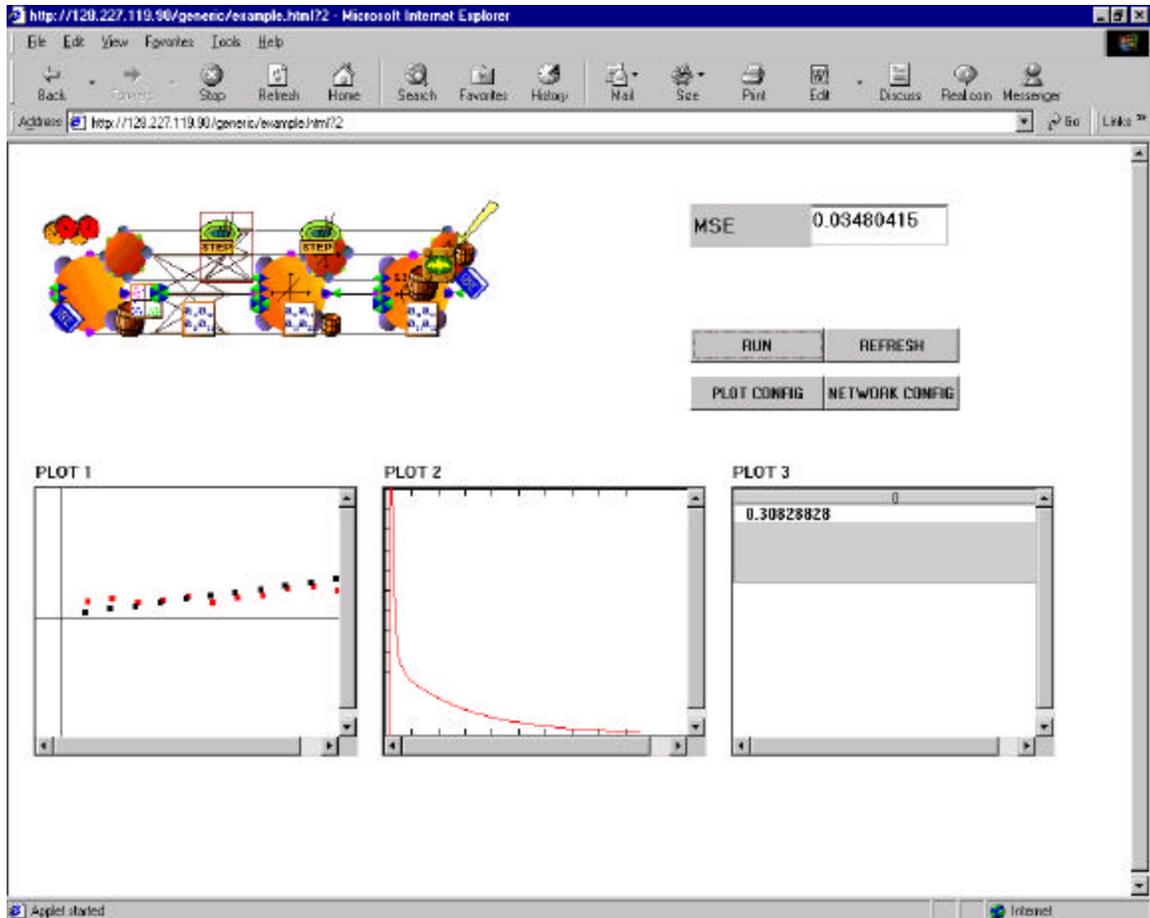


Figure 5.7 Displays of Resultant Data in the Plots

Users are presented with a choice of data display. This can be done by clicking the “PLOT CONFIG” button, which pops up “Select and Configure” window. Refer to the Figure 5.8 for pop window for plot configuration.

Popup window for plot configuration are tabbed panel. Each tab corresponds to a plot (probe) embedded in the web page.

