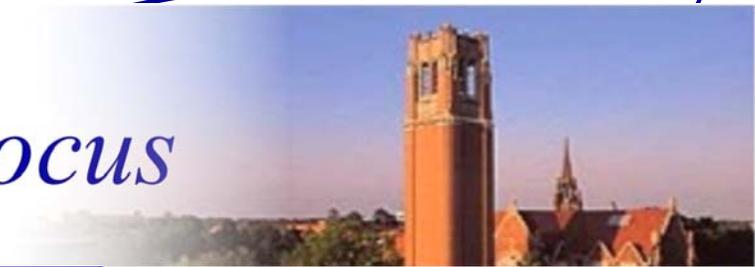


# OACR Audit Focus



A quarterly newsletter from the Office of Audit & Compliance Review

Nur Erenguc, Chief Audit Executive  
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**OACR.UFL.EDU**

## Brian Mikell- OACR's New Director of Auditing

Brian D. Mikell, CPA joined OACR as Director of Auditing & Management Advisory Services on April 30. Brian is a UF alumnus. He



comes to us with 22 years experience in the Florida Auditor General's office where his responsibilities included audits of the University for the past 13 years. He may be reached at 392-1391, ext 24, or by email at [bmikell@ufl.edu](mailto:bmikell@ufl.edu). See our organization at <http://oacr.ufl.edu/>

## Information Security is Everyone's Responsibility

*"People think this is a minor problem, all I need to do is hire someone to come in to put up a firewall, all I need is to have password access. Wrong! It is not a minor problem, and it is not easily fixed. It takes constant attention and there is no silver bullet."* —Richard A. Clarke, former National Coordinator for Security, Infrastructure Protection, and Counter-Terrorism



Information security risk changes constantly. Therefore, the University's management of the

risks is an ongoing process. The board, administration, and employees all have different roles in developing and implementing an effective security process. The University can achieve effective employee awareness and understanding

through security training, self-assessments, audits, and monitoring. Employees should understand and be held accountable for fulfilling their security responsibilities. All units must have written IT security policies and procedures. Your Unit Information Security Manager is responsible for the coordination of IT security policies and procedures. See UF Information Technology Security Policy at <http://www.it.ufl.edu/policies/security/>



### **OACR Address:**

*Office of Audit & Compliance Review  
341 Tigert Hall,  
P.O. Box 113025,  
Gainesville,  
FL 32611*

*Tel: (352) 392-1391  
Fax: (352) 392-3149*

### **Editors**

*Liana Hadjigeorgiou  
lianah@ufl.edu*

*William Moon  
wmoon@ufl.edu*

*Suzanne Newman  
suzmcd@ufl.edu*

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at:*

***OACR.UFL.EDU***

## **External Audit? Be sure to notify OACR**

OACR provides a central point for coordination of, and responsibility for, activities that promote accountability, integrity, and efficiency in the University. This includes the responsibility to coordinate all audits of all programs, grants and operations of the University including those performed by federal and state

auditors. To effectively fulfill this responsibility, it is important that OACR be promptly notified of any audit expected to be received by the University or its related entities and be represented at audit conferences both before and after the audit by calling (352) 392-1391.



## **Is there a University rule on Nepotism? Yes.**

Rule 6C1-1.009 University of Florida; Employment of Relatives - Employment of related persons in a single organizational unit or in job-related organizational units, where such employment involves a conflict of interest, actual or potential is discouraged. Relatives or related persons means individuals who are related as father, mother, son, daughter, brother, sis-

ter, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, or half sister. See full text of the Rule at <http://www.hr.ufl.edu/faq/rules.htm>.

## **Using the my UFL systems: What do the Auditors Want? by Anne Burt**

Anne, former OACR Audit Director, now serves as the UF Bridges auditor. Anne addressed some of the implications of the transition to the new Web-based, inte-

grated systems from an auditor's perspective in the most recent Bridges Advisory published May 4, 2004 at <http://www.bridges.ufl.edu/advisory/Advisory4.pdf>.