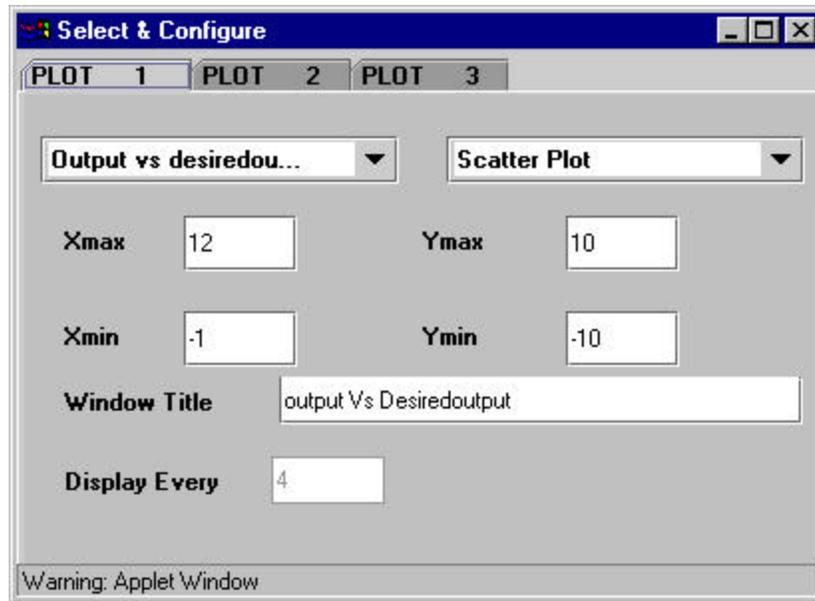


Figure 5.8 Popup Window for Plot Configuration

The left choice box in the popup window gives user choice to select a data to be displayed in the specific plot window. Figure 5.9 shows the list of data that can be selected.

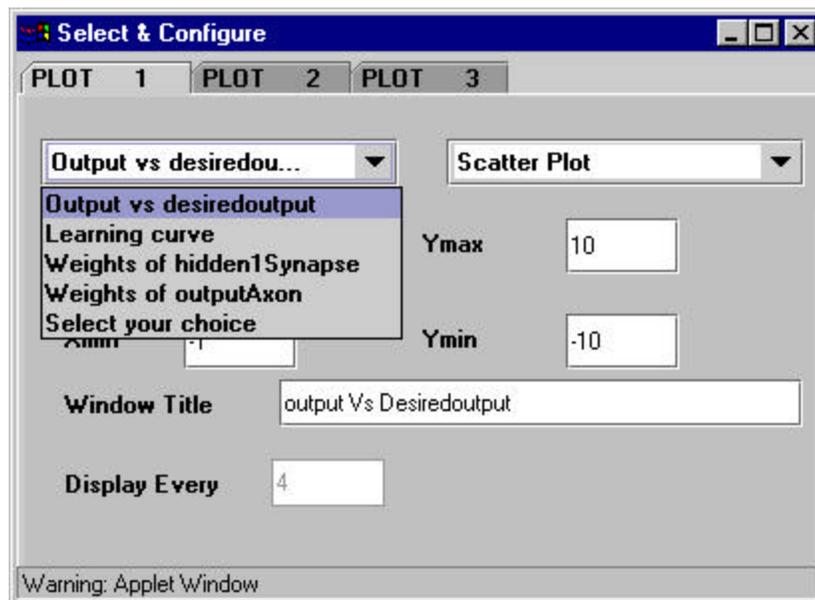


Figure 5.9 Lists of Displayable Data

The right choice box gives user choice to select the probe (display type) for specific data selected in the left choice box. Scatter Plot, Mega Scope, Matrix Viewer and Matrix Editor are examples of probes. Figure 5.10 shows the list of probes that can be selected.

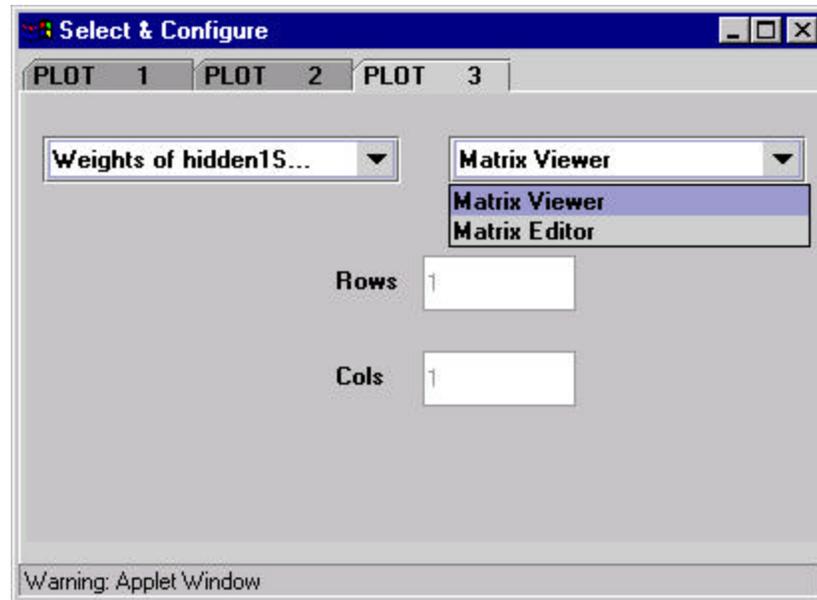


Figure 5.10 Lists of Probes for Data Display

Each probe (display type) is associated with a set of parameters, which has default values initially. But the user can change these values to configure the display of data such as vertical scale, vertical offset, horizontal offset etc. Refer to Figure 5.11 for different parameters associated with the probe Mega Scope.

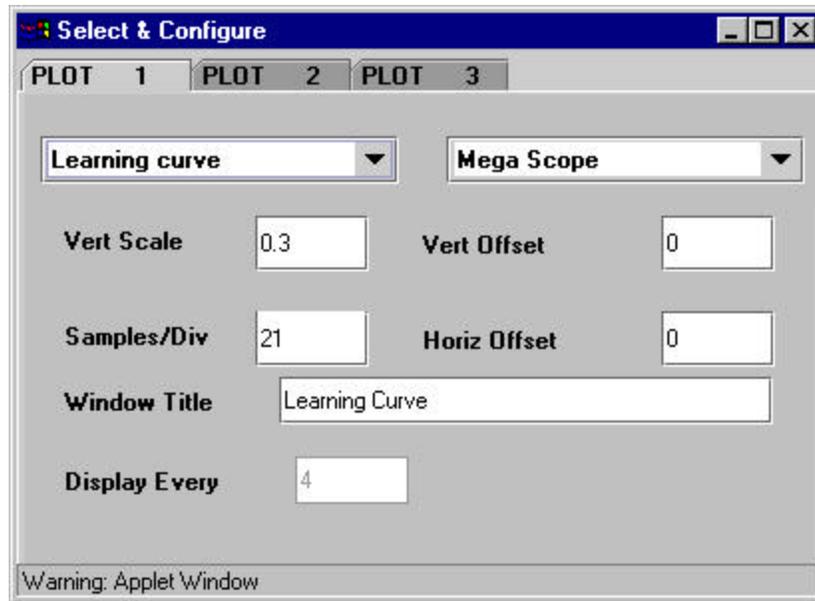


Figure 5.11 Lists of Parameters for Mega Scope

Users are also provided with capabilities to configure the parameters associated with neural and adaptive network. This is done by clicking the “Network Config” button as shown in Figure 5.5. This action pops up “Network Configuration” window. Refer to Figure 5.12.

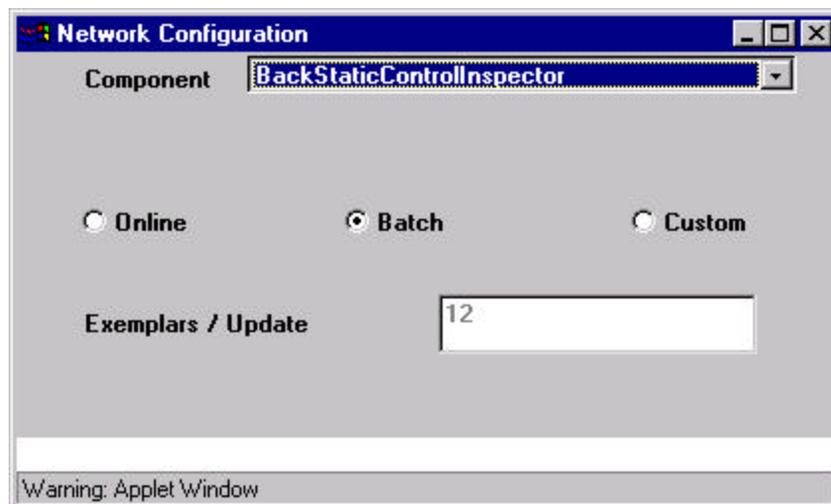


Figure 5.12 Popup Window for Network Configuration

This popup window provides user with a choice box to select the network component inspectors to configure. Refer to Figure 5.13 for list of inspectors.

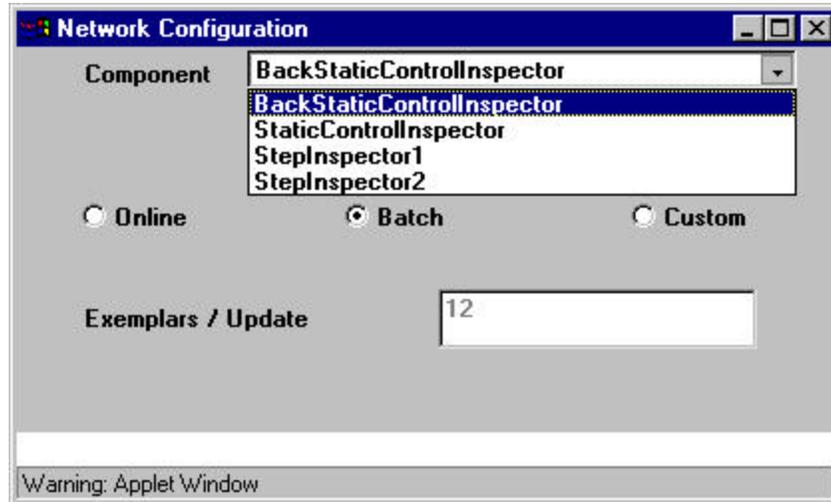


Figure 5.13 List of Inspectors

Figure 5.14 and Figure 5.15 presents parameters associated with different inspectors. Initially parameters associated with each inspector is set by default but user can modify them and as per their requirement and re-run the parameter.

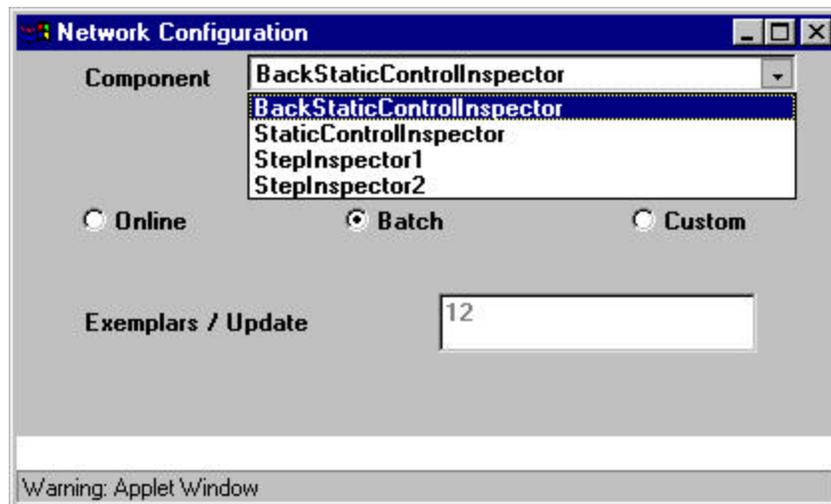


Figure 5.14 List of Parameters for Static Control Inspector

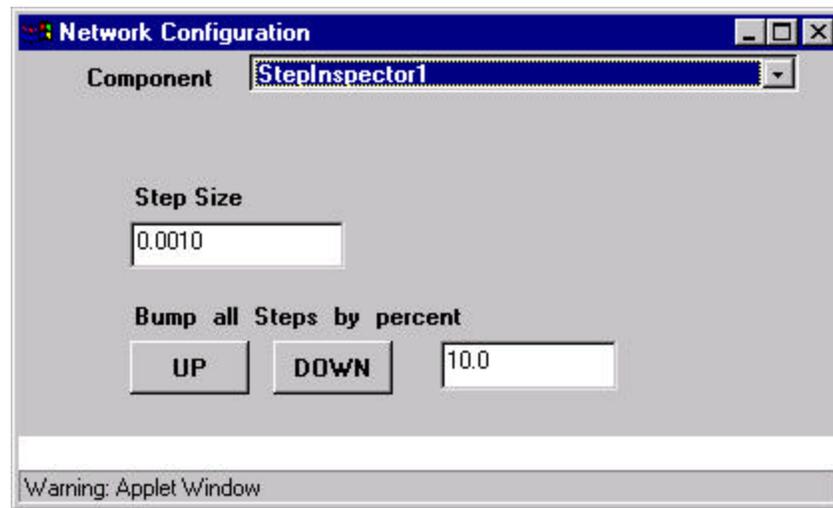


Figure 5.15 List of Parameters for Step Inspector

CHAPTER 6 CONCLUSION AND SCOPE FOR IMPROVEMENT

An attempt has been made in this thesis to develop design and implementation framework for interactive, platform independent web based user interface for the electronic book on neural and adaptive systems.

6.1 Conclusion

The design and implementation of web based graphical user interface for the interactive book on neural and adaptive systems has been implemented and tested for its usability and performance over the Internet on multiple platforms such as Windows, Linux and Sun's Solaris. It has overcome the limitation of platform independence and local nature of delivery of the existing version of the electronic book on adaptive and neural systems.

The web-based graphical user interface built around Java Applets and JFC swing components has provided a dynamic and friendly user interface to the end user.

Servlet has been used to develop platform independent server side component. In collusion with web server, servlet engine and Java networking APIs, it has provided a general framework for communication between the new graphical user interface and NeuroSolutions™.

The new web-based interface for the electronic book provides students, not only the theory and concepts in hypertext format, but also a user-friendly environment to run custom simulations in the area of neural and adaptive systems. It has also the capability to

configure the simulation settings. This gives a far greater understandability of the underlying principles behind the neural and adaptive systems.

This can be used to provide a powerful teaching and learning tool in the area of neural and adaptive systems and their applications. The general framework developed during the thesis will also be very useful for the web accessibility of library and application written in another programming languages (C, C++ etc.).

6.2 Future Work

Although the framework for the web based user interface for electronic is useful, additional features can be added to make it more flexible and powerful as learning and teaching tools. Some of the needed features are discussed below:

On-the-fly network-building capability. The custom simulation examples provided in the book is assumed to be static. The neural network components and interaction among them is fixed. Network configuration can be changed to a limited extent. This limits the flexibility of the simulation example. Although building real time neural network and the interaction among different neural component interactively, is difficult over the web, additional capability to provide on the fly network building can be explored to enhance the usefulness and flexibility of the book.

Automation of custom simulation examples. The existing electronic book provides over 200 custom examples. All the simulations in the interactive book are not similar and graphical designs also vary from examples to examples. These factors pose a challenge in generating user interface for each example. A great deal of effort has been spent to make the framework generic but additional effort is required to use a custom tool

or develop a tool for automating the implementation for each custom example in the interactive book with minimal effort.

Integration of web interface with real-time audio feed. The current page for the electronic book, displayed on the smart board at the instructor side can be integrated and synchronized with client's side graphical display of the page in their browser, so that whenever a page is scrolled or any note is written on the smart board, same effect should appear at the student browser. The instructor voice can also be sent along with the annotations on the smart board using a real-time synchronized audio feed. This will reduce the bandwidth requirement for participation in the class by remote students and will make the electronic book more powerful and flexible as learning and teaching tool.

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